



Australian Government
Department of Defence

Proliferation Security Initiative Critical Capabilities and Practices (CCP) Effort

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Critical Capabilities & Practices (CCP) effort

- Assist all PSI-endorsing countries to improve critical counter-proliferation capabilities
- Provide a collection of tools and resources
- Assist PSI partners in planning and organising PSI activities to exercise using CCP tools and resources



CCP Development

1. Establish a framework of critical ‘Elements’ for counter-proliferation
2. Identify ‘Tools and Resources’ that strengthen each Element
3. Share the Tools and Resources across all PSI-endorsing nations



CCP Elements

1. Prohibiting Proliferation-Related Conduct
2. Inspection and Identification
3. Seizure and Disposition
4. Rapid Decision Making



CCP Tools and Resources

- Tools and Resources can be anything that contribute to sharing experiences of the CCP elements
- Specific examples include:
 - Model legislation
 - Commodity identification guides
 - Legal analysis of UNSCRs
 - Best practices for information sharing or decision making



CCP Tool Example – Element 4

PROLIFERATION SECURITY INITIATIVE

Developing an Interdiction Checklist for Rapid Decision Making

– Based on the Model National Response Plan –

<p>1. Initiation: Agencies involved and mechanism to consult</p> <p>Air, sea or land intervention? Agency roles and responsibilities? Frequency – regular or ad hoc?</p> <p>Chair of meeting? Levels of authorities to consult (national/regional/state/provincial)?</p>				
<p>Intelligence</p> <p>Shipment contents? Transport means? Ownership, flag state? Route, next port of call? Crew? Entities involved?</p>	<p>Legal</p> <p>Domestic laws? International laws? Obligations to act? - UNSCRs? - Multilateral agmts? - Bilateral agmts? Location of strongest legal basis for action?</p>	<p>Operational</p> <p>Available assets? Capabilities? Interdiction/disruption opportunities? Post incident management?</p>	<p>Policy</p> <p>International Implications? Domestic Implications? - Trade, costs, liability, prosecution?</p>	<p>Public Affairs</p> <p>Whole-of-Govt media strategy? Industry outreach?</p>
<p>3. Disposition Issues: Liability / Compensation / Prosecution</p> <p>Groundwork for successful prosecution? Investigating authority? Prosecuting authority? Procedures for chain-of-evidence? Information able to be used in legal proceedings? If it is from another country? Jurisdictional issues? Who will bear costs of CP action? Can costs be mitigated? Rights to compensation? Potential claims against countries involved?</p>				
<p>4. Government Approval</p> <p>Who provides approval? Procedures?</p> <p>Which agency/ies will arrange? Special timeframes?</p> <p>Mechanism to arrange? - Different for land, sea, air?</p>				
<p>Lead Agency</p> <p>Expertise to examine cargo? Industry involvement? Cargo destination/supplier? Hazard or safety issues? Transportation of goods? Protection of environment?</p>	<p>Interagency Co-ordination</p> <p>Responsibilities known/understood? Methods of communication? Additional or existing?</p>	<p>Information Sharing</p> <p>Relevant information? Classified information? Method for sharing? Personnel cleared? Legal provisions? National POC? 24 hours?</p>	<p>International Co-operation</p> <p>Information sharing procedures? Political agreements for assistance? - Technical assistance available? - Legal assistance available?</p>	
<p>6. Interdiction – Domestic Jurisdiction</p> <p>Action at Home: Inspection</p> <p>Relevant domestic/international law? Customs search and seizure powers?</p> <p>Maritime Interdiction</p> <p>Boarding/Diversion of Ship</p> <p>Relevant domestic/international law? - Flag State Consent? - Port State Consent? - International Ship/Port Facility Security Codes?</p> <p>Aircraft: Request to land or denial of entry into Airspace</p> <p>Relevant domestic/international law? - Convention on International Civil Aviation (The Beijing Convention) - International Air Services Transit Agreement? - Convention on Offences and Certain Other Acts Committed on Board Aircraft (Tokyo Convention) Available airports and security issues?</p> <p><i>For All: Export/import/immigration controls? Powers to detain, question and arrest? Stop onward people/cargo movement?</i></p>				
<p>7. Interdiction Abroad</p> <p>** For example, through request from a PSI partner, in accordance with national and international laws. **</p> <p>Cooperating States/PSI points of contact/bilateral agreements? Opportunities for Boarding/diversion and inspection? Identification? Other information sharing channels? Liability and disposition, Legal action/assistance?</p>				
<p>8. After Interdiction - Review Process</p> <p>Lessons learned? Reporting of Action taken to UN Security Council to fulfil UNSCR obligations? Internal report?</p>				



CCP Tool Example – Element 4

Developing an Interdiction Checklist for Rapid Decision Making

1. Initiation: Agencies involved and mechanism to consult
2. Issues for discussion
3. Disposition Issues
4. Government Approval
5. Decision Implementation
6. Interdiction – Domestic Jurisdiction
7. Interdiction Abroad
8. After Interdiction – Review Process



Example of a National Tabletop Exercise

- Exercise national decision-making capabilities
- Large number of agencies involved
- Timely communication imperative





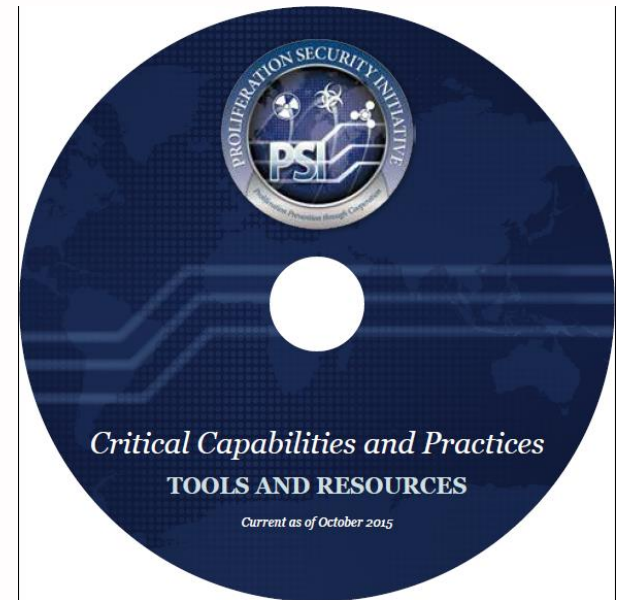
CCP Review Team

- Has collated and categorised
- Currently identifying and addressing gaps
- Looking to how to best use and share CCP resources.



CCP Communication

- Online:
 - PSI-endorsee password-protected website
- PSI Events:
 - Exercises, workshops, other forum
- Feedback:
 - Highly valued to improve CCP and identify gaps





CCP Summary

- Consistent with PSI's voluntary nature
- Recognises limited resources
- Seeks to update and share work done since 2003
- A means to mark progress, identify and fill gaps





Questions?

Thank you for your attention.

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