

# BUDGET SUMMARY

*Diplomacy is as fundamental to our national security as our defense capability. Our diplomats are the first line of engagement, listening to our partners, learning from them, building respect for one another, and seeking common ground...To accomplish these goals our diplomatic personnel and missions must be expanded at home and abroad to support the increasingly transnational nature of 21<sup>st</sup> century security challenges.*

National Security Strategy  
May 2010

## **Overview**

The complexities and connections of today's world have yielded a singular American moment – a moment when U.S. global leadership is essential. Only America has the reach and resolve necessary to mobilize efforts to solve problems on a global scale and lead the world toward a more peaceful and prosperous future.

Globalization poses challenges – armed conflict, violent extremism, nuclear proliferation, economic recession, climate change, hunger, pandemic disease, global criminal networks, and failed states. At the same time, interconnection offers opportunities – to resolve long-standing conflicts, widen economic opportunity, encourage democratic governments, strengthen the building blocks of societies, and lay the groundwork for a clean energy future.

To meet the challenges and seize the opportunities of this defining moment, the Department of State requests a budget for FY 2012 that will support comprehensive American engagement and implement the vision of U.S. global leadership articulated in the National Security Strategy released in May 2010. The resources requested will strengthen core elements of America's civilian power and provide the women and men of the Department of State with the tools they need to advance America's interests and values worldwide.

The FY 2012 request has two components: funding in the base budget for ongoing responsibilities and enduring programs and, for the first time, funding in a separate Overseas Contingency Operations (OCO) budget for extraordinary and temporary requirements associated with achieving key national security goals in the frontline states of Iraq, Afghanistan, and Pakistan.

The OCO request for the Department of State, like that for the Department of Defense (DOD), improves budget transparency and fiscal discipline by separating within OCO those costs that do not represent permanent base budget requirements and that will be phased out over time. The OCO approach recognizes the exceptional nature of added security costs for diplomatic and development operations in conflict areas, costs which are far above base resources for ongoing responsibilities and enduring programs. Furthermore, the OCO approach more fully integrates funding requests for civilian and military efforts to achieve U.S. goals in the three frontline states, including the transition from military to civilian-led missions.

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While the overall request for Department of State Operations appropriations represents an increase over the FY 2010 level, it reflects hard choices and prioritization of programs in a time of limited resources and economic uncertainty. Increases have been focused strategically on areas of highest priority – the frontline states of Iraq, Afghanistan, and Pakistan and Presidential initiatives – and on the capacity needed to carry out national security mandates.

To get the most out of every dollar, the Department will continue to improve the way it does business. It will focus particularly on reforms recommended in the Quadrennial Diplomacy and Development Review (QDDR) released in December 2010. Following this blueprint for change, the Department will seek innovative solutions and build cross-agency partnerships to achieve measurable results.

In sum, the FY 2012 request provides funding for diplomatic operations, programs, and initiatives that constitute an integrated strategy for renewing America's global leadership and advancing vital U.S. national interests. With these resources, America can, must, and will continue to lead in the 21st century.

### ***FY 2012 Budget Request***

The FY 2012 base budget request for all Department of State Operations appropriations totals \$14.154 billion. This funding will allow the Department to:

#### **Achieve National Security Goals in the Frontline States**

The request provides a total of \$749 million for the Department's critical ongoing operations and enduring programs in Iraq, Afghanistan, and Pakistan. Of the base funding total, \$593 million will support Iraq operations, enabling a robust civilian presence after the departure of military forces in early FY 2012. In addition to the full-year operations of the new consulates and Embassy Branch Offices, the base request for Iraq provides for the transition of DOD's remaining Baghdad facilities to Chief of Mission control. In Afghanistan, base funding of \$111 million will maintain the ongoing civilian uplift, including anticipated transition costs, and will enhance security at the Embassy and recently opened consulates. In Pakistan, base funding of \$45 million will provide for ongoing embassy and consulate operations, increased security, and broader programs for exchange and strategic communication.

#### **Build America's Civilian Power**

The request includes an increase of \$67 million to build core civilian capacity. Given the expanded role the Department is being asked to assume in critical countries and the demands of engaging new centers of influence, investment in civilian power is a cost-effective necessity. The requested funding will continue to build a workforce staffed and prepared to step up alongside their military colleagues. The funding will support 197 new Department positions, including 130 Foreign Service positions and 67 Civil Service positions. This gradual growth allows the Department to focus resources on countries and programs of the highest priority and is coupled with the QDDR's examination of diplomatic reforms. In addition, an increase of \$12 million for Conflict Stabilization Operations will support new civilian responders to address policy and operational solutions for crisis, conflict, and instability in priority countries.

#### **Provide Secure Diplomatic Facilities**

The request provides \$1.802 billion for investments in the Department's domestic and overseas infrastructure to enhance the safety and security of current personnel, as well as to accommodate ongoing staff growth. The request includes \$938 million to extend the multi-year Capital Security Construction program to replace facilities in the most vulnerable locations. To protect the U.S. Government's multi-

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billion-dollar investment in new overseas facilities, the request lays the groundwork for a new Maintenance Cost Sharing program for U.S. agencies with overseas personnel. The request also includes \$863 million to support vital ongoing activities, such as administration of leaseholds and maintenance and renovation of the Department's facilities at locations in the United States.

### **Engage Globally through Public Diplomacy and Exchanges**

The request provides \$1.157 billion to strengthen people-to-people relationships and promote mutual understanding between Americans and the people of other countries. Funding of \$637 million will support exchanges to build foundations of trust and prepare the next generation of leaders to work together on the shared challenges of the 21<sup>st</sup> century. The request funds programs of proven value, such as the Fulbright Scholarship Program and the International Visitor Leadership Program, and supports the President's New Beginnings initiative which focuses on engagement with Muslim communities worldwide. Funding of \$536 million will support public diplomacy efforts to inform and influence foreign opinion to further U.S. foreign policy goals. These efforts include shaping worldwide information campaigns on issues such as climate change, food security, water, and global health. The request continues the strategic realignment of public diplomacy resources to maximize outreach to emerging powers and demographic groups.

### **Confront Challenges through International Partnerships**

The request provides \$3.539 billion to support U.S. participation in international organizations and international peacekeeping. Funding of \$1.619 billion will pay assessed contributions for U.S. membership in the United Nations and over 40 other international organizations. Pursuing foreign policy objectives through international organizations enables the United States to leverage the financial contributions and expertise of other countries and build partnerships to solve problems on a global scale. Additionally, funding of \$1.920 billion will pay U.S. assessed expenses for UN peacekeeping missions around the world. Acting through the UN allows the United States to share the risks and costs of dealing with international crises. UN peacekeeping missions increase U.S. national security by ending conflicts, maintaining or restoring peace, and strengthening regional stability.

### ***Request Details***

The following sections of this volume detail the FY 2012 request for all Department of State Operations appropriations. The sections include specific resource requirements for the programs, activities, and priorities highlighted above.

# THE PERFORMANCE BUDGET

## *Overview: Linking Resources to Foreign Affairs Outcomes*

The State Operations FY 2012 Congressional Budget Justification supports the achievement of the Department of State's Strategic Goals and U.S. foreign policy priorities. This budget request (CBJ Vol. 1), together with the Foreign Operations Congressional Budget Justification (CBJ Vol. 2), serves as the Department's FY 2012 Annual Performance Plan (APP) and FY 2010 Annual Performance Report (APR).

This budget justification (CBJ Vol. 1) includes a Performance Overview and Analysis chapter (Performance chapter) at the end of this volume that discusses the resources allocated and the progress made by the Department in achieving each of its seven strategic goals that support and carry out the President's foreign policy priorities. The performance section summarizes and analyzes relevant performance information from 66 indicators featured throughout the CBJ Vol.1. More information on the Department's selection of these indicators is presented in the performance section. As the analysis and summary information shows, the Department is committed to ensuring the effective stewardship of public funds and to strategically aligning its resources to achieve U.S. foreign affairs priorities and outcomes.

Highlights from the Performance Overview and Analysis chapter include:

- The performance chapter presents the Department's FY 2012 budget request for State Operations for each strategic goal and priority.
- The largest proportions of the FY 2012 request support *Strategic Goal 1: Achieving Peace and Security* and *Strategic Goal 7: Strengthening Consular and Management Capabilities*, which together account for slightly over three-quarters of the Department's FY 2012 State Operations request.
- In FY 2009, the Department began a two-year transition to focus more on outcome-oriented indicators that provide meaningful information both to measure the impact of its work and to share with its stakeholders. Through continual assessment of these outcome-oriented indicators, the Department reports that in FY 2010 it met or exceeded targets for 41 percent of indicators for which ratings are currently available. The Performance chapter presents the Department's performance data over the past three years and the current performance targets and ratings for each strategic goal.

