

STATE PROGRAMS

Proposed Appropriation Language

DIPLOMATIC AND CONSULAR PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Department of State and the Foreign Service not otherwise provided for, [\$5,360,318,000] \$8,960,016,000, of which [\$1,117,000,000] \$1,648,000,000 is for Worldwide Security Protection (to remain available until expended) : *Provided, That the Secretary of State may transfer up to \$137,600,000 of the total funds made available under this heading to any other appropriation of any department or agency of the United States, upon the concurrence of the head of such department or agency, to support operations in and assistance for Afghanistan and to carry out the provisions of the Foreign Assistance Act of 1961*, to be allocated as follows:

(1) Human resources.—For necessary expenses for training, human resources management, and salaries, including employment without regard to civil service and classification laws of persons on a temporary basis (not to exceed \$700,000), as authorized by section 801 of the United States Information and Educational Exchange Act of 1948, [\$2,118,598,000] \$2,676,087,000, to remain available until September 30, [2010] 2011, of which not less than [\$130,637,000] \$138,075,000 shall be available only for public diplomacy American salaries, and, \$229,797,000 is for Worldwide Security Protection and shall remain available until expended.

(2) Overseas programs.—For necessary expenses for the regional bureaus of the Department of State and overseas activities as authorized by law, [\$1,548,617,000] \$2,777,479,000, to remain available until September 30, [2010] 2011, of which not less than [\$264,169,000] \$381,800,000 shall be available only for public diplomacy international information programs.

(3) Diplomatic policy and support.—For necessary expenses for the functional bureaus of the Department of State including representation to certain international organizations in which the United States participates pursuant to treaties ratified pursuant to the advice and consent of the Senate or specific Acts of Congress, general administration, and arms control, nonproliferation and disarmament activities as authorized, [\$585,078,000] \$917,917,000, to remain available until September 30, [2010] 2011.

(4) Security programs.—For necessary expenses for security activities, [\$1,108,025,000] \$2,588,533,000, to remain available until September 30, [2010] 2011, of which, \$1,418,203,000 is for Worldwide Security Protection and shall remain available until expended.

(5) Fees and payments collected.—In addition to amounts otherwise made available under this heading—

(A) not to exceed [\$1,605,150] \$1,653,305 shall be derived from fees collected from other executive agencies for lease or use of facilities located at the International Center in accordance with section 4 of the International Center Act, and, in addition, as authorized by section 5 of such Act, \$490,000, to be derived from the reserve authorized by that section, to be used for the purposes set out in that section;

(B) as authorized by section 810 of the United States Information and Educational Exchange Act, not to exceed \$6,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from English teaching, library, motion pictures, and publication programs and from fees from educational advising and counseling and exchange visitor programs; and

(C) not to exceed \$15,000, which shall be derived from reimbursements, surcharges and fees for use of Blair House facilities.

(6) Transfer and reprogramming.—

(A) Notwithstanding any provision of this Act, funds may be reprogrammed within and between subsections under this heading subject to section [7015] 7011 of this Act.

(B) Of the amount made available under this heading, not to exceed [\$10,000,000] \$10,000,000 may be transferred to, and merged with, funds made available by this Act under the heading "Emergencies in the Diplomatic and Consular Service", to be available only for emergency evacuations and rewards, as authorized.

(C) Funds appropriated under this heading are available for acquisition by exchange or purchase of passenger motor vehicles as authorized by law and, pursuant to 31 U.S.C. 1108(g), for the field examination of programs and activities in the United States funded from any account contained in this title. (*Department of State, Foreign Operations and Related Programs Appropriations Act 2009.*)

[For an additional amount for "Diplomatic and Consular Programs", \$1,465,700,000, to remain available until September 30, 2009, of which \$210,400,000 is for worldwide security protection and shall remain available until expended: *Provided*, That not more than \$1,150,000,000 of the funds appropriated under this heading shall be available for diplomatic operations in Iraq: *Provided further*, That of the funds appropriated under this heading, not more than \$30,000,000 shall be made available to establish and implement a coordinated civilian response capacity at the United States Department of State.]

[For an additional amount for "Diplomatic and Consular Programs", \$704,900,000, which shall become available on October 1, 2008, and remain available through September 30, 2009: *Provided*, That of the funds appropriated under this heading, \$78,400,000 is for worldwide security protection and shall remain available until expended: *Provided further*, That not more than \$550,500,000 of the funds appropriated under this heading shall be available for diplomatic operations in Iraq.] (*Supplemental Appropriations Act, 2008.*)

CAPITAL INVESTMENT FUND

For necessary expenses of the Capital Investment Fund, [\$71,000,000] \$160,000,000, to remain available until expended, as authorized: *Provided*, That section 135(e) of Public Law 103-236 shall not apply to funds available under this heading. (*Department of State, Foreign Operations and Related Programs Appropriations Act, 2009.*)

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Resource Summary

(\$ in thousands)

Appropriations	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
State Programs Appropriations	6,877,962	6,730,533	9,120,016	2,389,483
Diplomatic & Consular Programs	5,639,449	5,346,150	7,312,016	1,965,866
Diplomatic & Consular Programs - Ongoing Operations (1) (2)	3,914,449	4,677,650	5,597,016	919,366
Iraq Emergency Supplemental	1,725,000	668,500	1,715,000	1,046,500
Worldwide Security Protection (3) (4)	1,178,938	1,313,383	1,648,000	334,617
Capital Investment Fund	59,575	71,000	160,000	89,000
Fees	28,848	0	120,000	120,000
IT Central Fund (Expedited Passport Fees)	28,848	0	120,000	120,000
Total, Department of State Appropriations	6,906,810	6,730,533	9,240,016	2,509,483

FY 2008 Actual reflects the rescission of 0.81% provided by the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2008 (P.L. 110-161, Division J).

(1) FY 2008 Actual includes \$575.0 million in emergency funding provided by the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2008 (P.L. 110-161, Division J) and \$3.968 million transferred in from the Foreign Military Financing account under the same law. FY 2008 Actual also includes \$1,255.3 million in emergency funding provided by the Supplemental Appropriations Act, 2008 (P.L. 110-252) and \$26.0 million from the Buying Power Maintenance Account under the same law.

(2) FY 2009 Estimate includes \$626.5 million in bridge funding provided by the Supplemental Appropriations Act, 2008 (P.L. 110-252). FY 2009 Estimate also includes \$476.332 million in funding requested in the pending FY 2009 supplemental request.

(3) FY 2008 Actual includes \$206.632 million in emergency funding provided by the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2008 (P.L. 110-161, Division J). FY 2008 Actual also includes \$210.4 million in emergency funding provided by the Supplemental Appropriations Act, 2008 (P.L. 110-252).

(4) FY 2009 Estimate includes \$78.4 million in bridge funding provided by the Supplemental Appropriations Act, 2008 (P.L. 110-252). FY 2009 Estimate also includes \$117.983 million in funding requested in the pending FY 2009 supplemental request.

Overview

Charged with implementing U.S. foreign policy in an era of extraordinary challenges, the Department of State projects a forceful American presence around the world. Through a network of more than 260 posts in over 180 countries, the Department engages globally to advance together national security interests, U.S. development efforts, and American democratic values.

The Department manages official relations with foreign governments and international organizations, as well as providing services to American citizens, supporting U.S. businesses, reaching out to foreign publics through public diplomacy, and developing the extensive local contacts necessary to conduct the business of foreign affairs.

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State Programs appropriations (Diplomatic and Consular Programs and the Capital Investment Fund) address operating requirements to fulfill the Department's mandates as a national security institution. These appropriations support the people, platform, and programs necessary to meet the international challenges to American security and welfare.

Highlights by Account

Diplomatic and Consular Programs – Ongoing Operations

The FY 2010 request of \$7,312,016,000 for Diplomatic and Consular Programs is a net increase of \$1,965,866,000 from the FY 2009 estimate of \$5,346,150,000, including FY 2009 supplemental funding. The request provides resources to promote diplomatic solutions, including positions for language and critical skills development and public diplomacy programs, and to provide for the cost of living, domestic and overseas inflation, and other mandatory and high priority increases. The request also fully funds ongoing priority programs in Afghanistan and Pakistan that were supported through supplemental funding in prior years.

Diplomatic and Consular Programs - Worldwide Security Protection

The FY 2010 request for Worldwide Security Protection (WSP) is \$1,648,000,000, an increase of \$334,617,000 above the FY 2009 estimate, which will provide funding for the protection of life, property, and information of the Department of State. WSP funding supports security staffing of more than 1,500 personnel and a worldwide guard force protecting overseas diplomatic missions and residences and domestic facilities. The request includes implementation of the Department's Visa and Passport Security Strategy in support of the National Implementation Plan for the War on Terror and includes funding for the security training facility.

Capital Investment Fund

The FY 2010 request of \$160,000,000 for the Capital Investment Fund (CIF) sustains the Department's program of investment in information technology (IT). The FY 2010 IT Central Fund, which includes the CIF combined with \$120,000,000 in estimated Expedited Passport Fees, will provide a total of \$280,000,000 to support the Department's IT and communications systems initiatives.

Border Security Program

The FY 2010 request for the Border Security Program is \$1,657,515,000, to be funded by Machine Readable Visa (MRV), Enhanced Border Security Program, Western Hemisphere Travel Initiative, and Fraud Prevention fees.

Other Fees

Diversity Lottery, Fingerprint Fees, and Affidavit of Support Fees – The FY 2010 program includes an estimate of \$7,200,000 for the Diversity Lottery Program. Section 636 of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 provides that the State Department may collect and retain a fee that ensures the recovery of the cost to the Department of allocating and processing applications for visas to be issued under the Diversity Lottery Program. The Department's fee is imposed on successful applicants for the Diversity Lottery Program to ensure that the costs of administering the lottery are recovered from actual users. These fee collections are available to provide consular services. The request also includes \$23,000,000 for the Affidavit of Support (AOS) Program that is funded from a fee collected from persons submitting AOS documentation.

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Defense Trade Control Fees – The FY 2010 program includes an estimate of \$23,000,000 for Defense Trade Control Registration Fees in accordance with Section 45 of the State Department Basic Authorities Act, as amended. These fees are available without fiscal year limitation to pay specified expenses incurred for defense trade control license application processing and other functions.

Commercial Services Fees – The FY 2010 program includes an estimate of \$16,000 for fees charged for the cost of providing commercial services at posts in countries where the Department of Commerce does not perform commercial services for which it collects fees. Pursuant to section 52 of the State Department Basic Authorities Act, as amended, these fee collections are available until September 30th of the fiscal year following the fiscal year in which the funds were deposited.

