

IRAQ OPERATIONS

Resource Summary

(\$ in thousands)

Appropriations	FY 2009 Actual (2)	FY 2010 Enacted	FY 2010 Supplemental	FY 2011 Request (3)	Increase / Decrease (4)
Positions (1)	590	535	129	483	(52)
Funds	1,506,913	1,121,641	1,570,000	1,787,100	665,459

(1) Includes inter-agency and temporary hires

(2) FY 2009 total includes \$336.0 million in FY 2010 forward funding provided by the Supplemental Appropriations Act, 2009 (P.L. 111-32)

(3) Excludes FY 2010 Supplemental request

(4) Difference between FY 2011 request and FY 2010 Enacted

Program Description

The Department of State plays a vital role in achieving the Administration's goal of a sovereign, stable, and self-reliant Iraq. The U.S. mission to Iraq provides impartial support on political issues, builds Iraqi capacity to deliver services, and supports Iraqi refugees and internally displaced persons. The U.S. Embassy in Baghdad must retain the required personnel and other resources to meet the challenges that support this approach and that support an Iraqi Government that is just, representative, and accountable, and provides neither support nor safe-haven to terrorists. The resources identified in the FY 2011 request are essential to this effort.

The U.S. Mission in Iraq's important and complex relationship with the Iraqi Government is served by more than 1,200 direct-hire Americans, in Baghdad and in the provinces, which represent 14 agencies operate under Chief of Mission (COM) authority. The Department utilizes a wide range of staff drawn from local Iraqis, third-country nationals, and U.S. citizens hired through a variety of mechanisms and contracts that provide security, logistics, and program support to U.S. Mission operations. The Department currently operates the U.S. Embassy in Baghdad, a Regional Embassy Office in Basrah, and 16 Provincial Reconstruction Teams (PRTs) located throughout Iraq. The PRTs and the military brigades work jointly to improve, within local institutions, the capacity to govern effectively. As the U.S. military transitions, the Department will provide robust civilian engagement through the multiple diplomatic avenues at its disposal. The Embassy seeks to empower Iraqis to take the necessary steps, politically, economically, and in the fields of security and the rule of law, to fulfill commitments made to the Iraqi people and to the international community.

The Embassy conducts business on a broad range of bilateral and multilateral issues directly with the Iraqi Government. It furthers U.S. economic and commercial interests and provides opportunities for political reporting, public diplomacy outreach, and interagency coordination on matters of interest in Iraq. The Embassy also supports many other endeavors, such as support to the Iraqi High Tribunal (which prosecutes former regime members), reconstruction and economic transition efforts, and rule of law programs supporting capacity development in the legal and judicial arena. A number of programs to train police, to assist the various ministries, and to oversee funds provided by the U.S. Government for assisting Iraq are administered by Chief of Mission personnel.

The Department is preparing to take a more robust role in Iraq as the U.S. transitions from a military and reconstruction focus toward a civilian and capacity-building focus. As the U.S. military draws down in Iraq, the Embassy will assume programmatic and support requirements from DOD. The Department will begin to supply the security and logistical support for DOS operations in Baghdad and provincial locations that was formerly provided by the military. Assuming these responsibilities will present an unprecedented set of logistical and managerial challenges.

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The Department assumed from the Coalition Provisional Authority (CPA) those authorities and responsibilities that continued after CPA termination. The Department funded U.S. Mission costs from FY 2004 to FY 2009 through supplemental appropriations. The Supplemental Appropriations Act, 2009 provided \$486 million for Iraq operations. An additional \$1,570 million in FY 2010 supplemental funding is being requested by the Department to begin the significant transition from the current Provincial Reconstruction Team structure to the new Enduring Presence Posts (EPP).

Performance

The American and Iraqi people share a common goal of an Iraq that is sovereign, stable and self-reliant. For this to occur, governance at all levels in Iraq must be politically stable, demonstrably effective, and accountable to its people. The U.S. is engaged in promoting peaceful elections and transitions of power, and the resolution of territorial issues through the process of negotiations. Training efforts foster improved responsiveness and transparency in the actions of local authorities, and the strengthening of the judiciary within the country. These are all necessary, but not sufficient, requirements for a successful transition to Iraqi self-rule.

STRATEGIC GOAL: GOVERNING JUSTLY AND DEMOCRATICALLY						
Strategic Priority	Good Governance					
Bureau Goal	Indicator is a department level measure and was developed outside of bureau strategic planning process					
Indicator	NEW INDICATOR: Stable, Effective, and Accountable Governance in Iraq (As measured by World Bank Governance Indicators of Political Stability, Government Effectiveness, Rule of Law, and Control of Corruption, respectively (scale range from approximately -2.5 to +2.5).					
FY 2006 Result	FY 2007 Result	FY 2008 Result	FY 2009 Result	FY 2009 Target	FY 2010 Target	FY 2011 Target
New Indicator, No Rating	-2.81; -1.67 -2.04; -1.45 New Indicator, No Rating	-2.69; -1.41 -1.87; -1.48 New Indicator, No Rating	Data Available in mid-2010 New Indicator, No Rating	[Baseline year]	-2.49; -1.01; -1.67; -1.46	-2.39; -0.86; -1.57; -1.41
Impact	Result and Rating not available to determine impact.					
Methodology	Extensive information on the methodology of the World Bank Governance Indicators may be found on their web site (http://info.worldbank.org/governance/wgi/sc_chart.asp).					
Data Source and Quality	The World Bank compiles and publishes annual indicators in its World Bank Governance Indicators. Each indicator is based on multiple sources and surveys, which are weighted on accuracy and level of completeness. The indicators are based on calendar year, and published in September of the following year. The World Bank provides detailed backgrounds notes online describing the data collection and statistical analysis methods. These methods have been thoroughly researched and professionally analyzed.					