## *Performance Trends and Analysis*

Within this budget justification, performance and budget trends are analyzed for the seven strategic goals and 39 strategic priorities outlined in the Department's strategic plan. The Performance chapter presents representative indicators for the five strategic goals that represent the majority of the State Operations budget. *Strategic Goal 3: Investing in People* and *Strategic Goal 5: Providing Humanitarian Assistance* are mainly supported by Foreign Assistance funding and are addressed in the Foreign Operations volume of the CBJ. For further information on the FY 2007-2012 Department of State and USAID Strategic Plan, please go to: <http://www.state.gov/s/d/rm/rls/dosstrat/2007>.

While the performance chapter summarizes performance results and targets for 66 performance indicators, it also provides more in-depth analysis on 10 illustrative indicators that link directly to major budget or policy priorities. Through the illustrative indicators, the Department seeks to present a more informative view of the outcomes it expects to achieve in promoting global security and prosperity. Key performance trends analyzed further within the Performance chapter include:

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- In the FY 2012 request, the Department allocates the majority of its *Strategic Goal 1: Achieving Peace and Security* resources toward Conflict Prevention (73 percent) and Security Cooperation and Security Sector Reform (8 percent). The performance section examines trends in performance ratings for peacekeeping in Africa and Near East Asia as well as efforts to increase reconstruction and stabilization deployments to conflict zones.
- Advancing and protecting human rights, promoting the rule of law, and encouraging the development of democratic and responsive institutions are key long-term foreign policy objectives in *Strategic Goal 2: Governing Justly and Democratically*. The performance section assesses the level of freedom of individual speech and expression as well as freedom of the press in non-democratic countries and countries in transition.
- Under *Strategic Goal 4: Promoting Economic Growth and Prosperity*, economic diplomacy supports U.S. energy security, global competitiveness, and international cooperation on the environment. Illustrative indicators analyzed within the performance section indicate that the level of non-petroleum global energy use in FY 2010 remained at a constant level from FY 2009. The second illustrative indicator, the level of trade between the United States and sub-Saharan Africa, declined in FY 2009, the most recent year for which data is available.
- Under *Strategic Goal 6: Promoting International Understanding*, trend data for the illustrative indicators show that participants in U.S. Government-sponsored educational and cultural exchange programs report a change in their understanding and knowledge of the United States as a result of their participation. The outcome data underscores the importance of maintaining and leveraging an active alumni network of exchange participants to further promote international understanding.
- In the FY 2012 request, the Department allocates the greatest portion of its *Strategic Goal 7: Strengthening Consular and Management Capabilities* resources toward Facilities (34 percent) and Security (28 percent). The illustrative indicators show a sharp increase in the percentage of visa applications that are processed electronically. An increase is also seen in the number of U.S. Government personnel moved to more secure facilities worldwide in FY 2010.

### ***U.S. Department of State and USAID High Priority Performance Goals***

The Department of State and USAID have developed a strategic approach to accomplishing their shared mission, focusing on robust diplomacy and development as central components to solving global problems. In FY 2011, the Department of State and USAID selected eight outcome-focused high priority performance goals (HPPGs) that reflected the Secretary’s and USAID Administrator’s highest priorities. These goals reflect the agencies’ strategic priorities and will continue to be of particular focus for the two agencies through FY 2012. The table below lists each HPPG by Strategic Goal.

#### ***At-A-Glance: High Priority Performance Goals (HPPGs)***

Strategic Goal	FY 2011 High Priority Performance Goal
Achieving Peace and Security	<ul style="list-style-type: none"> <li>• The <b>Afghanistan and Pakistan</b> priority goal is articulated in the Stabilization Strategy, February 2010. For more information, go to <a href="http://www.state.gov/documents/organization/135728.pdf">www.state.gov/documents/organization/135728.pdf</a></li> <li>• The <b>Iraq</b> priority goal is: A Sovereign, Stable, and Self-Reliant Iraq.</li> <li>• The <b>Global Security – Nuclear Nonproliferation</b> priority goal is: Improve global controls to prevent the spread of nuclear weapons and enable the secure, peaceful use of nuclear energy.</li> </ul>
Governing Justly and	<ul style="list-style-type: none"> <li>• The <b>Democracy, Good Governance, and Human Rights</b> priority goal is: Promote greater adherence to universal standards of human rights, strengthen democratic institutions, and facilitate accountable governance through diplomacy and assistance, by</li> </ul>

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Strategic Goal	FY 2011 High Priority Performance Goal
Democratically	supporting activists in 14 authoritarian and closed societies and by providing training assistance to 120,000 civil society and government officials in 23 priority emerging and consolidating democracies between October 1, 2009 and September 30, 2011.
Investing in People	<ul style="list-style-type: none"> <li>The <b>Global Health</b> priority goal is: By 2011, countries receiving health assistance will better address priority health needs of women and children, with progress measured by USG and UNICEF-collected data and indicators. Longer term, by 2015, the Global Health Initiative aims to reduce mortality of mothers and children under five, saving millions of lives; avert millions of unintended pregnancies; prevent millions of new HIV infections; and eliminate some neglected tropical diseases.</li> </ul>
Promoting Economic Growth and Prosperity	<ul style="list-style-type: none"> <li>The <b>Climate Change</b> priority goal is: By the end of 2011, U.S. assistance will have supported the establishment of at least 12 work programs to support the development of Low Emission Development Strategies (LEDS) that contain concrete actions. This effort will lay the groundwork for at least 20 completed LEDS by the end of 2013 and meaningful reductions in national emissions trajectories through 2020.</li> <li>The <b>Food Security</b> priority goal is: By 2011, up to five countries will demonstrate the necessary political commitment and implementation capacities to effectively launch implementation of comprehensive food security plans that will track progress towards the country's Millennium Development Goal (MDG1) to halve poverty and hunger by 2015.</li> </ul>
Strengthening Consular and Management Capabilities	<ul style="list-style-type: none"> <li>The <b>Management – Building Civilian Capacity</b> priority goal is: Strengthen the civilian capacity of the State Department and USAID to conduct diplomacy and development activities in support of the Nation's foreign policy goals by strategic management of personnel, effective skills training, and targeted hiring.</li> </ul>

### *Evaluations of Diplomatic, Management, and Consular Programs*

The Department of State recognizes that comprehensive program evaluation and performance management are essential in achieving foreign policy objectives. Nuclear proliferation, climate change, global pandemics and terrorism are some of the perennial, complex issues the United States faces. Program evaluations determine the effectiveness and impact of efforts to influence or mitigate some of the most significant challenges facing the United States while leading to more informed strategic and budgetary decisions.

In the fall of 2010, the Department implemented a new Program Evaluation Policy which supports the White House's initiative to increase transparency and improve government performance and accountability. The policy sets forth the foundation for a coordinated and robust evaluation function and provides the framework for the ongoing and systematic analysis of programs and projects. Together with tools developed to help design and implement quality evaluations, this policy advances the Department's efforts to build capacity in assessing program impact, learn and share information about effective practices in its programs, and provide solid evidence for policy and planning decisions. Details on evaluations conducted in FY 2010 at the Department of State are highlighted in the Performance Overview and Analysis chapter.

## SUMMARY OF APPROPRIATIONS

(\$ in thousands)

	FY 2010 Actual	FY 2011 CR (2)	FY 2012 Request
<b>Administration of Foreign Affairs (1)</b>	<b>12,357,244</b>	<b>9,637,995</b>	<b>10,362,212</b>
State Programs	9,597,575	7,025,546	7,695,202
Diplomatic and Consular Programs	9,458,575	6,886,546	7,570,202
Ongoing Operations (3)	7,872,361	5,484,520	6,116,472
Worldwide Security Protection	1,586,214	1,402,026	1,453,730
Capital Investment Fund	139,000	139,000	125,000
Embassy Security, Construction, and Maintenance	1,817,550	1,724,150	1,801,517
Ongoing Operations (4)	970,250	876,850	863,317
Worldwide Security Upgrades	847,300	847,300	938,200
Other Administration of Foreign Affairs	942,119	888,299	865,493
Conflict Stabilization Operations (5)	80,000	120,000	92,200
Office of Inspector General (6)	105,600	56,000	65,154
Educational and Cultural Exchange Programs	635,000	635,000	637,100
Representation Allowances (7)	8,536	8,175	8,175
Protection of Foreign Missions and Officials	28,000	28,000	27,744
Emergencies in the Diplomatic and Consular Service (8)	29,423	10,000	10,000
Buying Power Maintenance Account (9)	30,500	8,500	0
Repatriation Loans Program Account (10)	1,902	1,450	1,800
Payment to the American Institute in Taiwan (11)	23,158	21,174	23,320
Foreign Service Retirement and Disability Fund (Mandatory, non-add) (12)	158,900	158,900	158,900
<b>International Organizations</b>	<b>3,904,000</b>	<b>3,807,500</b>	<b>3,539,400</b>
Contributions to International Organizations	1,682,500	1,682,500	1,619,400
Contributions for international Peacekeeping Activities (13)	2,221,500	2,125,000	1,920,000
<b>International Commissions</b>	<b>142,834</b>	<b>142,834</b>	<b>120,778</b>
International Boundary and Water Commission - S&E	33,000	33,000	45,591
International Boundary and Water Commission - Construction	43,250	43,250	31,900
American Sections	12,608	12,608	11,996
International Joint Commission	8,000	8,000	7,237
International Boundary Commission	2,359	2,359	2,433
Border Environment Cooperation Commission	2,249	2,249	2,326
International Fisheries Commissions	53,976	53,976	31,291