United States Information and Educational Exchange (U.S.I.E.E.) Fees – As authorized by section 810 of the United States Information and Educational Exchange Act, the request includes not more than \$6,000,000 that may be credited to the Diplomatic and Consular Programs appropriation from fees and other payments received from English teaching, library, motion picture, and publications programs, and from fees from educational advising and counseling, and exchange visitor programs.

An accounting of the collections received by the Department of State is provided in the Appendix.

Highlights by Activity

Policy Formulation and Executive Direction (\$416,551,000 from direct appropriations) – Direction, policy formulation, and coordination are provided by the Secretary, the Deputy Secretary, the Under Secretaries, Assistant Secretaries and other bureau heads, chiefs of diplomatic missions, and their immediate staffs. They are assisted by legislative affairs and public affairs staffs who explain to the Congress and the American public the U.S. position on foreign policy issues and interests managed by the Department.

Diplomatic Relations (\$1,386,115,000 including \$1,363,099,000 from direct appropriations, \$23,000,000 from Defense Trade Control Registration Fees, and \$16,000 from Commercial Services Fees) – The conduct of diplomatic relations involves a wide spectrum of activities. Some examples are described below.

- In-depth knowledge and understanding of political and economic events in many nations are basic requirements of diplomacy. Achieving them requires quality reporting, analysis, and personal contact work at more than 260 missions abroad and by expert staffs in Washington.
- Management is required for U.S. participation in arms control, nonproliferation, disarmament negotiations, and other verification and compliance activities.
- International economic and trade diplomacy and in-country services to American businesses have become vital to the health of the American economy. The world continues to become more interrelated economically each year through international form such as the North American Free Trade Agreement, the General Agreement on Tariffs and Trade, and Asia-Pacific Economic Cooperation (APEC).
- Promoting human rights internationally, supporting emerging democracies and economic development, improving the global environment, and meeting humanitarian emergencies that destroy political and economic well-being and stability are vital to America's long-term interest.
- There is a continuous need to keep abreast of scientific and technological developments abroad and to assist cooperatively some nations in these fields by aiding two-way exchanges of information and expert personnel.

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Public Diplomacy Activities (\$506,278,000 including \$500,278,000 from direct appropriations and \$6,000,000 from U.S.I.E.E. Fees) – Public diplomacy activities of the U.S. Government (USG) are intended to engage, inform, and influence foreign publics and broaden dialogue between American citizens and institutions and their counterparts abroad. In FY 2000, certain public diplomacy activities formerly carried out by the United States Information Agency were fully integrated into the Department of State pursuant to the Foreign Affairs Reform and Restructuring Act of 1998. Public Diplomacy within the Department of State continues to operate under the authority of the Smith-Mundt Act of 1948, as amended, the Fulbright-Hays Act of 1961, as amended and other statutes.

Consular Relations (\$1,778,947,000 including \$91,232,000 from direct appropriations, \$23,000,000 from Affidavit of Support Fees, \$7,200,000 from Diversity Lottery Fees; \$858,000,000 from Machine Readable Visa Fees; \$40,000,000 from H-1B and L Fraud Prevention Fees, \$374,415,000 from the Western Hemisphere Travel Initiative Surcharge, and \$385,100,000 from Enhanced Border Security Program fees, including \$15,100,000 in other Diversity Lottery Fees) – The events of September 11, 2001 demonstrated the national security imperative to have a robust and sophisticated system to process persons seeking visas to travel to the United States. The Department of State has experienced major increases in the cost of providing consular services as a result of enhanced security measures implemented to strengthen U.S. homeland security. This requirement continues to place a heavy burden on Department of State operations:

- Non-immigrant visa requests from foreign tourists, students, business people, investors, and government officials undergo a rigorous adjudication process at missions abroad. In FY 2007, the Department processed 8.56 million non-immigrant visa applications that generated Machine Readable Visa fee revenue. All applicants undergo a thorough screening to help ensure U.S. homeland security. The State Department expects that demand for non-immigrant visa services will grow to 10.1 million applications in FY 2009.
- Persons seeking immigrant visas to the United States also undergo comprehensive screening during the adjudication process by Consular Officials overseas. In FY 2008, the Department processed a total of 680,000 immigrant visa applications. This workload is expected to remain at the same level in FY 2009 and FY 2010.
- Routine and emergency assistance must be provided to American citizens in distress. In FY 2009 and FY 2010, the Department projects that it will respond to 2 million citizen services requests worldwide each year.
- American travelers and the U.S. travel industry need to be kept aware of dangerous situations abroad. This is done through Consular Information Sheets, Travel Warnings, and helpful tips to travelers through the Consular Affairs World Wide Web Site available at the Internet Web address: <http://travel.state.gov>.
- Passport applications must be adjudicated, and passports must be issued or denied for U.S. citizens wanting to travel abroad. In FY 2008, the Department adjudicated 16.2 million travel documents. The Department estimates passport workload to be near the FY 2008 level in FY 2009 and FY 2010.

The worldwide Border Security Program supports programs within Consular Relations, Diplomatic Security/Law Enforcement Cooperation, Information Resource Management and Training. These cross-cutting programs are required to secure American borders against terrorists, international criminals, or persons whose presence in the United States would violate U.S. immigration law. Border Security plans include continuing to enhance and refresh the equipment and systems that support worldwide consular activities. It also includes continuing modernization of the hardware and software systems that support visa name checks, operating the Border Crossing Card Program in Mexico, implementing biometric collection from visa applicants, strengthening cooperation between the consular and United States law

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enforcement and intelligence communities, enhancing American Citizen Services, and providing for the staff and operating costs of the Border Security Program. The Border Security Program relies on dedicated funding from increased MRV fees and other new fees instituted to fully recover the cost of increasing program expenses, including Enhanced Border Security fees and the Western Hemisphere Travel Initiative Surcharge.

Supporting Multilateral Diplomacy (\$58,575,000 from direct appropriations) – The United States participates in international organizations because it has an interest in working with other nations to maintain stability, uphold laws, facilitate commerce, spur economic growth, maintain a healthy environment, address urgent humanitarian needs, and halt the spread of weapons of mass destruction. Organizations in which the United States plays a leading role include the United Nations (UN), regional bodies such as North Atlantic Treaty Organization and the Organization of American States, and a variety of more specialized and technical organizations. The Department monitors and participates in the organizations through both headquarters staff and its missions to the larger organizations. The increasingly complex nature of world problems makes U.S. involvement in these multilateral organizations even more important to America's future. This activity also includes funding for State Department participation in international conferences.

Diplomatic Security/Counter-Terrorism/Worldwide Security Protection (\$1,849,940,000 from direct appropriations) – These activities provide resources, allocated by application of risk management principles, necessary to meet security responsibilities, both foreign and domestic. Included in these activities are:

- Protection of overseas U.S. government employees through local guards, technical security measures, residential security measures, and armored vehicles;
- Protection of the Secretary and visiting or resident foreign diplomats and dignitaries;
- Counterterrorism policy formulation, coordination, and research and development as well as investigations to detect passport, visa, and federal benefits fraud;
- Management of security operations at missions;
- Protection of information at headquarters through domestic guards and physical security equipment and measures;
- Protection of information worldwide through diplomatic couriers, electronic and security equipment, secure conference rooms, development and application of standards for information security, and security protection and inspection of construction property; and
- Counterintelligence investigations, background security investigations, and evaluations.

The State Department is the lead agency in USG efforts to reduce the terrorist threat to Americans overseas. The Department works closely with other governments to strengthen international counterterrorism cooperation, sends expert teams to augment U.S. embassies in crisis situations, and eliminates physical security vulnerabilities at U.S. diplomatic missions.

Information Resource Management (\$1,112,160,000 including \$992,160,000 from direct appropriations and \$120,000,000 from Expedited Passport Fees) – This program provides the resources for the effective and efficient creation, collection, processing, transmission, dissemination, storage, and disposition of information required for the formulation and execution of foreign policy and for the conduct of daily business including consular services. The information needs of the President, the Secretary of State, the Department and its overseas missions, and approximately 100 other government organizations drive the resource requirements. This activity includes:

- Corporate information systems and services such as core foreign affairs systems supporting the Secretary and principal officers; consular systems for passport and visa issuance and reporting; financial systems; administrative systems for personnel and property; and information services

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provided by Departmental libraries and publishing, records, Freedom of Information Act, and historical offices;

- Infrastructure systems such as the Diplomatic Telecommunications System; mainframe computer centers; automated data processing, communication and message centers at headquarters and at missions abroad; mail and pouch services; and special communications support for the Secretary and the White House at meetings abroad; and
- Development and maintenance of software and hardware for classified and unclassified word processing, electronic mail, spreadsheets, graphics, and data base management.

Training Services (\$215,763,000 from direct appropriations) – Investments in training are vital to a changing and streamlining organization. Training programs provide the language, area studies, information technology, consular, and other professional skills needed for the conduct of foreign relations. The Department’s training program is the principal responsibility of the Foreign Service Institute, which has an innovative training strategy designed to support directly new and emerging policy and management priorities.

Medical Services (\$45,688,000 from direct appropriations) – The Medical Program promotes the health of all under its care by encouraging prevention of illness and facilitating access to health care. This activity encompasses medical programs for the Department of State and the Foreign Service as well as other USG departments and agencies overseas. Approximately 31,000 employees and their eligible family members receive medical care at about 200 overseas health units overseas and in Washington, D.C.

Rental Payments to GSA (\$186,634,000 from direct appropriations) – GSA finances its real property management activities through user charges, set at commercially comparable rates, collected from agencies occupying GSA-controlled properties. This funding provides payment for domestic space occupied by the Department.

Overseas Program Support (\$853,315,000 from direct appropriations) – This activity includes administrative activities at more than 260 posts abroad, including personnel and financial management services, building maintenance staff, shipping and customs clearance, and motor pool services.

Domestic Infrastructure and Program Support (\$649,611,000 from direct appropriations) – This activity includes the infrastructure located in the United States that is dedicated to the administrative support of U.S. diplomatic activities and other USG agencies overseas, such as domestic personnel and financial management services, domestic building operations and routine maintenance, acquisition services, and other general administrative services.

Post Assignment Travel (\$176,170,000 from direct appropriations) – Post Assignment Travel funds the cost of travel, transportation, and related items in connection with the appointment, transfer, and separation of the Department’s American full-time permanent staff and their families. Tours of duty are generally three or four years at most overseas posts, and one or two years at posts with hardship conditions.