Justification of Request

The Department's FY 2011 request of \$1,787 million for Iraq Operations includes overall increases to current services and support of several key initiatives. An increase of \$7.6 million for statutory pay raises, domestic and overseas inflation, and increased facilities costs in addition to \$6.4 million in overseas guard inflation for a total of \$14 million is requested to maintain the FY 2010 level of activity.

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Bureau of Near Eastern Affairs (Mission and Provincial Operations): \$278,404,000

2012 Logistics Transition Planning: \$162,741,000

Based on information from the FY 2012 planning exercise, \$162.7 million is being requested above the FY 2010 enacted levels to accommodate additional cost requirements associated with the reduction of the Department of Defense presence in Iraq. The additional anticipated costs include, but are not limited to: convoy support, life support services, motor vehicle maintenance, medical services, and air transportation in and out of Iraq. These requirements may be adjusted as the planning develops.

Continuing Operations: \$107,401,000

The request contains \$107.4 million to support ongoing operations previously funded from supplemental appropriations. These include operations and maintenance contracts, salaries, and daily operations, as well as funding to support increases in the Department's portion of the Logistics Civilian Augmentation Program (LOGCAP) contract as the DOD footprint in Baghdad diminishes.

New Locally Engaged Staff (LES): \$4,712,000

The requested funding will cover costs for new locally engaged staff positions. The number of new hires will be dependent on availability of local candidates. If local hires are not available due to security or other reasons, third-country nationals and TDY LES will continue to be utilized to meet the mission requirements.

Other Non-Recurring Costs: \$3,550,000

The request includes an increase of \$3.6 million for other non-recurring costs for specialized projects such as painting and patching the exterior surface of the Chief of Mission Residence (CMR), Deputy Chief of Mission Residence (DCR) and Staff Diplomatic apartments (SDAs).

Bureau of Diplomatic Security (Mission and Provincial Security Operations): \$378,104,000

Overseas Protective Operations: \$339,308,000

Worldwide Personal Protection Services and Baghdad Embassy Security Force (BESF) contract extensions/re-competitions are estimated to increase costs 35 percent due to inflation; implementation of DOD's Synchronized Pre-Deployment and Operational Tracker reporting system (which imposes new requirements on private security companies operating in Iraq); as well as requirements of Section 7006 of Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (Div. F, P.L. 111-117), which allows security contracts for static guard services in Iraq be awarded based on best value determinations in FY 2010. Additional costs associated with the sustainment of contract oversight are also factored into the estimates.

Security Technology: \$1,784,000

The request includes an increase of \$1.8 million for operations and support of the Baghdad Embassy Engineering Service Center, Electronic Countermeasures activities, Mobile Video support, and Technical Security equipment installation, maintenance and repair.

Physical Security Programs: \$11,422,000

The requested increase of \$11.4 million is for special protective equipment, armored vehicles, and overhead cover. Dismal road conditions in Iraq cause rapid deterioration of armored vehicles. As a result, the usual replacement cycle of five years has not been possible. Vehicles must be purchased based on a two-year replacement cycle. Overhead cover is a necessity to protect against the threat of indirect fire at vulnerable office, housing, recreational and dining facilities.

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Regional Security Operations (RSO): \$16,500,000

The requested increase of \$16.5 million is for supporting the development and fielding of systems to assist Embassy security operations. These include: the development of a full-spectrum communications program for an independent, but DOD-compatible radio/SATCOM communications capability for use in Iraq that will promote effective management and accountability of security equipment controlled by the Embassy's Regional Security Officer; the consolidation of vehicle maintenance operations for the Embassy RSO vehicle fleet including the building of a maintenance facility; armed vehicle integration of C4-I systems; start-up / implementation costs for Army Excess Materials Acquisition Support; and to subscribe to the DOD Civilian Arming Program to provide oversight and accountability for armed security personnel under Chief of Mission Authority.

Weapons of Mass Destruction (WMD) Countermeasures: \$236,000

An increase of \$0.2 million is requested to support the procurement of WMD Countermeasures equipment and training support for diplomatic locations in Iraq: Baghdad, Basrah, Hillah, Irbil and Tallil. A U.S.-based, four-person contract team travels to Iraq twice a year to provide training. A full suite of first responder equipment and personnel escape masks have been provided to post. Funding is necessary to maintain an adequate training cycle.

Personnel Security and Suitability: \$3,017,000

The Bureau's Personnel Security and Suitability Program Office conducts investigations on applicants, employees, and contractors, and responds to requests by other Federal agencies for investigations overseas to assure that granting an individual access to classified information is clearly consistent with the interests of national security. Resources are requested to provide necessary staff, logistics, and travel to perform investigations and make final security clearance adjudications, as well as suitability for employment determinations critical to the hiring process.

Threat Investigations and Analysis: \$842,000

The requested increase is needed to maintain the level of analytical support required to monitor critical threats in Iraq. The security environment is fluid as U.S. forces redeploy, thus reducing personnel and associated support. Funding will support three contract analysts, emergency travel to Iraq, and participation in USG-sponsored conferences concerning Iraq security issues.