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	FY 2010 Actual	FY 2011 CR (2)	FY 2012 Request
<b>Related Programs</b>	<b>161,750</b>	<b>161,750</b>	<b>131,451</b>
The Asia Foundation	19,000	19,000	14,906
Center for Middle Eastern-Western Dialogue	875	875	840
Eisenhower Exchange Fellowship Program	500	500	500
Israeli Arab Scholarship Program	375	375	375
East-West Center	23,000	23,000	10,830
National Endowment for Democracy	118,000	118,000	104,000
<b>Total, Department of State Appropriations</b>	<b>16,565,828</b>	<b>13,750,079</b>	<b>14,153,841</b>

(1) FY 2010 Actual column includes funding for Overseas Contingency Operations (OCO). FY 2011 CR column and FY 2012 Request column exclude OCO funding, which is shown in the separate OCO table.

(2) FY 2011 CR column represents the annualized amount provided by the Continuing Appropriations Act, 2011 (P.L. 111-242), as amended.

(3) FY 2010 Actual reflects the following transfers out: \$51.454 million to other agencies for Afghanistan operations; \$22.0 million to Buying Power Maintenance Account; \$14.4 million to Embassy Security, Construction, and Maintenance, of which \$6.9 million is for the American Center Rangoon, Burma, and \$7.5 million is for new residential leases; \$1.984 million to Payment to the American Institute in Taiwan; and \$361,000 to Representation Allowances. FY 2010 Actual includes \$1.322 billion provided by the Supplemental Appropriations Act, 2010 (P.L. 111-212), net of \$3.7 million transferred to Emergencies in the Diplomatic and Consular Service and \$175,000 transferred to Repatriation Loans Program Account.

(4) FY 2010 Actual includes \$14.4 million transferred from Diplomatic and Consular Programs, with \$6.9 million for the American Center Rangoon, Burma, and \$7.5 million for new residential leases. FY 2010 Actual also includes \$79.0 million provided by the Supplemental Appropriations Act, 2010 (P.L. 111-212).

(5) Formerly known as Civilian Stabilization Initiative, this appropriation has been renamed Conflict Stabilization Operations. FY 2010 Actual reflects the rescission of \$40.0 million from unobligated balances provided by the FAA Air Transportation Modernization and Safety Improvement Act (P.L. 111-226).

(6) FY 2010 Actual includes \$2.0 million transferred from the Economic Support Fund appropriation to the Department of State Office of the Inspector General. FY 2010 Actual also includes \$3.6 million provided by the Supplemental Appropriations Act, 2010 (P.L. 111-212).

(7) FY 2010 Actual includes \$361,000 transferred from Diplomatic and Consular Programs.

(8) FY 2010 Actual includes \$16.0 million in unobligated balances transferred from Diplomatic and Consular Programs as provided by the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2008 (P.L. 110-161). FY 2010 Actual also includes \$3.7 million transferred from Diplomatic and Consular Programs funding provided by the Supplemental Appropriations Act, 2010 (P.L. 111-212). FY 2010 Actual further reflects the transfer of \$277,000 to Repatriation Loans Program Account.

(9) FY 2010 Actual includes \$22.0 million transferred from Diplomatic and Consular Programs.

(10) FY 2010 Actual includes \$175,000 transferred from Diplomatic and Consular Programs funding provided by the Supplemental Appropriations Act, 2010 (P.L. 111-212) and \$277,000 transferred from Emergencies in the Diplomatic and Consular Service.

(11) FY 2010 Actual includes \$1.984 million transferred from Diplomatic and Consular Programs.

(12) FY 2010 Actual, FY 2011 CR, and FY 2012 Request include mandatory funding for both the Department of State and the United States Agency for International Development.

(13) FY 2010 Actual includes \$96.5 million provided by the Supplemental Appropriations Act, 2010 (P.L. 111-212).



## SUPPLEMENTAL APPROPRIATIONS

### *Supplemental Appropriations Act, 2010 (P.L. 111-212)*

(\$ in thousands)	FY 2010 Supplemental
<b>Administration of Foreign Affairs</b>	<b>1,415,800</b>
Diplomatic and Consular Programs	1,322,125
Afghanistan/Pakistan/Iraq/Mexico (1)	1,261,000
Haiti (2)	61,125
Embassy Security, Construction, and Maintenance	79,000
Office of Inspector General (3)	10,800
Emergencies in the Diplomatic and Consular Service (4)	3,700
Repatriation Loans Program Account (5)	175
<b>International Organizations</b>	<b>96,500</b>
Contributions for International Peacekeeping Activities	96,500
<b>Total, Department of State</b>	<b>1,512,300</b>

(1) Of the amount made available for diplomatic and security operations in Afghanistan, Pakistan, Iraq, and Mexico, \$51.454 million was transferred to other U.S. Government agencies for Afghanistan operations.

(2) Of the amount made available for emergency relief, rehabilitation, and reconstruction support related to Haiti following the earthquake of January 12, 2010, \$3.7 million was transferred to Emergencies in the Diplomatic and Consular Service and \$175,000 was transferred to the Repatriation Loans Program Account.

(3) Of the amount made available, \$3.6 million is for the Department of State Office of the Inspector General and \$7.2 million is a re-appropriation for the Special Inspector General for Afghanistan Reconstruction (SIGAR) originally appropriated in the Supplemental Appropriations Act, 2009 (P.L. 111-32).

(4) Total reflects \$3.7 million transferred from Diplomatic and Consular Programs.

(5) Total reflects \$175,000 transferred from Diplomatic and Consular Programs.

## SUMMARY OF DIRECT FUNDED POSITIONS

Appropriations	FY 2010 Actual	FY 2011 CR	FY 2012 Request
<b>Administration of Foreign Affairs</b>	<b>20,353</b>	<b>20,196</b>	<b>20,481</b>
State Programs	18,414	18,255	18,436
Diplomatic and Consular Programs	18,414	18,255	18,436
Ongoing Operations	16,662	16,573	16,753
Worldwide Security Protection	1,752	1,682	1,683
Embassy Security, Construction and Maintenance	993	995	1,004
Other Administration of Foreign Affairs	946	946	1,041
Conflict Stabilization Operations	218	218	301
Office of the Inspector General	318	318	318
Educational And Cultural Exchange Programs	410	410	422
<b>International Commissions</b>	<b>345</b>	<b>345</b>	<b>345</b>
International Boundary and Water Commission - S&E	295	295	295
International Boundary and Water Commission - Construction	18	18	18
American Sections	32	32	32
International Joint Commission	24	24	24
International Boundary Commission	8	8	8
<b>State Appropriations Act</b>	<b>20,698</b>	<b>20,541</b>	<b>20,826</b>

Staffing table includes U.S.-direct hire positions funded by Department of State appropriations. The table does not include Foreign Service National (FSN) and Border Security Program (BSP) fee-funded positions. FY 2010 Actual includes positions that were shifted to OCO in the FY 2011 CR level and the FY 2012 Request.

### *Increase In Foreign Service and Civil Service Positions*

	FY 2010 Actual			FY 2012 Request		
	Foreign Service	Civil Service	Subtotal	Foreign Service	Civil Service *	Subtotal
Diplomatic and Consular Programs						
Ongoing Operations	590	243	833	127	57	184
Worldwide Security Protection	97	38	135	0	1	1
Embassy, Security, Construction, & Maintenance	0	0	0	0	9	9
Conflict Stabilization Operations	0	0	0	0	0	0
Educational and Cultural Exchange Programs	2	27	29	3	0	3
State Total	689	308	997	130	67	197

Staffing table includes U.S.-direct hire positions by State Department appropriations. The table does not include Foreign Service National and Border Security Program (BSP) fee-funded positions.