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Funds *Resource Summary* (\$ in thousands)

Activities	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase/ Decrease
Policy Formulation	519,477	411,633	416,551	4,918
Conduct of Diplomatic Relations	847,805	1,136,079	1,363,099	227,020
Public Diplomacy	340,628	410,385	506,278	95,893
Conduct of Consular Relations	58,667	152,182	91,232	(60,950)
Multilateral Diplomacy	53,626	55,383	58,575	3,192
Diplomatic Security/Counterterrorism/Worldwide Security Protection	1,189,886	1,560,419	1,849,940	289,521
Information Resource Management	513,982	532,620	1,112,160	579,540
Training Services	79,988	169,584	215,763	46,179
Medical Services	26,568	29,555	45,688	16,133
Rental Payments to GSA	142,019	162,430	186,634	24,204
Overseas Program Support	656,865	686,399	853,315	166,916
Domestic Infrastructure and Program Support	592,432	594,396	649,611	55,215
Post Assignment Travel	159,867	160,968	176,170	15,202
Supplemental: Iraq Operations	1,725,000	668,500	1,715,000	1,046,500
Total	6,906,810	6,730,533	9,240,016	2,509,483

Includes appropriated funds and selected fees on page 13.

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Highlights of Budget Changes

(\$ in thousands)

	Diplomatic & Consular Programs	Worldwide Security Protection	Capital Investment Fund	Total
FY 2008 Actual	5,639,449	1,178,938	59,575	6,877,962
FY 2009 Estimate	5,346,150	1,313,383	71,000	6,730,533
FY 2010 Adjusted Base (1) (2)	4,243,318	1,117,000	-	-
Current Services				
Base Adjustment	657,147	154,104	-	811,251
Facility Operating Cost	24,677	-	-	24,677
Transfer	-	-	-	-
IT O&M	233,739	-	-	233,739
Regularize Program Funding	398,731	154,104	-	552,835
Annualization of FY 2009 Requirements	83,059	3,533	-	86,592
Annualized Prior Year COLA Adjustment	12,465	2,390	-	14,855
Annualized Foreign Service Modernization	10,584	1,143	-	11,727
New Positions	60,010	-	-	60,010
Anticipated FY2010 Wage and Price Requirements	190,645	89,651	-	280,296
American COLA	37,004	6,054	-	43,058
Foreign Service Modernization	20,253	2,839	-	23,092
Workers Compensation	742	-	-	742
Locally-Engaged Staff Wage Increases	67,989	6,396	-	74,385
Overseas Inflation	17,317	18,069	-	35,386
Domestic Inflation	28,548	8,470	-	37,018
GSA Rents	18,320	1,103	-	19,423
Medical Inflation	472	-	-	472
Domestic Guard Inflation	-	1,803	-	1,803
Local Guard Program & Other Global Inflation	-	44,917	-	44,917
Total, Built-In Changes	930,851	247,288	-	1,178,139
Iraq Regularization	1,715,000	-	-	1,715,000
Total, Current Services Request	6,889,169	1,364,288	-	2,893,139
Program Changes				
Program Change Request	422,847	283,712	89,000	795,559
Total, Program Changes	422,847	283,712	89,000	795,559
Total, FY 2010 Requests	7,312,016	1,648,000	160,000	9,120,016

(1) FY 2010 Adjusted Base for Diplomatic and Consular Programs excludes \$626.5 million in bridge funding provided by the Supplemental Appropriations Act, 2008 (P.L. 110-252), and \$476.332 million in emergency funding requested in the pending FY 2009 supplemental.

(2) FY 2010 Adjusted Base for Worldwide Security Protection excludes \$78.4 million in bridge funding provided by the Supplemental Appropriations Act, 2008 (P.L. 110-252), and \$117.983 million in emergency funding requested in the pending FY 2009 supplemental.

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Explanation of Current Estimate

Diplomatic and Consular Programs – Ongoing Operations

The FY 2010 request for Diplomatic and Consular Programs (D&CP) Ongoing Operations is \$7,312,016,000 an increase of \$1,965,866,000 above the FY 2009 estimate of \$5,346,150,000. The \$1,715,000 is to normalize Iraq Operations previously funded through supplemental. Of the total increase, \$931,851,000 is for current services and \$422,847,000 is for program increases.

The net increase for FY 2010 base adjustments and built-in changes covers, anticipated American pay increase, and overall locally engaged staff wage increases of 5.2 percent based on anticipated rates of overseas consumer price inflation, as well as a 0.50 percent increase for domestic inflation and an overall average 4.0 percent increase for overseas non-wage inflation. A summary of these increases, are as follows:

- Base Adjustments: \$657,147,000
- Annualization of FY 2009 Requirements: \$83,059,000
- Anticipated FY 2010 Wage Requirements: \$125,246,000
- Anticipated FY2010 Price Requirements: \$65,399,000
- Iraq Operations Regularization: \$1,715,000,000

FY 2010 Program Changes

To meet the challenges to American security and welfare in the international arena, the Department seeks the following increases totaling \$422,847,000 to build the capacity of American diplomacy and to strengthen outreach to foreign publics.

Human Resources: \$124,923,000, including 565 Positions

Human Resources Initiative: \$118,279,000, including 565 Positions

The Department's FY 2010 request for strategic hiring is an ambitious, long-range strategy to support a growing overseas mission, engage our multilateral coalition and organizational partners, conduct economic diplomacy, expand public diplomacy, and increase interagency partnerships and activities. In combination with the 500 Foreign Service positions funded in the FY 2009 appropriation, the 496 Foreign Service positions funded through this initiative represent the second phase of overall staffing plan for FY 2009 through FY 2013, reflecting the Secretary's goal to increase the DOS Foreign Service (FS) workforce by approximately 25 percent by the end of FY 2013. This initiative also includes 69 civil service positions. Additional Foreign Service positions are presented at the bureau-level in support of specific initiatives.

Bureau of Human Resources: \$2,263,000

HR Shared Services: \$2,263,000

To achieve high quality service standards and lower overall costs, the Department will establish single integrated human resources tiered service delivery system for the Department. The objective of this effort is to improve and automate HR services to enhance customer service and reduce processing times. The outcome of this effort will be stronger and more streamlined HR support of Department managers in

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pursuit of their core missions, thereby improving organizational performance. During the past year, the Department has gone from 61 percent to 67 percent customer satisfaction when managers are surveyed about HR services. Based upon industry and government benchmarks, the goal is to improve this statistic to over 80 percent during the next seven years. These improvements will result from improved cycle times for key recruiting and staffing functions, which will allow managers to focus more of their energies on their core missions of improving organizational performance.

Training: \$4,381,000

Additional funds are required to deliver training to support top foreign policy priorities, as well as to support the wider Federal government as one of five OPM-authorized eTraining service providers and one of three OMB-approved providers of computer security training. An ever increasingly critical part of FSI's training outreach will involve greater use of technology and distance learning to increase both the reach and efficiency of delivery. In addition, funds will support the operational costs related to the expansion of the Shultz Center, slated for occupancy in September 2009, and training of new positions established in FY 2010.

Overseas Programs: \$199,088,000, including 123 Positions

Afghanistan: \$60,000,000

There is an urgent requirement for an additional \$60,000,000 to support the Department-managed air transport in Afghanistan that was started with supplemental funding in FY 2008 and FY 2009. The Air Wing provides safer, more reliable air transportation for up to 35,000 individual personnel movements per year to over 40 PRT locations, with and without airstrips, within Afghanistan – which amounts to an estimated 8 sorties per day. The Air Wing is also necessary to support medical evacuation, Quick Reaction Force transport, and security operations (convoy cover, aerial escort, reconnaissance/aerial survey, etc). FY 2008 supplemental and FY 2009 bridge funding was not sufficient to meet all transportation requirements. The Mission's acquisition of additional aircraft would meet requirements for reliable, responsive transport that are able to fly in hot zones with defensive capabilities not available through a commercial lease under the operational control and direction of the Embassy.

Overseas Policy Support: \$46,398,000, including 78 Positions

Bureau of African Affairs: \$2,386,000, including 8 Positions

Eight new positions are required to support U.S. foreign policy priorities and administrative growth occurring throughout Africa. These positions will enable AF to maintain effective and efficient operations that better serve American citizens, promote U.S. interest abroad, pursue diplomatic solutions to national security issues, protect America's borders, and confront threats to U.S. security. Five additional positions are needed to support goals in Achieving Peace and Security in Niamey, Monrovia, Khartoum, Conakry and Kinshasa and new positions are needed to support goals in Promoting Economic Growth and Prosperity in Gaborone, N'Djamena and Port Louis, Malabo.

Bureau of East Asian and Pacific Affairs: \$2,456,000, including 4 Positions

To effectively advocate for U.S. policy and advance foreign policy goals, additional positions are required in the region to address government and human rights, religious freedom, refugee problems, economic policy reform, trafficking in persons, environmental preservation and energy security. Additional positions will be added in Bangkok, Manila, the Taiwan Coordination Desk; and to coordinate engagement with the Association of Southeast Asian Nations

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Bureau of Near Eastern Affairs: \$10,926,000, including 20 Positions

The Department request includes resources to support six overseas and four domestic positions to expand overall reporting and outreach ability through Iran Watchers, at the same time building up a cadre of officers with Farsi language and Iranian policy expertise. A deeper bench of such Foreign Service officers is needed to fill positions worldwide to confront the continuing challenge posed by the regime in Tehran. Additional positions are required to support post operations involving U.S. Israel military and security ties, monitoring the Palestinian and Lebanon crisis, increasing presence in the key commercial hub in Dubai, and expanding U.S. presence in Libya. The Department of Defense has requested that State assign Foreign Service officers with Iran experience to support their activities in Iraq, Afghanistan, and various military commands in the U.S. and overseas.

Bureau of South and Central Asian Affairs: \$12,709,000, including 36 Positions

Increases in American and Locally Engaged Staff positions are needed to support operations in South and Central Asia. The request includes funds for fifteen overseas positions for Afghanistan, as well as Locally Engaged Staff and operational support, which will allow the Department to complement increased military operations with expanded civilian reconstruction efforts at the provincial and district levels and in Kabul. This request also includes funds for eleven overseas positions for Pakistan to support the management and oversight of expanded programs in that country. Ten domestic positions are included to manage Afghanistan and Pakistan programs and to support a separate Executive Office for the Bureau, which is required to manage the expanded presence and funding for Afghanistan and Pakistan.

Bureau of Western Hemisphere Affairs: \$3,126,000, including 10 Positions

The establishment of two domestic and eight overseas direct hire positions will enable the Department to maintain effective and efficient operations that better serve American citizens, promote U.S. interests abroad, pursue diplomatic solutions to national security issues, protect America's borders, confront threats to U.S. security, influence foreign opinion through public diplomacy, and engage and educate through exchange programs. Three of the positions will be assigned domestically to coordinate Leahy human rights vetting, assist with grants and help partner nations promote their innovative technologies. The overseas positions will be assigned to more than a dozen posts and will serve to strengthen Information System Security programs; provide adequate staffing based on post size, growth, and other complexities; support Public Diplomacy efforts; comply with OIG report recommendations and promote American interests in the implementation and execution of successful trade agreements.

Foreign Service Separation Liability Trust Fund: \$14,039,000

Beginning in FY 1992, a proportionate share of each successive year's annual accrued liability of the FSNSLTF has been funded by the Diplomatic and Consular Programs appropriation. In FY 2010, an increase of \$17,841,000 is needed to support the direct costs associated with Foreign Service Nationals funded through D&CP, to fund the State share of costs associated with ICASS Foreign Service Nationals, and to fully cover estimated liability growth of the FSNSLTF. \$3,802,000 is included within the Public Diplomacy request for costs associated with Public Diplomacy Foreign Service Nationals.

Public Diplomacy: \$62,396,000, including 20 Positions

An increase of \$62,396,000 and 20 positions is requested for Public Diplomacy programs to reinforce the three key objectives in the Public Diplomacy (PD) framework: (1) to promote the United States, its culture, society and policies to encourage greater mutual understanding; (2) to diminish the global threat to

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Americans and our foreign partners posed by the rise of violent extremism; and (3) to foster a sense of common interests and values between Americans and people of different countries, cultures and faiths.

Funds will support targeted outreach programs including Youth Enrichment Programs, summer enrichment initiatives that reach young people and non-elite groups and expose them to American values and ideas in their own countries. These Youth Enrichment Programs are designed to reach youths between the ages of 8 and 14 and target underprivileged children or children of underserved regions. Each program offers an experience that provides a greater understanding about the U.S., develops an understanding that no cause, no complaint – no matter how legitimate – can ever justify killing innocent people, presents a vision of hope rooted in liberty and justice, respect for all, and provides tools that will put young people on a path towards a better education and jobs.

These funds will also continue other programs initially funded by emergency supplemental appropriations in FY 2007, including support of the Public Diplomacy Video Production Team, which creates strong, engaging web-based video that communicates key U.S. values and counters terrorist ideologies in exactly the medium and format demanded by today's users; the Strategic Speakers Initiative, a program that recruits prominent U.S. experts to engage foreign opinion leaders on strategic themes; the Counterterrorism Communication Center, which provides leadership to the entire USG in the war of ideas and the coordination of USG communications strategies; and the Digital Outreach Team, which actively engages on Arabic-language websites to impart accurate information about U.S. policies and rebut misinformation. This increase will also allow the Department to continue outreach in Persian, Arabic, and Chinese and to communicate the scope and depth of American engagement around the world.

Investments in people and evaluation are also critical to achieving PD national security objectives. This increase will support new, sophisticated evaluation tools that build on the piloting of the Mission Activity Tracker, which provides timely data on public diplomacy activities in the field, and the Performance Measurement Data Collection Project, a landmark study of the effectiveness of public diplomacy programs.

Twenty new Public Diplomacy U.S. positions would be added overseas and domestically, as well as increase the number of locally-engaged staff positions overseas. Additional personnel resources are critical to the Department's ability to solidify and institutionalize improvements to public diplomacy efforts within the framework of the National Strategy for Public Diplomacy and Strategic communication.

Multilateral Diplomacy Support: \$4,636,000

In order for the Department to effectively manage expanding U.S. policy priorities in the UN as well as accountability of multilateral programs, ten new positions are required to provide various critical functions, including oversight of the UN funds and programs such as the United Nations Children's Fund (UNICEF), United Nations Development Program (UNDP), World Food Program (WFP), the UN Office of Internal Oversight Services, and the UN Independent Audit and Advisory Committee; providing expertise on environmental and energy concerns expressed by UN organizations; ensuring the work of the UN organizations in Rome is fully integrated with country-level strategic planning under the U.S. foreign assistance framework; and reporting on FAO's role and activities related to trade capacity building and other international initiatives. Without adequate resources, U.S. missions will be unable to perform their critical functions overseas which support accomplishment of their mission and priorities of pursuing U.S. foreign policy through results driven, transparent, accountable, and efficient international organizations.

The FY 2010 request also supports authorized housing slots that are not used due to lack of funding. USUN New York has had difficulty recruiting and retaining top-quality Foreign Service officers to serve

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at this mission due to the financial disincentives of living and working in New York City. Without this funding, USUN New York will be unable to recruit and retain top-quality employees to serve critical diplomatic functions in New York.

The United States has committed to hosting the Asian Pacific Economic Conference (APEC) in 2011. Funding is required in FY 2010 to prepare to host the year-long series of APEC conferences for the procurement of computers and other office equipment; web site services; design and production of the U.S. and APEC theme and related exhibition/conference materials; contract staff; site surveys; advance payments for some service firms that would be incurring costs for preparations for APEC; and, rental of additional office space.

Overseas Infrastructure: \$18,130,000

The Department requests these funds in FY 2010 to support diplomatic missions that promote and protect U.S. interests abroad. The lack of adequate physical infrastructure adversely impacts diplomatic readiness. To maintain an effective level of operations, a recurring base for funding overseas infrastructure must be maintained. This funding level supports the first year of a three-year plan to restore appropriate funding for infrastructure funds to support bureau requirements. Funds will support the acquisition of motor vehicles, furniture and equipment, and training for mission staff.

Office of the Medical Director: \$7,528,000, including 25 Positions

The number of Foreign Service officers and their eligible family members as well as other non traditional Foreign Service employees assigned overseas continues to grow. The increasing number of employees and family members overseas requires a comparable increase in medical personnel assigned both in the United States and overseas to respond to their needs. In response to critical area-specific shortages of services and proper medical staffing, the Department needs to position new Foreign Service health specialists in several posts. Another three positions will provide for gap coverage and permit longer language training for Foreign Service Medical Personnel, and five positions will be added to the Office of the Medical Director in Washington, DC.

Funds will also support costs associated with the larger number of pre-employment medical clearances and staff required to meet the Department's Diplomacy 3.0 hiring surge.

Diplomatic Policy and Support: \$98,837,000, including 114 Positions

Office of the Secretary: \$8,310,000, including 32 Positions

These funds will support top level negotiations by the Under Secretary for Economic, Energy and Agricultural Affairs; the Under Secretary for Democracy and Global Affairs; and the Under Secretary for Arms Control and International Security to secure international support for U.S. foreign policy goals and initiatives. Funds will support activities such as climate negotiations; Northern Ireland talks; democracy promotion; and outreach on trafficking in persons, refugee, and human rights issues. Domestic outreach is also required to engage industry and non-governmental partners in support of our international climate policy and other foreign policy objectives. The new positions of Special Envoy for Nuclear Nonproliferation and Special Advisor to the Secretary for Biofuels also require increases in FY 2010. Resources requested will also support positions to support the Secretary of State's new strategies for engaging Iran; new approaches to achieving peace in the Middle East; and to support the President's new strategy on Afghanistan and Pakistan.

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An increase for the Office of the Coordinator for Counterterrorism will enhance the Department's ability to lead the international cooperation and coordination in combating terrorism, including undertaking negotiations with partner countries and engaging with key partners on the development of common strategies and programs to achieve counterterrorism goals and objectives. With these funds, the Department will expand the Regional Strategic Initiative and support the Foreign Emergency Support Team (FEST), two new positions to support State's participation in the National Exercise Program and National Response Framework and management of the Regional Strategic Initiative.

In addition, the current design of the Operations Center is based on outdated business practices and fails to capitalize on opportunities for collaboration stemming from developments in knowledge management and new technologies. Funds are needed for design contracting fees and office furniture and equipment. The Operations Center will also utilize Infodesk, a news subscription service that filters and organizes the growing number of news feeds in real-time, providing breaking news alerts and in-depth analysis, in customized formats for its users.

An increase is also included for the Office of Civil Rights, which recently underwent a realignment to increase the effectiveness of the Department of State's Equal Employment Opportunity and Diversity initiatives and to become fully compliant with all EEO mandates. Increased emphasis will be placed on customer service, and strengthening relationships with affinity groups. Funding will be used to create six new positions including a Deputy Chief Diversity Officer position, a customer service officer, two positions in the Diversity Management and Outreach Unit, and two positions in the new Title VI and IX Program Unit, as well as for training and other operational costs.

This request includes nine new positions for the Office of the Chief of Protocol to support visits by foreign heads of state as well as outreach efforts and the travel requirements of the Diplomatic Corps.

Arms Control and International Security: \$10,138,000, including 16 Positions

Defense Trade Controls Funding Source Shift: (\$3,159,000)

Direct appropriated funding for the Office of Defense Trade Controls is reduced to reflect an anticipated increase in the use of fees in FY 2010.

Enhanced State-Defense Collaboration: \$1,036,000, including 4 Positions

The Bureau of Political Military Affairs has significantly expanded State-Defense collaboration through initiatives that seek to balance hard and soft power in dealing with foreign policy challenges. In order to sustain and build on this momentum, \$1,036,000 is required for the Interagency Counterinsurgency Initiative; support for the 24/7 Political Military Action Team; travel in support of engagement with combatant commands, military services, and defense agencies; and seven new positions.

Two new positions will support new jointly-managed State-Defense security assistance programs ("1206" programs), authorized under section 1206 of the National Defense Authorization Act for Fiscal Year 2006 (P.L. 109-163), which allows the Department of Defense, with the concurrence of the Secretary of State, to train and equip foreign military forces. 1206 programs total hundreds of millions of dollars annually, and additional manpower is required to ensure adequate State program oversight and management. Two positions will support new political-military planning efforts to ensure that military planning processes are guided and actual plans are informed by foreign policy expertise and goals. Three positions will provide management support for the rapidly expanding Political Advisor (POLAD) program that provides experienced diplomats to the staffs of military commanders and other senior Defense officials.

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International Security and Non-Proliferation: \$3,105,000, including 1 Position

These funds will enable the Department to meet treaty obligations and fulfill the diplomatic mission of the Bureau of International Security and Non-Proliferation. Funds are requested to support the estimated costs for the U.S. assessment for participation in the 2010 Nuclear Nonproliferation Treaty (NPT) Review Conference. The NPT is the bedrock of U.S. nuclear nonproliferation strategy, providing legal underpinning for the system of rules and laws that define the nuclear nonproliferation regime. As the leader in global nuclear nonproliferation efforts, and as the largest contributor to NPT meetings, the United States must set an example and, among other things, pay costs related to the NPT in full and on time.