\*Included in the total are three D&CP Ongoing Operations positions for Iraq funded in the OCO request.

**DEPARTMENT OF STATE APPROPRIATIONS – TEN-YEAR HISTORY**  
(Including Supplemental Funding - \$ in thousands)

Appropriations	FY 2003 Actual <sup>2</sup>	FY 2004 Actual <sup>2</sup>	FY 2005 Actual <sup>2</sup>	FY 2006 Actual <sup>2</sup>	FY 2007 Actual <sup>2</sup>	FY 2008 Actual <sup>2</sup>	FY 2009 Actual <sup>2</sup>	FY 2010 Actual <sup>2</sup>	FY 2011 <sup>1</sup> CR	FY 2012 <sup>1</sup> Request
<b>Administration of Foreign Affairs</b>										
State Programs										
Diplomatic & Consular Programs - Ongoing Operations	3,328,750	4,331,530	4,256,316	4,961,443	4,423,164	5,639,449	5,811,350	7,872,361	5,484,520	6,116,472
D&CP - Worldwide Security Protection	559,405	639,896	649,904	730,816	778,449	1,178,938	1,341,758	1,586,214	1,402,026	1,453,730
Worldwide IT Infrastructure	--	39,579	--	--	--	--	--	--	--	--
<i>Subtotal, Diplomatic &amp; Consular Programs</i>	<i>3,888,155</i>	<i>5,011,005</i>	<i>4,906,220</i>	<i>5,692,259</i>	<i>5,201,613</i>	<i>6,818,387</i>	<i>7,153,108</i>	<i>9,458,575</i>	<i>6,886,546</i>	<i>7,570,202</i>
Capital Investment Fund	182,119	79,158	51,452	58,143	58,143	59,575	323,000	139,000	139,000	125,000
Centralized IT Modernization Program	--	--	76,811	68,482	--	--	--	--	--	--
<b>Subtotal, State Programs</b>	<b>4,070,274</b>	<b>5,090,163</b>	<b>5,034,483</b>	<b>5,818,884</b>	<b>5,259,756</b>	<b>6,877,962</b>	<b>7,476,108</b>	<b>9,597,575</b>	<b>7,025,546</b>	<b>7,695,202</b>
Embassy Security, Construction & Maintenance										
Ongoing Operations	654,694	588,323	603,510	591,153	592,277	755,050	801,344	970,250	876,850	863,317
Supplemental	--	--	592,000	--	--	--	--	--	--	--
Worldwide Security Upgrades/Security Construction	604,594	753,388	774,831	799,852	799,852	638,810	1,868,025	847,300	847,300	938,200
Compound Security/Support Costs	145,499	98,948	125,303	98,722	98,723	108,414	--	--	--	--
<b>Subtotal, Embassy Security, Construction &amp; Maintenance</b>	<b>1,404,787</b>	<b>1,440,659</b>	<b>2,095,644</b>	<b>1,489,727</b>	<b>1,490,852</b>	<b>1,502,274</b>	<b>2,669,369</b>	<b>1,817,550</b>	<b>1,724,150</b>	<b>1,801,517</b>
Conflict Stabilization Operations <sup>3</sup>	--	--	--	--	--	--	45,000	80,000	120,000	92,200
Office of Inspector General	29,074	31,369	30,028	30,945	31,414	52,233	121,122	105,600	56,000	65,154
Educational & Cultural Exchange Programs	243,712	316,633	355,932	431,275	465,671	501,347	538,000	635,000	635,000	637,100
Representation Allowances	6,443	8,905	8,525	8,175	8,175	8,109	8,175	8,536	8,175	8,175
Protection of Foreign Missions & Officials	10,929	75,395	9,762	9,270	9,270	22,814	22,814	28,000	28,000	27,744
Emergencies in the Diplomatic & Consular Service	42,208	32,366	877	43,872	13,440	8,927	29,000	29,423	10,000	10,000
Buying Power Maintenance Account	--	--	--	--	--	--	5,000	30,500	8,500	0
Repatriation Loans Program Account	1,461	1,326	1,313	1,302	1,302	1,275	1,353	1,902	1,450	1,800
Payment to the American Institute in Taiwan	18,330	18,584	19,222	19,499	15,826	16,219	16,840	23,158	21,174	23,320
<i>Foreign Service Retirement &amp; Disability Fund (Mandatory, non-add)</i>	<i>138,200</i>	<i>134,979</i>	<i>132,600</i>	<i>131,700</i>	<i>126,400</i>	<i>158,900</i>	<i>157,100</i>	<i>158,900</i>	<i>158,900</i>	<i>158,900</i>
<b>Subtotal, Administration of Foreign Affairs</b>	<b>5,827,218</b>	<b>7,015,400</b>	<b>7,555,786</b>	<b>7,852,949</b>	<b>7,295,706</b>	<b>8,991,160</b>	<b>10,932,781</b>	<b>12,357,244</b>	<b>9,637,995</b>	<b>10,362,212</b>

# DEPARTMENT OF STATE APPROPRIATIONS – TEN-YEAR HISTORY

(Including Supplemental Funding - \$ in thousands)

Appropriation	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011 <sup>1</sup>	FY 2012 <sup>1</sup>
	Actual <sup>2</sup>	Actual <sup>2</sup>	Actual <sup>2</sup>	Actual <sup>2</sup>	Actual <sup>2</sup>	Actual <sup>2</sup>	Actual <sup>2</sup>	Actual <sup>2</sup>	CR	Request
<b>International Organizations</b>										
Contributions to International Organizations	893,837	999,830	1,166,212	1,151,317	1,201,317	1,409,429	1,604,400	1,682,500	1,682,500	1,619,400
Contributions for International Peacekeeping Activities	635,865	695,056	1,113,455	1,152,075	1,418,275	2,064,225	2,388,500	2,221,500	2,125,000	1,920,000
<b>Subtotal, International Organizations</b>	<b>1,529,702</b>	<b>1,694,886</b>	<b>2,279,667</b>	<b>2,303,392</b>	<b>2,619,592</b>	<b>3,473,654</b>	<b>3,992,900</b>	<b>3,904,000</b>	<b>3,807,500</b>	<b>3,539,400</b>
<b>International Commissions (Function 300)</b>										
International Boundary & Water Commission - Salaries & Expenses	25,316	25,726	26,880	27,642	28,368	30,184	32,455	33,000	33,000	45,591
International Boundary & Water Commission - Construction	5,415	3,514	5,239	5,232	5,232	125,209	263,051	43,250	43,250	31,900
American Sections	9,410	8,850	9,466	9,911	9,962	10,851	11,649	12,608	12,608	11,996
International Joint Commission	6,205	5,491	6,214	6,417	6,450	7,131	7,559	8,000	8,000	7,237
International Boundary Commission	1,136	1,248	1,231	1,411	1,418	1,518	1,970	2,359	2,359	2,433
Border Environment Cooperation Commission	2,069	2,111	2,021	2,083	2,094	2,202	2,120	2,249	2,249	2,326
International Fisheries Commissions	17,589	19,097	21,688	23,693	23,693	26,312	29,925	53,976	53,976	31,291
<b>Subtotal, International Commissions (Function 300)</b>	<b>57,730</b>	<b>57,187</b>	<b>63,273</b>	<b>66,478</b>	<b>67,255</b>	<b>192,556</b>	<b>337,080</b>	<b>142,834</b>	<b>142,834</b>	<b>120,778</b>
<b>Related Programs</b>										
The Asia Foundation	10,376	12,863	12,826	13,821	13,821	15,374	16,000	19,000	19,000	14,906
Center for Middle Eastern-Western Dialogue - Trust Fund	--	6,679	6,660	4,936	--	--	--	--	--	--
Center for Middle Eastern-Western Dialogue - Program	--	247	622	740	740	868	875	875	875	840
Eisenhower Exchange Fellowship Program	497	495	493	494	494	496	500	500	500	500
Israeli Arab Scholarship Program	373	371	370	370	370	372	177	375	375	375
East-West Center	17,883	17,692	19,240	18,994	18,994	19,342	21,000	23,000	23,000	10,830
National Endowment for Democracy	41,727	39,579	59,199	74,042	74,042	--	115,000	118,000	118,000	104,000
<b>Subtotal, Related Programs</b>	<b>70,856</b>	<b>77,926</b>	<b>99,410</b>	<b>113,397</b>	<b>108,461</b>	<b>36,452</b>	<b>153,552</b>	<b>161,750</b>	<b>161,750</b>	<b>131,451</b>
<b>TOTAL, DEPARTMENT OF STATE APPROPRIATIONS</b>	<b>7,485,506</b>	<b>8,845,399</b>	<b>9,998,136</b>	<b>10,336,216</b>	<b>10,091,014</b>	<b>12,693,822</b>	<b>15,416,313</b>	<b>16,565,828</b>	<b>13,750,079</b>	<b>14,153,841</b>
<sup>1</sup> Funding for non-base requirements for contingency operations requested in Overseas Contingency Operations (OCO) Account in FY 2011 is notional spread of prior appropriations.										
<sup>2</sup> Actuals include transfers.										
<sup>3</sup> Conflict Stabilization Operations (CSO) formerly known as Civilian Stabilization Initiative (CSI).										
<b>Subtotal, Department of State Function 150</b>	<b>7,427,776</b>	<b>8,788,212</b>	<b>9,934,863</b>	<b>10,269,738</b>	<b>10,023,759</b>	<b>12,501,266</b>	<b>15,079,233</b>	<b>16,422,994</b>	<b>13,607,245</b>	<b>14,033,063</b>