Diplomacy is the Department's primary tool for achieving U.S. WMD nonproliferation objectives. The Bureau's work ranges from engagement in high-profile diplomatic efforts such as Iran and North Korea, to the management of implementation of major world-wide Presidential initiatives such as the Global Initiative to Combat Nuclear Terrorism and the Proliferation Security Initiative, to labor-intensive work in bolstering the international nuclear nonproliferation regime, promoting peaceful uses of nuclear energy in ways that are safe and proliferation-resistant, and ensuring effective implementation of many existing nonproliferation and arms control agreements. Funds are required for one position and travel to meet ever-increasing diplomatic requirements, especially as the cost of travel increases and the focus of Bureau work has shifted from Europe to the Middle East and East Asia.

Verification, Compliance, and Implementation: \$9,156,000, including 11 Positions

To meet FY 2010 priorities for verification, compliance, and implementation of arms control, nonproliferation, and disarmament agreements and commitments, 11 additional positions are needed. This increased staffing will support a mix of Civil Service Foreign Affairs Officers, Physical Science Officers, and Foreign Service officers in the following areas: Biological, Chemical, Nuclear, Ballistic and Cruise Missile Verification Analysts; Nuclear Materials Life-cycle Production Analysts; Proliferation Response Analysts; and Weapons of Mass Destruction (WMD) and Missile Sanctions Technology Analysts.

The United States seeks the earliest possible denuclearization of North Korea and is committed to achieving long-term peace, security, and regional stability in Northeast Asia. Given the U.S. Government's responsibility to integrate verification requirements and capabilities into the negotiation of arms control, nonproliferation, and disarmament agreements and commitments, the Department is requesting \$2,000,000 in FY 2010 to support technical requirements related to disablement and dismantlement activities in North Korea, including substantial analysis that will be required by U.S. technical experts.

An increase of \$1,000,000 will support the Verification Assets Fund (V Fund). The Department acts as a coordinating entity within the Federal Government to leverage the use of the V Fund to assist other departments and agencies in the development of programs critical for proliferation detection, verification of agreements and commitments, and ensuring compliance.

The Department is requesting \$613,000 in FY 2010 to develop a Biological Weapons database, promote compliance with the Biological and Toxin Weapons Convention (BWC), and address critical compliance concerns. In an effort to support the elimination of the WMD threat in the biological arena and to promote the peace and security of BWC members, it is necessary to have one central repository where the genetic make up of pathogens is kept on file for verification purposes. This repository, the Pathogen Strain Genome Sequence Database, will be a comprehensive compilation of genomic sequences of all known strains of the primary human, animal and plant pathogens of biological weapons concern, coupled with the metadata that define each strain. This database will consolidate all the currently scattered sequence data from multiple agencies, allowing rapid and unfettered access to all available data. In addition, appropriate

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tools will be provided to carry out bio-forensic comparative analyses to establish evidence suitable for bio-crime attribution in court or diplomatic proceedings.

An increase of \$3,810,000 is required for the Nuclear Risk Reduction Center. Pursuant to the 1987 Soviet-American Nuclear Risk Reduction Center (NRRC) Agreement (as revised in 2000), the NRRC operates for the U.S. Government a critical 24-hour, 7-day-a-week Watch Center for message receipt and handling of notifications and other communications to support implementation of arms control and other security agreements. To this end, the NRRC is required to translate incoming foreign language notifications and other messages; disseminate information received to other U.S. Government agencies and departments; and execute operational alerts, as necessary, for time-sensitive notifications requiring immediate attention. Funds will support IT modernization; an updated Watch Center; Continuity of Operations and Emergency Planning; and the Watch Officer Exchange Program.

Democracy and Global Affairs: \$5,995,000, including 6 Positions

Bureau of Democracy, Human Rights, and Labor: \$2,481,000

An increase of \$2,481,000 is needed to support the promotion of human rights and democracy. The Department uses the open competition grant process to implement foreign assistance programs with a broad range of NGOs that specialize in this field. Costs have increased as many of these projects are implemented in difficult and dangerous locations such as Syria, Cuba and Iran. The request includes funding for an initial contingent of Democracy Liaison Officers to United States missions to regional and multilateral organizations, as well as to United States combatant commands, in accordance with the ADVANCE Democracy Act of 2007 (Title XXI of P.L. 110-53). The Country Reports on Human Rights Practices are used by U.S. government agencies as a reference tool for considering human rights and democracy policy towards various countries and to inform U.S. foreign assistance decisions. In recent years, the reporting requirements have increased to include religious intolerance, anti-Semitism, executive-branch corruption, citizens' right to access government-held information, persecution of homosexuals, child marriage, and Internet freedom. This request includes part-time staffing copy editors and proofers for creation of the report. The requested increase will also support Leahy human rights vetting.

Oceans, Environment and Science: \$1,378,000

This increase in funds will enable the Department to represent U.S. interests overseas, to face increasing international demands and to travel to participate in negotiations of international treaties and agreements, where U.S. Government representation is critical to protecting U.S. interests. For example, climate change is a central issue in a wide range of international forums beyond the core U.N. Framework Convention negotiations, such as accelerating the phase-out of hydrofluorocarbons (HFCs) under the Montreal Protocol, and addressing the impacts of climate change on efforts to protect the Arctic and Antarctic. Other new and evolving areas include: protecting the world's fish stocks from destructive practices such as driftnet fishing while protecting endangered species such as sea turtles, reinforcing alliances with strategic partners through a growing range of bilateral Science and Technology agreements, leading global responses to tropical and chronic infectious diseases and global health care worker shortages, building critical cooperation on space with China, Korea, Japan and India, and improving access to safe drinking water and sanitation through implementation of the Water for the Poor Act. The Department will coordinate a major effort to delimit the Extended Continental Shelf (ECS) of the United States, to define U.S. rights over oil, gas, and other mineral resources in an area that is estimated to be twice the size of California. This request also includes implementation of the U.S. international strategy for space-based positioning, navigation, and timing, to ensure that the U.S. Global Positioning System (GPS), a military and civil system, remains a global standard used by consumers and governments for important economic, environmental and other critical applications.

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These funds will also support the Jefferson Science Fellows Program, a unique public/private partnership in which participating U.S. universities send tenured professors to work one year at State and subsequently to serve as consultants for five years after they return to their universities. These professors are experts in a range of scientific and engineering disciplines. They are selected through a national competition managed by the National Academies. Universities pay salaries and benefits (a minimum of approximately \$250,000/year) for a faculty member selected as a JSF and the Carnegie and MacArthur Foundations provided grants for stipends to each fellow to help defray per diem costs and provide travel funds for their assignments.

Office to Monitor and Combat Trafficking in Persons: \$453,000, including 2 Positions

These funds will support the Department's ability to make the most accurate assessments of foreign governments as countries are assessed on the annual *Trafficking in Persons Report* to Congress; to more vigorously and effectively monitor and evaluate on-going USG-funded programs; and to support the administrative contractor support. It also supports the increased costs of printed outreach materials including the annual TIP Report. This program must continue to fulfill mandates as outlined in the Trafficking Victims Protection Act of 2000 and subsequent reauthorizations addressing the prosecution of traffickers, protection of victims, and prevention of trafficking.

Economic, Energy, and Business Affairs: \$1,683,000, including 4 Positions

The work of the Bureau of Economic, Energy, and Business Affairs (EEB) lies at the critical nexus of economic prosperity and national security; advancing progress in one contributes to progress in the other. In the current global environment, threats to national security emanate from countries that are marginalized from the global economy. While numerous USG agencies are involved in some aspect of international economic engagement, EEB builds coherence and synergies involving the full range of policies that promote U.S. economic goals.

This increase in resources will support the Office of the Special Envoy for Eurasian Energy Diplomacy to carry out its vital mission of working to get new energy sources into global markets. Most of the work of the Eurasian Energy Office involves high level foreign negotiations, thus the bulk of the required funding is for travel. Funds will also support the growing Business Facilitation and Investment Funds program, which enables overseas posts without a Commercial Service presence to support U.S. businesses. This program will focus on strategic regional training programs and IT improvements, as well as support trade capacity building, investment promotion, business climate seminars, and trade shows.

Four positions are required to enhance policy support within the Office of Eurasian Energy Diplomacy, the Office of International Energy and Commodity Policy, and the Office of Intellectual Property Enforcement, as well as to support the Special Coordinator for the Economic Empowerment in Strategic Regions (EESR) initiative, the Telecommunications Leadership Program, and administrative support for the Department's partnership with the Department of Commerce through which the 100 non-Commercial Service embassies will be able to provide increased services for U.S. businesses.

Intelligence and Research: \$1,303,000, including 15 Positions

The Department requests 15 positions to strengthen all-source analysis in several critical areas including China, the Near East, South Asia, Africa, and Russia. The Department proposes to augment analytical capabilities for China by developing an INR "Team China" concept. Strengthened INR capabilities would be used to augment coverage on Iraq, Iran, Afghanistan, and Africa and Russian conventional military issues.

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Office of the Legal Adviser: \$5,409,000, including 10 Positions

To continue to provide timely legal services on all legal issues, domestic and international, an increase of \$5,409,000 is required in FY 2010 to fill urgent personnel needs, improve records management and information technology performance, modernize office space, and bring the Department up to date with human rights reports as required by international agreements.

To meet the rising demands for legal advice and services, the addition of three attorneys, three paralegals covering six offices, and three secretaries will address the burgeoning caseload and demand for services related to victims of international terrorism litigation; the steadily increasing number of employment law cases and the potential cost in damages from litigation; and response to the implementation deadline for legislation requiring passports for travel in the Western Hemisphere pursuant to the Western Hemisphere Travel Initiative (WHTI). The additional staff will also enable the Department to advance human rights internationally by hiring a legal expert to fulfill our obligations under the various treaties (International Covenant on Civil and Political Rights, the Convention Against Torture, the Convention on the Elimination of Racial Discrimination, and two Protocols on the Rights of the Child), as well as effectively execute NARA standards for electronic records management records.

Administration and Management: \$67,682,000, including 35 Positions

Administration: \$61,720,000, including 19 Positions

Facilities and Operations: \$55,430,000, including 2 Positions

An increase of \$49,077,000 is requested for new facilities and facility renovation. In support of consolidating the Department's Washington metropolitan employees and services, the Department is pursuing leased space in the American Red Cross Building and purchase of buildings at Navy Hill. Rent and build-out costs for the American Red Cross Building are estimated at \$11,000,000 for FY 2010. The Department estimates that with the use of the facility on the projected timetable will allow for the termination of the SA-44 lease no later than 2013. The purchase of the Navy Hill buildings is estimated at \$18,978,000. This acquisition will enable the department to ultimately terminate leases at SA-22, SA-34 and SA-39. In addition, \$17,300,000 will support renovation of Buildings 644 and 84 at the Department's facility in Charleston, SC, to expand the facility to house more services, such as the Human Resources Shared Services Center. An increase of \$2,000,000 is included for Blair House, to fund specific Department requirements (i.e., "tenant improvements") beyond the capital improvements to the infrastructure that GSA is responsible for, to include communications and security infrastructure improvements in the building and incorporation of the Trowbridge House into the Blair House complex.