## PUBLIC DIPLOMACY RESOURCE SUMMARY

(\$ in thousands)

	FY 2010 Actual				FY 2011 CR				FY 2012 Request			
	Positions	American Salaries	Bureau Managed	Total	Positions	American Salaries	Bureau Managed	Total	Positions	American Salaries	Bureau Managed	Total
<b>Diplomatic and Consular Programs</b>												
<i>Regional Bureaus</i>	773	103,142	279,598	382,740	773	103,142	279,598	382,740	773	103,142	259,291	362,433
<i>Bureau of International Information Programs</i>	276	29,168	73,398	102,566	276	29,168	80,298	109,466	277	29,294	106,817	136,111
<i>Functional Bureaus</i>	52	5,765	19,666	25,431	52	5,765	19,666	25,431	52	5,765	19,553	25,318
<i>Payment – FSNSLTF</i>	-	-	2,238	2,238	-	-	2,238	2,238	-	-	2,509	2,509
<i>Central Program Increases/Decreases (1)</i>	-	-	-	-	-	-	(17,396)	(17,396)	15	2,113	7,991	10,104
<b>Total, Public Diplomacy</b>	1,101	138,075	374,900	512,975	1,101	138,075	364,404	502,479	1,117	140,314	396,161	536,475
<b>Sect. 810 USIEE Act Fees</b>	-	-	8,816	8,816	-	-	11,000	11,000	-	-	11,000	11,000
<b>Representation Allowances</b>	-	-	1,986	1,986	-	-	1,868	1,868	-	-	1,868	1,868
<b>Educational and Cultural Exchanges</b>	410	-	635,000	635,000	410	-	635,000	635,000	422	-	637,100	637,100
<b>National Endowment for Democracy</b>	-	-	118,000	118,000	-	-	118,000	118,000	-	-	104,000	104,000
<b>East West Center</b>	-	-	23,000	23,000	-	-	23,000	23,000	-	-	10,830	10,830
<b>Eisenhower Exchange Fellowship Program</b>	-	-	500	500	-	-	500	500	-	-	500	500
<b>Israeli Arab Scholarship Program</b>	-	-	375	375	-	-	375	375	-	-	375	375
<b>Total, Public Diplomacy</b>	1,511	138,075	1,162,577	1,300,652	1,511	138,075	1,154,147	1,292,222	1,539	140,314	1,161,834	1,302,148

(1) FY 2011 CR reflects the shift of resources, totaling \$17.4 million to OCO in support of Afghanistan and Pakistan public diplomacy programs.

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# DEPARTMENT OF STATE FEE COLLECTIONS

## *Overview*

Like other U.S. Government agencies, the Department of State has the authority to charge user fees pursuant to 31 U.S.C. 9701. The Department also has specific statutory authority to charge certain consular fees (8 U.S.C. 1351; 22 U.S.C. 214, 4201, 4215, 4219, 4223, 4225), as well as certain other fees – for example, fees for the use of the National Foreign Affairs Training Center authorized in section 53 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2725). While certain of these fees are required to be deposited into miscellaneous receipts in accordance with 31 U.S.C. 3302(b), a number of fee authorities specifically permit the Department retain the fees collected. The following section cites the authority for major fee collections that are retained by the Department (or, in the case of the H and L fraud prevention and detection fee, deposited into the Treasury but then made partially available to the Department) and notes the use of each fee.

## *Fee Collections by Bureau*

### *Bureau of Consular Affairs*

#### **Machine Readable Visa Fees**

Section 140 of Title I of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995 (8 U.S.C. 1351 note) as supplemented by 8 U.S.C. 1713, authorizes the Department to charge a fee for processing machine readable non-immigrant visas and to deposit such fees as offsetting collections to any Department appropriation to recover the costs of providing consular services. These fees finance much of the Department's Border Security Program.

#### **Expedited Passport Fees**

The Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1995 (22 U.S.C. 214 note) authorizes the Department to charge and retain a fee for expedited passport services, to be deposited as an offsetting collection. These fee receipts are used to fund information technology programs.

#### **Passport Security Surcharge and Immigrant Visa Security Surcharge**

The Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2005 (8 U.S.C. 1714), authorizes the Department to charge and retain immigrant visa and passport surcharges related to consular services in support of enhanced border security. These fees finance a significant portion of the Department's Border Security Program. *See also* Department of State Authorities Act of 2006 (P.L. 109-472) (authorizing Secretary of State to amend administratively the amounts of the surcharges).

#### **H and L Fraud Prevention and Detection Fee**

Section 426 of the L-1 Visa and H-1B Visa Reform Act (Div. J, P. L. 108-447), and Section 403 of the Emergency Supplemental Appropriations Act for Defense, the Global War on Terrorism and Tsunami Relief (8 U.S.C. 1356(v)), authorize one-third of fees collected for H-1B, H-2B, and L applications, and deposited into a special "Fraud Prevention and Detection" account to be available to the Department of State for certain fraud prevention and detection activities. These fees finance fraud prevention components of the Department's Border Security Program.

## DEPARTMENT OF STATE FEE COLLECTIONS

### **Western Hemisphere Travel Initiative Surcharge**

The Passport Services Enhancement Act of 2005 (22 U.S.C. 214(b)(1)), authorizes the Department to charge a fee to cover the Department's costs of meeting increased demand for passports as a result of the implementation of the Western Hemisphere Travel Initiative. This program implements Section 7209 of the Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) (P.L. 108-458), which mandates that the Secretary of Homeland Security, in consultation with the Secretary of State, develop and implement a plan to require U.S. citizens and certain non-U.S. citizens to present a passport or other secure documentation denoting identity and citizenship when entering the United States. The President's FY 2011 budget request included an extension of this authority through September 30, 2011, and the Department proposes a further extension of this authority through September 30, 2012.

### **Diversity Visa Lottery Fees**

Section 636 of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1153 note), provides that the Department of State may collect and retain a fee that ensures the recovery of the cost to the Department of allocating and processing applications for visas to be issued under the Diversity Lottery Program. These fee collections are available until expended to provide consular services and are deposited as an offsetting collection.

### **Affidavit of Support Fees**

Section 232 of the Admiral James W. Nance and Meg Donovan Foreign Relations Authorization Act, 2000 and 2001 (8 U.S.C. 1183a note), authorizes the Department to charge and retain fees relating to affidavits of support required under Section 213A of the Immigration and Nationality Act, 8 U.S.C. 1183a. These fee collections are available until expended and are deposited as an offsetting collection to recover the cost of providing consular services.

### **Bureau of Political-Military Affairs**

#### **Defense Trade Control Fees**

Registration fees are charged by the Directorate of Defense Trade Controls in accordance with Section 45 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2717) and are available without fiscal year limitation for specified expenses related to Defense Trade Control licensing, compliance, and enforcement activities.

### **Bureau of Economic, Energy, and Business Affairs**

#### **Commercial Services Fees**

Section 52 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2724) authorizes the Department to collect certain fees to recover the cost of providing commercial services at posts abroad in countries where the Department of Commerce does not perform commercial services. These fees are available until September 30 of the fiscal year following the fiscal year in which the funds were deposited.

### **Bureau of Educational and Cultural Affairs and Bureau of International Information Programs**

#### **Information and Educational Exchange Fees**

As authorized by section 810 of the United States Information and Educational Exchange Act (22 U.S.C. 1475e) and pursuant to annual appropriations, fees or other payments received from English teaching, library, motion pictures, and publication programs and from educational advising and counseling and exchange visitor programs are available until expended, including from the following programs:

- English Teaching Program



## DEPARTMENT OF STATE FEE COLLECTIONS

- Library Program
- Publication Program
- Book Program
- Student Advising Program
- Exchange Visitor Services
- Nouveaux Horizons
- Arabic Books

### **Foreign Service Institute**

#### **Fees for Use of National Foreign Affairs Training Center**

Section 53 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2725) authorizes the Secretary to charge a fee for use of the National Foreign Affairs Training Center of the Department of State. Amounts collected (including reimbursements and surcharges) are deposited as an offsetting collection to Department of State appropriations to recover the costs of such use and remain available for obligation until expended.

### **Bureau of Administration**

#### **Fees for Use of Diplomatic Reception Rooms**

Section 54 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2726) authorizes the Secretary to charge a fee for use of the diplomatic reception rooms of the Department of State. Amounts collected (including reimbursements and surcharges) are deposited as an offsetting collection to Department of State appropriations to recover the costs of such use and remain available until expended.

## DEPARTMENT OF STATE FEE COLLECTIONS

(\$ in thousands)	FY 2010 Actual	FY 2011 CR	FY 2012 Request
<b>Machine Readable Visa Fees</b>			
Carryforward Allocations	62,348	-	-
Prior Year Collections Unallocated	91,858	204,905	275,866
Current Year Collections Projected (Net)	976,834	1,076,600	1,089,900
Total Collections Available	1,131,040	1,281,505	1,365,766
Carryforward Allocations Planned	-	-	-
Current Year Allocation Plan	926,135	1,005,639	1,076,663
Total Allocations	926,135	1,005,639	1,076,663
Unallocated Balance	204,905	275,866	289,103
<b>Western Hemisphere Travel Initiative Surcharges</b>			
Carryforward Allocations	368	-	-
Prior Year Collections Unallocated	95,750	67,608	49,008
Current Year Collections Projected (Net)	265,748	296,400	365,750
Total Collections Available	361,866	364,008	414,758
Carryforward Allocations Planned	-	-	-
Current Year Allocation Plan	294,258	315,000	365,750
Total Allocations	294,258	315,000	365,750
Unallocated Balance	67,608	49,008	49,008
<b>Passport Security Surcharges</b>			
Carryforward Allocations	3,577	-	-
Prior Year Collections Unallocated	84,937	110,424	16,611
Current Year Collections Projected (Net)	302,652	480,000	584,000
Total Collections Available	391,166	590,424	600,611
Carryforward Allocations Planned	-	-	-
Current Year Allocation Plan	280,742	573,813	525,000
Total Allocations	280,742	573,813	525,000
Unallocated Balance	110,424	16,611	75,611
<b>Immigrant Visa Security Surcharges</b>			
Carryforward Allocations	132	-	-
Prior Year Collections Unallocated	2,207	17,506	33,006
Current Year Collections Projected (Net)	38,729	55,500	55,870
Total Collections Available	41,068	73,006	88,876
Carryforward Allocations Planned	-	-	-
Current Year Allocation Plan	23,562	40,000	55,870
Total Allocations	23,562	40,000	55,870
Unallocated Balance	17,506	33,006	33,006
<b>Affidavit of Support Fees</b>			
Carryforward Allocations	90	-	-
Prior Year Collections Unallocated	9,021	26,541	38,521
Current Year Collections Projected (Net)	40,430	34,980	35,213
Total Collections Available	49,541	61,521	73,734
Carryforward Allocations Planned	-	-	-
Current Year Allocation Plan	23,000	23,000	23,000
Total Allocations	23,000	23,000	23,000

## DEPARTMENT OF STATE FEE COLLECTIONS

(\$ in thousands)	FY 2010 Actual	FY 2011 CR	FY 2012 Request
Unallocated Balance	26,541	38,521	50,734
<b>Diversity Lottery Fees</b>			
Carryforward Allocations	575	-	-
Prior Year Collections Unallocated	10,408	12,363	14,463
Current Year Collections Projected (Net)	24,026	24,200	24,200
Total Collections Available	35,009	36,563	38,663
Carryforward Allocations Planned	-	-	-
Current Year Allocation Plan	22,646	22,100	24,700
Total Allocations	22,646	22,100	24,700
Unallocated Balance	12,363	14,463	13,963
<b>H and L Visa Fraud Prevention and Detection Fees</b>			
Carryforward Allocations	47,742	-	-
Prior Year Collections Unallocated	152,613	192,449	192,449
Current Year Collections Projected (Net)	37,859	40,000	40,000
Total Collections Available	238,214	232,449	232,449
Carryforward Allocations Planned	2,900	-	-
Current Year Allocation Plan	42,865	40,000	44,000
Total Allocations	45,765	40,000	44,000
Unallocated Balance	192,449	192,449	188,449
<b>Total Border Security Program Fees</b>			
Carryforward Allocations	114,743	-	-
Prior Year Collections Unallocated	437,773	605,256	581,404
Current Year Collections Projected (Net)	1,636,922	1,966,200	2,153,220
Total Collections Available	2,198,364	2,577,956	2,741,124
Carryforward Allocations Planned	2,900	-	-
Current Year Allocation Plan	1,582,662	1,989,552	2,084,983
Total Allocations	1,585,562	1,989,552	2,084,983
Unallocated Balance	605,256	581,404	649,141
<b>Expedited Passport Fees</b>			
Carryforward Allocations	2,514	5,555	-
Prior Year Collections Unallocated	47,494	45,072	62,072
Current Year Collections Projected (Net)	159,078	144,000	148,000
Total Collections Available	209,086	194,627	210,072
Carryforward Allocations Planned	2,514	5,555	-
Current Year Allocation Plan	161,500	127,000	139,000
Total Allocations	164,014	132,555	139,000
Unallocated Balance	45,072	62,072	71,072
<b>Defense Trade Control Fees</b>			
Carryforward Allocations	6,576	16,200	15,020
Prior Year Collections Unallocated	2,600	2,600	2,600
Current Year Collections Projected (Net)	40,000	40,000	40,000
Total Collections Available	49,176	58,800	57,620
Carryforward Allocations Planned	9,176	17,600	22,600
Current Year Allocation Plan	23,800	26,180	27,000

## DEPARTMENT OF STATE FEE COLLECTIONS

(\$ in thousands)	FY 2010 Actual	FY 2011 CR	FY 2012 Request
Total Allocations	32,976	43,780	49,600
Unallocated Balance	16,200	15,020	8,020
<b>Commercial Service Fees</b>			
Carryforward Allocations	6	-	-
Prior Year Collections Unallocated	3	8	8
Current Year Collections Projected (Net)	74	130	130
Total Collections Available	83	138	138
Carryforward Allocations Planned	-	-	-
Current Year Allocation Plan	75	130	130
Total Allocations	75	130	130
Unallocated Balance	8	8	8
<b>United States Information and Educational Exchange Act (Section 810) Fees</b>			
Carryforward Allocations	17,233	19,417	19,417
Prior Year Collections Unallocated	-	-	-
Current Year Collections Projected (Net)	11,000	11,000	11,000
Total Collections Available	28,233	30,417	30,417
Carryforward Allocations Planned	-	-	-
Current Year Allocation Plan	8,816	11,000	11,000
Total Allocations	8,816	11,000	11,000
Unallocated Balance	19,417	19,417	19,417

**Key:**

Border Security Program (BSP) fees are comprised of: Machine Readable Visa Fees; Western Hemisphere Travel Initiative; Passport Security Surcharges; Immigrant Visa Security Surcharges; Diversity Lottery Fees; and H and L Visa Fraud Prevention and Detection Fees.

Carryforward Allocations are defined as unobligated prior year funds.

Prior Year (PY) Collections Unallocated are defined as prior year funds not allocated for spending.

Current Year (CY) Collections Projected (Net) are estimated fee revenues excluding reimbursements.

Total Collections Available is the sum of carryforward, PY collections, and CY collections.

Carryforward Allocations Planned is defined as unobligated PY funds to be obligated and expended.

Current Year Allocation Plan equates to estimated revenue to be obligated and expended.

Total Allocations is the sum of carryforward and the CY plan.

Unallocated Balance represents the collections not allocated or planned for spending.