An increase of \$6,353,000 is requested to support expanded operations at the National Foreign Affairs Training Center (NFATC), which has extended hours of operation and expects that the new addition of Buildings K and F will be completed in 2010, as well as improvements to domestic environmental and safety programs.

These funds will also support the Overseas Schools Program and the Overseas Motor Vehicle Program. An increase to the base for Overseas Schools will support the growing number of Department dependents, as well as development and expansion of the capability of overseas schools to meet the needs of children with mild or moderate special needs, one of Department's Quality of Life goals. As part of the mission of providing effective global support for the people and programs of America's diplomacy, the Motor Vehicle Program endeavors to maintain overseas motor vehicle fleets in optimal condition. Timely and regular replacement of vehicles is part of that process and is mandated by government-wide regulations.

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Information Sharing Services: \$5,258,000, including 12 Positions

An increase of \$1,081,000 including three positions is required in FY 2010 to support the Presidential Initiative on Freedom of Information Act (FOIA) and enable the Department to address its ongoing FOIA requirements. In FY 2010 an additional \$1,497,000 and one position will be needed for declassifying activities. The Executive Order and law require the Department and all other executive agencies to conduct a declassification review of all permanent historical (25-year old) records and transfer them to the National Archives. In FY 2009, the Department will complete the review of only 90 percent of State records in custody, while the backlog of millions of referral documents continues to rise. This increase will enable the Department to review required documents in a timely manner.

An increase of \$2,680,000 and seven positions is required to support the protection of personally identifiable information and electronic records management operations. The Department has made great strides in meeting many of the federal privacy mandates, to include developing a comprehensive Breach Response Policy; establishing Core Response Groups to conduct privacy risk analysis; and creating incident report guidelines. Increased staffing will allow the Department to comply with existing federal mandates.

Logistics Management: \$787,000, including 5 Positions

Additional positions are requested to support the Integrated Logistics Management System, the State Assistance Management System, personal property management, and grants management. Funds are also included for grants management training.

Management Policy, Rightsizing and Innovation: \$245,000

An increase of \$245,000 in FY 2010 will fund an Operations and Research Analyst to support the Enterprise Data Warehouse solution for corporate data for decision-making by the Under Secretary for Management. As part of the Secretary's Management Reform Initiatives, the Enterprise Data Warehouse (EDW) solution will serve as the primary repository for corporate information for the Department of State's historical data. This program increase request is for decision support staff required to ensure end-users are achieving the desired success from the use of the EDW, thereby allowing the EDW to provide efficient, cost-saving analytic capability and facilitate effective decision-making for the DOS.

Resource Management: \$5,145,000, including 11 Positions

An increase of \$5,145,000 including 11 positions will support activities of the Bureau of Resource Management in FY 2010, including replacement of COOP hardware, operating costs of the Global Partnership Center, improvement of financial oversight and analysis, and expansion of the Office of State Programs, Operations, and Budget.

This increase also includes \$3,000,000 for annual audit fees required to support the recent switch to a new independent auditor. A significant learning curve for a new auditor is expected, and the new auditor will bring in new audit tools and sampling techniques which will involve additional contractor and staff support from the Department in order to comply with audit requests.

Also supported is the initiative to image American Payroll records and implement a paperless system in order to provide a more efficient work process. Imaging will allow multiple viewers to access files simultaneously, eliminate the need for storage facilities and make available 1200 square feet of additional space that can be utilized for other future needs.

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Resources requested will also support the expansion of the Office of State Programs, Operations, and Budget to improve budget procedures and systems, increase cross-cutting budget analysis and provide additional expertise in budget formulation and execution.

Information Resource Management: \$817,000, including 5 Positions

Five positions are requested to support the ongoing development and continued updates to the Department's enterprise architecture. The enterprise architecture for the Department's global IT infrastructure is the core underpinning of the global network. These positions will ensure a continuity of knowledge that will not only continue to support the infrastructure as it stands today but will ensure that all new development is properly guided to ensure compliance with the needs of the diplomatic mission of the Department. In addition, five positions are requested to support ongoing eGovernment efforts.

HUMAN RESOURCES INITIATIVE

Resource Summary

(\$ in thousands)

Appropriations	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
Positions	0	520	1,085	565
Funds	0	192,763	411,810	219,047

Overview

The Department's FY 2010 request for strategic hiring is an ambitious, long-range strategy to support a growing overseas mission, engage our multilateral coalition and organizational partners, conduct economic diplomacy, expand public diplomacy, and increase our interagency partnerships and activities. The 802 foreign and civil service positions funded in the FY 2010 D&CP request represent the first phase of an overall staffing plan for FY 2010 through FY 2013, reflecting the Secretary's goal to increase the DOS Foreign Service (FS) workforce by approximately 25 percent by the end of FY 2013 to fulfill these broader missions.

Justification of Request

HUMAN RESOURCE INITIATIVE: 565 positions

Developing 21st Century Skills: 213 positions

A robust diplomatic service is key to the defense of our nation. Our diplomats must be able to leverage all the diplomatic tools necessary to address the challenges of complex, ever changing, and increasingly global world – a world where terrorists and pandemics freely cross borders and thrive on the inability of failed and failing states to perform even basic sovereign responsibilities. Defeating these threats depends as much on strengthening states and societies as destroying enemies. To meet these demands and to ensure Foreign Service personnel are qualified to implement the Department's critical foreign policy agenda, the Department will expand and improve language training, particularly critical needs, by increasing the numbers of language proficient officers, increasing the level of their proficiency, and increasing the number of positions for which language proficiency is no longer "preferred" but required.

The Department's global engagement strategy requires significant enhancements to our foreign language capabilities. Of particular importance are critical needs languages such as Arabic, Chinese, Hindi, and Urdu, some of which require two years of rigorous training to reach a competency level required to interact with a host country populace. State personnel must have the language skills to interact successfully with hostile foreign press, actively engage and persuade skeptical foreign audiences, and promote U.S. interests to the widest possible audience, including non-governmental interlocutors outside of foreign capitals. This is vital if we are to carry out the President's agenda in places where America faces the greatest challenges and dangers. In FY 2009, positions were funded to build a training capacity, or complement, such that positions at posts are not vacant while employees are in language training for periods up to two years. In FY 2010, the Department plans to expand its language training among the Generalist and Specialist corps and increase the number of language designated positions to ensure they can most effectively assist in meeting the Department's vital mission requirements.

The Department is increasingly working in the broader interagency environment, both overseas and domestically. For some time now the ability to participate in exchanges as well as in Department of Defense's (DOD) education programs – the latter offering significant cost-savings from developing our own – has fallen well short of need. The Department plans to increase these professional development opportunities to ensure that employees are fully prepared to work to meet today's pressing needs.

The indicator below shows how the Department is working to strengthen its capacity to influence science policy debates internally and externally. Science and technology are key drivers of global development today and will be increasingly so in the future. Since 2000 the office of the Science Technology Advisor to the Secretary (STAS) has lead a department-wide initiative to increase the number of scientist in the Department.

HUMAN RESOURCES INITIATIVE

STRATEGIC GOAL: STRENGTHENING CONSULAR AND MANAGEMENT CAPABILITIES	
Strategic Priority: Human Resources	
Indicator: Status of S&T Fellows and S&T-Literate Recruits at State	
Target FY 2010	There are at least 50 AAAS and other S&T fellows working at State. The sixth cohort of 10 Jefferson Science Fellows is assigned in September 2009 and 50% of the JSF alumni continue to consult for the Department. The JSF program is institutionalized with 100% of the program costs assumed by the Department. HR/REE, STAS renew co-sponsorship of recruitment booths and outreach activities with AAAS and other professional societies. Diplomats in Residence actively recruit scientists and engineers at campuses. The Jefferson Fellow Distinguished Lecture Series continues and Jefferson's and AAAS fellows are used increasingly by R and PA/IVP and Speakers Program activities. Enhancements crafted by Jefferson's are made to the ESTH courses at FSI. There are at least 45 Embassy Science Fellows worldwide and some Jefferson's and AAAS fellows participate.
Target FY 2009	There are at least 50 AAAS and other S&T fellows working at State and USAID in September 2008. A fifth cohort of 10 Jefferson Science Fellows is assigned and 50 percent of the JSF alumni continue to consult for the Department. The JSF program continues on track for institutionalization with 70 percent of the program costs assumed by the Department. HR/REE, STAS restart co-sponsorship of AAAS recruitment booth and continue other outreach activities with professional societies, including with Diplomats in Residence who actively recruit new JSF prospects and student interns and young graduates at their campuses. A Jefferson Fellow Distinguished Lecture Series is initiated on a monthly basis. There are at least 40 Embassy Science Fellows. STAS/OES add a third week for all ESTH or all officers in such assignments and initiate a new S&T course.
Target FY 2008	There are at least 50 AAAS and other S&T fellows working at State. A fourth cohort of 10 Jefferson Science Fellows is assigned in September 2007 and 25 percent of the JSF alumni continue to consult for the Department. The JSF program is on track for institutionalization with part of the program costs assumed by the Department. HR/REE, STAS continue co-sponsorship of AAAS recruitment booth, other outreach activities with professional societies. Diplomats in Residence actively recruit new JSF prospects at their campuses. There are at least 35 Embassy Science Fellows.
Result FY 2008	Rating: Improved over prior year, but not met There were 35 AAAS and other S&T fellows working at State during the fellowship year beginning September 2007, and 8 Jefferson Science Fellows. The transition strategy to institutionalize the JSF program began in earnest based on a 50/50 administrative cost sharing between the Department and the Carnegie Corporation and MacArthur Foundation grants. The initiative with L to secure authorizing language from Congress for grant-making authority for science and diplomacy fellowships was unsuccessful. HR/REE budget constraints frustrated co-sponsorship of an AAAS recruitment booth, but other outreach and recruitment activities continued. There were at least 35 Embassy Science Fellows assigned for short-term projects. STAS worked closely with OES to bolster FSI course content for new ESTH officers and began planning for additional S&T courses.
Impact	The increase of S&T science fellows in S/STAS' ranks has strengthened its capacity to influence science policy debates internally and externally, interagency coordination, program directions and associated funding, and bilateral and multilateral cooperation. A new "science diplomacy," including public/private partnerships with U.S. universities, is possible and helps "shape" future foreign policy.
Result FY 2007	There were 34 AAAS and other S&T fellows working at State during the fellowship year beginning September 2006, and 6 Jefferson Science Fellows. STAS successfully launched a phased transition strategy to institutionalize the JSF program, including working with the National Academies to obtain grants from the foundations to cover part of the costs for FY08 and FY09; securing a commitment from the Department for operational funding to replace foundation support beginning in FY 2008; and working with L to submit proposed authorizing language for grant-making authority to Congress, but Congress has not yet passed it. HR/REE, STAS continue co-sponsorship of AAAS recruitment booth, other outreach activities. There were at least 37 Embassy Science Fellows assigned for short-term projects in embassies worldwide.
Result FY 2006	45 PhD scientists and engineers worked in 13 functional and all six regional bureaus, including 35 AAAS Fellows and 10 first and second year fellows from the American Institute of Physics, the Institute of Electrical and Electronics Engineers, and the American Chemical Society. HR reduced centrally-funded fellows for Science and Technology from 17 to 15. The second cohort of five new JSFs were assigned at the Department. Human Resources and the STAS Office co-sponsored a recruitment booth at American Association for the Advancement of Science annual meeting with events for minorities requirements. Over 40 Embassy Science Fellows were staffed from USG agencies for short-term assignments. HR data indicates 35 new officers have Science and Technology Credentials.