# LEGISLATIVE LANGUAGE

## *Proposed Appropriation Language Department of State and Related Agencies*

### ADMINISTRATION OF FOREIGN AFFAIRS

#### DIPLOMATIC AND CONSULAR PROGRAMS (INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Department of State and the Foreign Service not otherwise provided for, \$7,570,202,000, of which \$1,453,730,000 is for Worldwide Security Protection (to remain available until expended): Provided, That of the funds made available under this heading, \$3,000,000 is to strengthen the Department's acquisition workforce capacity and capabilities: Provided further, That, with respect to the previous proviso, such funds shall be available for training, recruitment, retention, and hiring members of the acquisition workforce as defined by the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 401 et seq.): Provided further, That, with respect to the first proviso, such funds shall be available for information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management: Provided further, That funds made available under this heading shall be allocated as follows:*

*(1) Human resources.—For necessary expenses for training, human resources management, and salaries, including employment without regard to civil service and classification laws of persons on a temporary basis (not to exceed \$700,000), as authorized by section 801 of the United States Information and Educational Exchange Act of 1948, \$2,628,275,000 to remain available until September 30, 2013, of which not less than \$140,314,000 shall be available only for public diplomacy American salaries, and \$211,815,000 is for Worldwide Security Protection and shall remain available until expended.*

*(2) Overseas programs.—For necessary expenses for the regional bureaus of the Department of State and overseas activities as authorized by law, \$2,424,318,000, to remain available until September 30, 2013, of which not less than \$396,161,000 shall be available only for public diplomacy international information programs.*

*(3) Diplomatic policy and support.—For necessary expenses for the functional bureaus of the Department of State including representation to certain international organizations in which the United States participates pursuant to treaties ratified pursuant to the advice and consent of the Senate or specific Acts of Congress, general administration, and arms control, nonproliferation and disarmament activities as authorized, \$946,615,000, to remain available until September 30, 2013.*

*(4) Security programs.—For necessary expenses for security activities, \$1,570,994,000, to remain available until September 30, 2013, of which \$1,241,915,000 is for Worldwide Security Protection and shall remain available until expended.*

*(5) Fees and payments collected.—In addition to amounts otherwise made available under this heading—*

*(A) not to exceed \$1,753,991 shall be derived from fees collected from other executive agencies for lease or use of facilities located at the International Center in accordance with section 4 of the International Center Act, and, in addition, as authorized by section 5 of such Act, \$520,150, to be derived from the reserve authorized by that section, to be used for the purposes set out in that section;*

*(B) as authorized by section 810 of the United States Information and Educational Exchange Act, not to exceed \$5,000,000, to remain available until expended, may be credited to this appropriation from fees or*

## LEGISLATIVE LANGUAGE

*other payments received from English teaching, library, motion pictures, and publication programs and from fees from educational advising and counseling and exchange visitor programs; and*

*(C) not to exceed \$15,000, which shall be derived from reimbursements, surcharges and fees for use of Blair House facilities.*

*(6)Transfer, reprogramming, and spending plan.—*

*(A) Notwithstanding any provision of this Act, funds may be reprogrammed within and between subsections under this heading subject to section 7012 of this Act.*

*(B) Of the amount made available under this heading, not to exceed \$10,000,000 may be transferred to, and merged with, funds made available by this Act under the heading "Emergencies in the Diplomatic and Consular Service", to be available only for emergency evacuations and rewards, as authorized.*

*(C) Funds appropriated under this heading are available for acquisition by exchange or purchase of passenger motor vehicles as authorized by law and, pursuant to 31 U.S.C. 1108(g), for the field examination of programs and activities in the United States funded from any account contained in this title.*

*(D) Of the amount made available under this heading, not to exceed \$1,000,000 may be transferred to, and merged with, funds made available by this Act under the heading 'Representation Allowances', to be available for official representation activities, as authorized.*

### **CAPITAL INVESTMENT FUND**

*For necessary expenses of the Capital Investment Fund, \$125,000,000, to remain available until expended, as authorized: Provided, That section 135(e) of Public Law 103–236 shall not apply to funds available under this heading.*

### **[CIVILIAN STABILIZATION INITIATIVE] CONFLICT STABILIZATION OPERATIONS**

*For necessary expenses to support, maintain, mobilize, and deploy a civilian response corps and for related reconstruction and stabilization assistance to prevent or respond to conflict or civil strife in foreign countries or regions, or to enable transition from such strife, \$92,200,000, to remain available until expended: Provided, That funds made available under this heading may be made available to provide administrative expenses for the coordination of reconstruction and stabilization activities: Provided further, That the Secretary of State may transfer and merge funds made available under any other heading in Titles I, II, III and IV of this Act with funds made available under this heading to maintain and deploy a Civilian Response Corps and to provide reconstruction and stabilization assistance: Provided further, That the Secretary may appoint, compensate and remove Civilian Response Corps personnel without regard to Civil Service or classification laws.*

### **OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General, \$65,154,000, notwithstanding section 209(a)(1) of the Foreign Service Act of 1980 (Public Law 96–465), as it relates to post inspections .*

### **EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS**

*For expenses of educational and cultural exchange programs, as authorized, \$637,100,000, to remain available until expended: Provided, That not to exceed \$5,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from or in connection with*

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*English teaching, educational advising and counseling programs, and exchange visitor programs as authorized.*

### **EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE**

*For necessary expenses for carrying out the Foreign Service Buildings Act of 1926 (22 U.S.C. 292–303), preserving, maintaining, repairing, and planning for buildings that are owned or directly leased by the Department of State, renovating, in addition to funds otherwise available, the Harry S Truman Building, and carrying out the Diplomatic Security Construction Program as authorized, \$863,317,000, to remain available until expended as authorized, of which not to exceed \$25,000 may be used for domestic and overseas representation as authorized: Provided, That none of the funds appropriated in this paragraph shall be available for acquisition of furniture, furnishings, or generators for other departments and agencies.*

*In addition, for the costs of worldwide security upgrades, acquisition, and construction as authorized, \$938,200,000, to remain available until expended.*

### **REPRESENTATION ALLOWANCES**

*For representation allowances as authorized, \$8,175,000.*

### **PROTECTION OF FOREIGN MISSIONS AND OFFICIALS**

*For expenses, not otherwise provided, to enable the Secretary of State to provide for extraordinary protective services, as authorized, \$27,744,000, to remain available until September 30, 2013.*

### **EMERGENCIES IN THE DIPLOMATIC AND CONSULAR SERVICE (INCLUDING TRANSFER OF FUNDS)**

*For necessary expenses to enable the Secretary of State to meet unforeseen emergencies arising in the Diplomatic and Consular Service, \$10,000,000, to remain available until expended as authorized, of which not to exceed \$1,000,000 may be transferred to, and merged with, funds appropriated by this Act under the heading "Repatriation Loans Program Account", subject to the same terms and conditions.*

### **PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN**

*For necessary expenses to carry out the Taiwan Relations Act (Public Law 96–8), \$23,320,000.*

### **PAYMENT TO THE FOREIGN SERVICE RETIREMENT AND DISABILITY FUND**

*For payment to the Foreign Service Retirement and Disability Fund, as authorized, \$158,900,000.*

### **REPATRIATION LOANS PROGRAM ACCOUNT (INCLUDING TRANSFER OF FUNDS)**

*For the cost of direct loans, \$1,800,000, as authorized, of which \$711,000 may be made available for administrative expenses necessary to carry out the direct loan program and may be paid to "Diplomatic and Consular Programs": Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.*

## **INTERNATIONAL ORGANIZATIONS AND CONFERENCES**

### **CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS**

*For necessary expenses, not otherwise provided for, to meet annual obligations of membership in international multilateral organizations, pursuant to treaties ratified pursuant to the advice and consent of the Senate, conventions or specific Acts of Congress, \$1,619,400,000 of which \$22,400,000 shall remain available until September 30, 2016: Provided, That any payment of arrearages under this heading shall be directed toward activities that are mutually agreed upon by the United States and the respective*

## LEGISLATIVE LANGUAGE

*international organization: Provided further, That none of the funds appropriated under this heading shall be available for a United States contribution to an international organization for the United States share of interest costs made known to the United States Government by such organization for loans incurred on or after October 1, 1984, through external borrowings.*

### **CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES**

*For necessary expenses to pay assessed and other expenses of international peacekeeping activities directed to the maintenance or restoration of international peace and security, \$1,920,000,000, to remain available until September 30, 2013: Provided, That at least 15 days in advance of voting for a new or expanded mission in the United Nations Security Council (or in an emergency as far in advance as is practicable): (1) the Committees on Appropriations should be notified of the estimated cost and length of the mission, the national interest that will be served, the planned exit strategy, and that the United Nations has taken appropriate measures to prevent United Nations employees, contractor personnel, and peacekeeping forces serving in the mission from trafficking in persons, exploiting victims of trafficking, or committing acts of illegal sexual exploitation, and to hold accountable individuals who engage in such acts while participating in the peacekeeping mission, including the prosecution in their home countries of such individuals in connection with such acts; and (2) notification pursuant to section 7012 of this Act should be submitted, and the procedures therein followed, setting forth the source of funds that will be used to pay for the cost of the new or expanded mission.*

### **INTERNATIONAL COMMISSIONS**

#### **INTERNATIONAL COMMISSIONS**

*For necessary expenses, not otherwise provided for, to meet obligations of the United States arising under treaties, or specific Acts of Congress, as follows:*

#### **INTERNATIONAL BOUNDARY AND WATER COMMISSION, UNITED STATES AND MEXICO**

*For necessary expenses for the United States Section of the International Boundary and Water Commission, United States and Mexico, and to comply with laws applicable to the United States Section, including not to exceed \$6,000 for representation; as follows:*

#### **SALARIES AND EXPENSES**

*For salaries and expenses, not otherwise provided for, \$45,591,000.*

#### **CONSTRUCTION**

*For detailed plan preparation and construction of authorized projects, \$31,900,000, to remain available until expended, as authorized.*

#### **AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS**

*For necessary expenses, not otherwise provided, for the International Joint Commission and the International Boundary Commission, United States and Canada, as authorized by treaties between the United States and Canada or Great Britain, and for the Border Environment Cooperation Commission as authorized by Public Law 103–182, \$11,996,000: Provided, That of the amount provided under this heading for the International Joint Commission, \$9,000 may be made available for representation expenses.*

#### **INTERNATIONAL FISHERIES COMMISSIONS**

*For necessary expenses for international fisheries commissions, not otherwise provided for, as authorized by law, \$31,291,000: Provided, That the United States share of such expenses may be advanced to the respective commissions pursuant to 31 U.S.C. 3324.*



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### *THE ASIA FOUNDATION*

*For a grant to The Asia Foundation, as authorized by The Asia Foundation Act (22 U.S.C. 4402), \$14,906,000, to remain available until expended, as authorized.*

### *NATIONAL ENDOWMENT FOR DEMOCRACY*

*For grants made by the Department of State to the National Endowment for Democracy, as authorized by the National Endowment for Democracy Act, \$104,000,000, to remain available until expended: Provided, That the President of the National Endowment for Democracy shall provide to the Committees on Appropriations not later than 45 days after the date of enactment of this Act a report on the proposed uses of funds under this heading on a regional and country basis.*

### *EAST-WEST CENTER*

*To enable the Secretary of State to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960, by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, \$10,830,000: Provided, That none of the funds appropriated herein shall be used to pay any salary, or enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376.*

## **TRUST FUNDS**

### *EISENHOWER EXCHANGE FELLOWSHIP PROGRAM*

*For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204–5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, 2012, to remain available until expended: Provided, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376; or for purposes which are not in accordance with OMB Circulars A-110 (Uniform Administrative Requirements) and A-122 (Cost Principles for Non-profit Organizations), including the restrictions on compensation for personal services.*

### *ISRAELI ARAB SCHOLARSHIP PROGRAM*

*For necessary expenses of the Israeli Arab Scholarship Program, as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, 2012, to remain available until expended.*

### *CENTER FOR MIDDLE EASTERN-WESTERN DIALOGUE TRUST FUND*

*For necessary expenses of the Center for Middle Eastern-Western Dialogue Trust Fund, the total amount of the interest and earnings accruing to such Fund on or before September 30, 2012, to remain available until expended.*

### ***Proposed General Provision Language***

### *CONFLICT STABILIZATION OPERATIONS*

*SEC. 7049. (a) Funds appropriated or made available under this or any other Act for reconstruction and stabilization assistance, including funds that are reprogrammed or transferred to be made available for such purposes, may be made available for such purposes, notwithstanding any other provision of law; Provided, That the administrative authorities of the Foreign Assistance Act may be utilized for assistance furnished with such funds: Provided further, That the President may furnish additional assistance by executing the authorities provided in sections 552(c) and 610 of the Foreign Assistance Act,*

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*notwithstanding the percentage and dollar limitations in such sections: Provided further, That funds allocated or reprogrammed for purposes of this section shall remain available until expended.*

*(b) Section 618 of the Foreign Assistance Act of 1961 is amended in subsection (b) by striking "and 2011" and inserting "2011, and 2012".*

### *BUYING POWER MAINTENANCE, INTERNATIONAL ORGANIZATIONS (INCLUDING TRANSFER AUTHORITY)*

*SEC. 7050. (a) There may be established in the Treasury of the United States a "Buying Power Maintenance, International Organizations" account. (b) At the end of each fiscal year, the Secretary of State may transfer to and merge with "Buying Power Maintenance, International Organizations" such amounts from "Contributions to International Organizations" as the Secretary determines are in excess of the needs of activities funded from "Contributions to International Organizations" because of fluctuations in foreign currency exchange rates.*

*(c) In order to offset adverse fluctuations in foreign currency exchange rates, the Secretary of State may transfer to and merge with "Contributions to International Organizations" such amounts from "Buying Power Maintenance, International Organizations" as the Secretary determines are necessary to provide for the activities funded from "Contributions to International Organizations".*

*(d)(1) Subject to the limitations contained in this section, not later than the end of the fifth fiscal year after the fiscal year for which funds are appropriated or otherwise made available for "Contributions to International Organizations", the Secretary of State may transfer any unobligated balance of such funds to the "Buying Power Maintenance, International Organizations" account.*

*(2) The balance of the Buying Power Maintenance, International Organizations account may not exceed \$100,000,000 as a result of any transfer under this subsection.*

*(3) Any transfer pursuant to this subsection shall be treated as a reprogramming of funds under section 34 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2706) and shall be available for obligation or expenditure only in accordance with the procedures under such section.*

*(e)(1) Funds transferred to the "Buying Power Maintenance, International Organizations" account pursuant to this section shall remain available until expended.*

*(2) The transfer authorities in this section shall be available for funds appropriated for fiscal year 2012 and for each fiscal year thereafter, and are in addition to any transfer authority otherwise available to the Department of State under other provisions of law.*

### *IT INNOVATION FUND*

*SEC. 7054. Of the funds appropriated or otherwise made available for "Diplomatic and Consular Programs" or "Capital Investment Fund", up to \$2,000,000 may be made available, including through grants and cooperative agreements, to support training, workshops, conferences, or other programs to enhance the capacity of foreign governments, nongovernmental organizations, and civil society in foreign countries to use technology in support of economic development, education, and health objectives.*

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## *Proposed Appropriation Language Department of State*

### **OVERSEAS CONTINGENCY OPERATIONS**

#### *DEPARTMENT OF STATE*

*The establishment of Overseas Contingency Operations appropriations for the Department of State and USAID will sustain current and future civilian efforts in the front-line states of Afghanistan, Pakistan, Iraq and other crisis regions. The personnel and program requirements of these operations are extraordinary in their size, scale and complexity, driven by the urgency of securing U.S. vital national security interests of countering extremism and achieving regional stability in close cooperation with other agencies and the host governments. Creation of these accounts will enable a more coordinated, transparent presentation of U.S. Government resources devoted to contingency operation. Yet while the associated costs are well above the historical funding levels for the Department and USAID, they are temporary in nature, and will vary over time commensurate with the pace of civilian activity and the security environment in each country.*

#### **ADMINISTRATION OF FOREIGN AFFAIRS**

##### *DIPLOMATIC AND CONSULAR PROGRAMS*

*For an additional amount for "Diplomatic and Consular Programs", \$4,323,255,000, to remain available until September 30, 2013, of which \$246,854,000 is for Worldwide Security Protection and shall remain available until expended: Provided, That the Secretary of State may transfer up to \$230,000,000 of the total funds made available under this heading to any other appropriation of any department or agency of the United States, upon the concurrence of the head of such department or agency, to support operations in and assistance for Afghanistan and to carry out the provisions of the Foreign Assistance Act of 1961.*

##### *OFFICE OF THE INSPECTOR GENERAL*

*For an additional amount for "Office of the Inspector General", \$62,932,000, to remain available until September 30, 2013, of which \$18,545,000 shall be for the Special Inspector General for Iraq Reconstruction for reconstruction oversight, and \$44,387,000 shall be for the Special Inspector General for Afghanistan Reconstruction for reconstruction oversight.*

#### **GENERAL PROVISIONS**

*SEC. 1. Notwithstanding any other provision of law, funds made available under the heading "Overseas Contingency Operations" are in addition to amounts appropriated or otherwise made available for the Department of State for fiscal year 2012.*

##### *(INCLUDING TRANSFER OF FUNDS)*

##### *SEC. 2.*

*(a) Unless otherwise provided for in this Act, additional amounts appropriated under the heading "Overseas Contingency Operations" to appropriation accounts in this Act shall be available under the authorities and conditions applicable to such appropriations accounts.*

*(b) The Secretary of State may, with the approval of the Director of the Office of Management and Budget, transfer up to \$100,000,000 between the appropriations or funds made available to the*

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*Department of State under the heading "Overseas Contingency Operations": Provided, That the transfer authority in this section is in addition to any transfer authority otherwise available under any other provision of law.*