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Result FY 2005	33 American Association for the Advancement of Science's (AAAS) Fellows and seven Fellows sponsored by professional scientific societies worked in 11 functional bureaus, six regional bureaus. A new professional society fellowship sponsored by the American Chemical Society began working at mid-year. The first five Jefferson Science Fellows (JSF) began one-year assignments in September 2004, and were retained as consultants for five years thereafter. This three-year pilot program was made possible through external funding totaling \$4.6M. The STAS Office also led an effort with professional societies, universities, the Foreign Service Institute and National Defense University to design and implement a 21st century science and technology educational curriculum and training program for Foreign Service and Civil Service employees.
VERIFICATION AND VALIDATION	
Data Source and Quality	Core data sources are the number of participants in the AAAS, JSFs, and professional societies fellowships, ESFs and interns. Data quality assessment revealed moderate data limitations in the following areas: no method in place for detecting missing and duplicate data; limited independent review of results reported.

Global Engagement and Strategic Relationships: 352 positions

The Department has a long-term, comprehensive blueprint for more robust and proactive engagement of a truly global nature. In FY 2009, funding for increased hiring largely allowed the Department to backfill vacancies created by personnel assigned to expanding missions in Iraq and Afghanistan, and the increases in critical language training. The workload continues to expand in other posts around the world as American diplomats are confronted with issues that affect America's security and domestic welfare, from financial crises to global environmental challenges, AIDS, terrorism, human trafficking, and the spread of weapons of mass destruction. The positions for which funds are requested in FY 2010, will allow the Department to begin expanding its presence according to strategic priorities. Key resource components for an enhanced global presence include:

Global Outreach (332 Positions) – The Department plans to expand its diplomatic presence in strategic areas, particularly in Afghanistan and Pakistan, and the Middle East, to support our national security goals. Improving peace and stability throughout these regions requires building political and popular will against terrorism, strengthening international cooperation, and bolstering the capabilities of our friends and allies.

In other regions, the Department's global engagement will require increased resources to:

- Encourage broader participation in the political process;
- Promote the development of democratic systems;
- Promote economic opportunity and prosperity for the poor and vulnerable;
- More fully engage countries that represent a huge share of global growth and may play a major role in determining the future course of the global economy; and
- Strengthen our efforts to support governments that are experiencing setbacks, working to strengthen civil society, independent media and democratic reform.

In support of this ambitious global engagement, 72 positions will be allocated to provide essential support to various overseas posts in terms of policy development and program coordination.

Strategic Partnerships (20 Positions) – The challenges of the 21st Century require better and stronger means for interagency action and coordination. Our nation's security is best protected through an integrated effort that brings all of the federal government's resources to bear. That effort cannot be cobbled together in response to the threat, but must be the result of coordinated planning and ongoing cooperation of the type envisioned in the National Security Professional Development Program.

The request for funding to support 20 additional political advisor (POLAD) positions builds upon the expansion of this partnership initiated in the President's FY 2009 budget request to provide additional support to one and two star military commanders who lead more localized operations throughout the world. The Department will also solidify our pool of experienced political advisors by assigning more junior mid-level officers along with the more experienced Ambassador level POLADs at key military Commands. State Department POLADs with expertise in local areas and regions are able to draw on the full resources of the Department and can provide invaluable support

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to commanders who operate in an increasingly complex world where US military and diplomatic objectives intersect.

OVERSEAS PROGRAMS: 123 positions

In addition to the HRI positions, the FY 2010 D&CP request to bolster the Overseas Programs includes funding for targeted positions in support of specific bureau initiatives. Bureau chapters discuss their position needs in greater detail.

Regional Priorities: 78 positions

While funding for positions requested under the Global Outreach section above address broad worldwide program requirements throughout the Department's regional structure, there are other priority requirements identified by bureaus.

- African Affairs: Maintain an effective and efficient operation that better serves American citizens, promotes U.S. interests abroad, pursues diplomatic solutions to national security issues, protects America's borders, confronts threats to U.S. security, influences foreign opinion through public diplomacy, and engages and educates through exchange programs.
- East Asian and Pacific Affairs: Address government and human rights, religious freedom, refugee problems, economic policy reform, trafficking in persons, environmental preservation and energy security in various posts.
- European and Eurasian Affairs: Undertake new initiatives in key posts to ensure host government cooperation in priority areas such as counter-terrorism, economic development, building democratic institutions and promoting U.S. economic interests.
- Near Eastern Affairs: Expand the Iran Watch program in response to the challenges posed by Iran in areas such as pursuit of nuclear weapons capability, support for terrorists groups, and other destabilizing regional activities, as well as mediating the Palestinian and Lebanon conflicts and enhancing commercial and economic interests.
- South Central and Asian Affairs: Increase program support capacity to support rapidly expanding, dynamic growth in regional priorities, particularly in Afghanistan and Pakistan.
- Western Hemisphere Affairs: Protecting America's borders, influencing foreign opinion, expanding exchange programs, strengthening information systems security to address changes to posts threat levels, and working towards successful execution of trade agreements.

Public Diplomacy: 20 positions

The Department's global engagement activities are guided by several strategic objectives. First, the Department aims to offer a vision of hope and opportunity that is rooted in American values, particularly liberty, human rights and human dignity. Second, the Department hopes to isolate and marginalize violent extremists and undermine their efforts to appropriate religion to justify their acts of murder. Third, the Department seeks to foster a sense of common interests and values between Americans and the people of the world. Additional resources are critical to the Department's ability to solidify and institutionalize improvements to our public diplomacy efforts within the framework of the *National Strategy for Public Diplomacy and Strategic Communication*.

Worldwide Medical Program: 25 positions

The increasing number of employees and family members overseas requires a commensurate increase in medical personnel to respond to medical needs and issues, implement preventative medical programs, and respond to a dramatic increase in medical clearances for new Foreign Service hires and family members. Of critical importance are area-specific shortages of proper medical staff and services in a variety of overseas posts.

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DIPLOMATIC POLICY AND SUPPORT: 114 positions

Office of the Secretary: 32 positions

Expanding the Office of Counterterrorism will enhance the Department's ability to lead international efforts and coordination concerning the Global War on Terror, including undertaking negotiations with partner countries and engaging key partners on the development of common strategies and programs. The Department is also placing a high priority on improving the effectiveness of its Equal Opportunity and Diversity initiatives as well as ensuring full compliance with all EEO mandates.

Legal Support: 10 positions

The Department's expanded global outreach activities and commitments, and closely aligned domestic program development and support will require a proportional increase in legal activity for international as well as domestic issues. Additional staff support is necessary to address the burgeoning caseload and demand for services related to victims of international terrorism, the steadily increasing number of employment law cases and the potential cost in damages from litigation, and to respond to the implementation deadline for legislation requiring passports for travel pursuant to the Western Hemisphere Travel Initiative. This additional staff will also be able to better advance US interests by fulfilling our obligations under various treaties such as the Convention Against Torture, the International Covenant on Civil and Political Rights, and two Protocols on the Rights of the Child.

Intelligence and Research: 15 positions

As part of the Comprehensive National Cyber Initiative (CNCI) strategy the Department has additional responsibilities concerning information infrastructure, security operations and policy formulation and execution, particularly related to engaging foreign partners. As cyberspace issues continue to grow in complexity and scope it is imperative that the Department enhance its ability to meet these critical challenges. The Department plans to strengthen all-source analysis in several critical areas, including China, the Near East, Africa and Russian Conventional Military issues in order to provide more in-depth analytic coverage.

Arms Control and International Security: 16 positions

Diplomacy is the Department's primary tool for achieving our nonproliferation objectives. As such, the Department must expand its ability to engage in high-profile efforts such as Iran and North Korea, and to manage and implement various world-wide initiatives, including the Global Initiative to Combat Nuclear Terrorism and the Proliferation Security Initiative. Priorities for verification, compliance and the implementation of arms control, nonproliferation, and disarmament agreements and commitments will require additional analytical capabilities in the following areas:

- Biological, Chemical, Nuclear Ballistic and Cruise Missile verification
- Nuclear Materials Lifecycle Production
- Proliferation Response
- WMD and Missile Sanctions Technology

The Department has expanded State-Defense collaboration through initiatives that seek to balance hard and soft power in confronting foreign policy challenges. In order to sustain and build on this momentum, additional staffing resources will provide oversight and management of new jointly managed State-Defense security assistance programs authorized in the 2007 National Defense Authorization Act and support new political/military planning efforts to ensure that military planning processes are informed by foreign policy expertise and goals.

Democracy and Global Affairs: 6 positions

The Department needs to support and provide policy development and coordination in several critical areas.

The Department must increase its ability to make the most accurate assessments of foreign governments as countries are assessed in the annual *Trafficking in Persons Report* to Congress and enhance capabilities to more

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vigorously and effectively monitor and evaluate on-going USG-funded programs. Additionally, it must continue to fulfill mandates as outlined in the Trafficking Victims Protection Act of 2000 and subsequent reauthorizations addressing the prosecution of traffickers, protection of victims and prevention of trafficking.

The Department's efforts in economics and business affairs lie at the critical nexus of economic prosperity and national security; advancing progress in one contributes to progress in the other. In the current global environment, threats to national security emanate from countries that are marginalized from the global economy. While numerous USG agencies are involved in international economic engagement, the Department brings all these efforts together to build coherence and synergies involving the full range of policies that promote U.S. economic goals. Additional positions will enhance policy development and support in the Department's Eurasian energy diplomacy, international energy and commodity policy and intellectual property enforcement portfolios.

Administration and Management: 35 positions

The Department's various administrative and logistical elements require additional resources to address not only expansion and improvement of its management infrastructure, but to expand its ability to provide the full range of administrative support to a global workforce that, in light of new positions in FY 2009 and FY 2010, could experience substantial growth within a relatively short timeframe.

Training – provide training to support current critical foreign policy priorities as well as to enhance subject-specific and professional skills development curricula to ensure that the Department's workforce is prepared to address a host of complex global issues, as well as to provide additional critical needs language training staff and support resources.

Facilities, Operations and Logistics Management – staff support in various elements responsible for new facilities and existing facility renovation, as well as support for the Integrated Logistics Management System, the State Assistance Management System and the Department's personal property and grants management programs.

Information Sharing Services – expand the Department's Freedom of Information Act capabilities to fully comply with various Executive and legislative requirements, and to ensure that the Department is not vulnerable to judicial intervention, public criticism or loss of credibility and standing in the international community. Additionally, the Department must address a substantial volume of declassification activities to comply with statutory requirements.

Information Resource Management – support the on-going development and continued updates to the Department's enterprise architecture, the core underpinning of its global network. This will ensure a continuity of knowledge that will not only continue to support the current infrastructure but also see to it that new development is properly guided to ensure compliance with the Department's diplomatic mission.

Resource Management – improve budget procedures and systems, increase cross-cutting budget analysis such as exchange rate adjustments and overseas inflation impacts, provide additional expertise in budget formulation and execution and enhance program evaluations.

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FY 2010 Request Resource Summary

	Positions			Funds (\$ in thousands)			
	American		FSN	Pos Total	Bureau Managed	American Salaries	Funds Total
	Domestic	Overseas					
FY 2008 Actual	0	0	0	0	0	0	0
FY 2009 Estimate	496	24	0	520	102,105	90,658	192,763
FY 2010 Base (1)	496	24	0	520	89,385	86,845	176,230
FY 2010 Built-in Changes							
Annualization of FY 2009 American COLA	0	0	0	0	307	313	620
Annualization of Foreign Service Modernization	0	0	0	0	465	10,119	10,584
Annualization of New FY 2009 Positions	0	0	0	0	1,741	1,280	3,021
Annualization of New Positions Requested in FY 2009	0	0	0	0	31,113	25,876	56,989
Domestic Inflation	0	0	0	0	107	0	107
FY 2010 American Cost of Living Adjustment	0	0	0	0	819	957	1,776
Foreign Service Modernization	0	0	0	0	4,174	16,079	20,253
Professional Associates	0	0	0	0	5,951	0	5,951
Total Built-in Changes	0	0	0	0	44,677	54,624	99,301
FY 2010 Current Services	496	24	0	520	134,062	141,469	275,531
FY 2010 Program Changes							
Human Resources Initiative	276	289	0	565	76,827	41,452	118,279
Public Diplomacy	0	0	0	0	18,000	0	18,000
Total Program Changes	276	289	0	565	94,827	41,452	136,279
FY 2010 Request	772	313	0	1,085	228,889	182,921	411,810

(1) FY2010 Base excludes non-recurred FY2009 supplemental funds

Staff by Program Activity (positions)

	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
Conduct of Diplomatic Relations	0	500	1,065	565
Public Diplomacy	0	20	20	0
Total	0	520	1,085	565

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Funds by Program Activity (\$ in thousands)

	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
Conduct of Diplomatic Relations	0	170,355	371,100	200,745
Public Diplomacy	0	0	40,710	40,710
Total	0	170,355	411,810	241,455

FY 2010 Request Program Activities

Human Resources Initiative	Positions				Funds (\$ in thousands)		
	American		FSN	Pos	Bureau Managed	American Salaries	Funds Total
	Domestic	Overseas		Total			
Conduct of Diplomatic Relations	766	299	0	1,065	190,840	180,260	371,100
Public Diplomacy	6	14	0	20	38,049	2,661	40,710
Total	772	313	0	1,085	228,889	182,921	411,810

Funds by Object Class (\$ in thousands)

	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
1100 Personnel Compensation	0	124,361	136,054	11,693
1200 Personnel Benefits	0	45,994	46,867	873
2500 Other Services	0	0	228,889	228,889
Total	0	170,355	411,810	241,455

WORLDWIDE SECURITY PROTECTION

Resource Summary

(\$ in thousands)

Appropriations	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
Positions	1,458	1,558	1,898	340
Funds	1,178,938	1,313,383	1,648,000	334,617

FY 2008 Actual reflects the rescission of 0.81% provided by the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2008 (P.L. 110-161, Division J).

FY 2008 Actual includes \$206.632 million in emergency funding provided by the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2008 (P.L. 110-161, Division J). FY 2008 Actual also includes \$210.4 million in emergency funding provided by the Supplemental Appropriations Act, 2008 (P.L. 110-252).

FY 2009 Estimate includes \$78.4 million in bridge funding provided by the Supplemental Appropriations Act, 2008 (P.L. 110-252). FY 2009 Estimate also includes \$117.983 million in emergency funding requested in the pending FY 2009 supplemental request.

Overview

The Worldwide Security Protection (WSP) program provides core funding for the protection of life, property, and information of the Department of State. The Department must address threats against U.S. personnel and facilities worldwide. The 1998 attacks on the U.S. Embassies in Africa were the first targets of a new kind of terrorism. The U.S. National Security Strategy and the National Strategy for Combating Terrorism emphasize the need for transformational diplomacy, actively promoting freedom and human dignity through effective democracy.

WSP funding supports a worldwide guard force protecting overseas diplomatic missions and residences and domestic facilities. Security staffing of more than 1,500 personnel are deployed worldwide to protect U.S. staff and facilities. The protection of national security information and the integrity of the Department's network of information systems are also supported through this program. The Department of State is the lead Federal agency in the development and implementation of a national strategy to combat visa and passport fraud.

Justification of Request

The FY 2010 request is summarized in the following table:

WORLDWIDE SECURITY PROTECTION

(\$ in thousands)

Activities	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase/Decrease
Ongoing Security Activities	968,538	1,032,413	1,364,288	331,875
Guards - Worldwide Protection	396,937	429,642	558,052	128,410
Physical Security/Protective Equipment	103,648	106,757	138,842	32,085
Technical Support/Infrastructure	104,095	116,683	151,720	35,037
Information/Systems Security	58,915	60,682	78,879	18,197
Armored Vehicles	45,114	50,467	65,598	15,131
Personnel/Training	259,829	268,182	322,253	54,071
Regularize Iraq & Afghanistan Supplemental Positions	0	0	48,944	48,944
Supplemental Funding	210,400	196,383	0	(196,383)
Afghanistan Security Supplemental	162,400	0	0	0
Worldwide Security Supplemental	48,000	0	0	0
Afghanistan Security Bridge Supplemental	0	60,400	0	(60,400)
Worldwide Bridge Supplemental	0	18,000	0	(18,000)
Afghanistan Supplemental Request	0	101,545	0	(101,545)
Pakistan Supplemental Request	0	9,078	0	(9,078)
Life Safety Program Supplemental Request	0	7,360	0	(7,360)
Security Initiatives	0	84,587	283,712	199,125
Worldwide Security Infrastructure	0	0	21,925	21,925
Visa and Passport Security Strategy	0	67,036	52,268	(14,768)
Security Training Consolidation	0	17,551	13,375	(4,176)
Computer Security	0	0	6,349	6,349
Counterintelligence Infrastructure	0	0	1,627	1,627
Threat Investigations and Analysis	0	0	9,344	9,344
Information Technology	0	0	16,786	16,786
Diplomatic Security Information Security	0	0	5,164	5,164
Mobile Security Deployments Regularization	0	0	2,200	2,200
Overseas Protective Operations	0	0	60,982	60,982
Security Contract Oversight	0	0	6,049	6,049
Domestic Operations Contractual Services	0	0	3,637	3,637
Information Sharing Environment	0	0	1,733	1,733
Physical Security Programs	0	0	4,692	4,692
Security Technology	0	0	34,580	34,580
Domestic Security Infrastructure	0	0	8,735	8,735
Domestic Emergency Preparedness	0	0	6,729	6,729
Worldwide Information Security	0	0	18,043	18,043
Position Support Costs - Local Guard Program	0	0	8,034	8,034
Position Support Costs - Security Clearances	0	0	1,460	1,460
Total, Worldwide Security Protection	1,178,938	1,313,383	1,648,000	334,617

WORLDWIDE SECURITY PROTECTION

Maintaining Ongoing Security Activities: \$1,364,288,000, including 106 Positions

The amount required to maintain ongoing activities is \$1,364,288,000. This request includes \$93,184,000 to address FY 2009 wage and price adjustments, including anticipated price increases of local guard contracts and services overseas and \$105,160,000 to regularize FY 2008 and FY 2009 program increases for Afghanistan, Pakistan, Sudan, Somalia, and Worldwide Security Infrastructure increases in supplemental legislation. This funding supports ongoing core functions for the worldwide local guard program, high threat protection needs, security technology, armored vehicles, cyber security, and diplomatic couriers.

The FY 2010 request includes \$48,944,000 to regularize 106 positions for security in Iraq and Afghanistan that were initially funded by supplemental appropriations. The amount will restore positions in the diplomatic security personnel that were redirected to Iraq and Afghanistan to address urgent security requirements for United States personnel elsewhere in the world.

This amount provides base adjustments for recurring costs associated with prior year supplemental funding, the anticipated American pay increase, overall locally engaged staff wage increases of 5.2 percent consistent with anticipated rates of overseas consumer price inflation, an increase for domestic inflation, and an overall average 4.4 percent increase for overseas non-wage inflation.

Security Training Consolidation (Second Year): \$13,375,000, including 5 Positions

The Secretary of State's diplomacy initiative and growing threats of terrorism, civil disorder, and crime mean that Foreign Service officers and family members are increasingly called upon to live and operate in more unstable and dangerous locations worldwide. The Department must develop security strategies and training programs to protect U.S. diplomatic personnel and facilities to counter these threats.

In addition to funding provided in FY 2009 for an Architectural and Engineering study and land procurement, subsequent funding over several years is needed to build the consolidated training center. The FY 2010 request of \$13,375,000 will be used to complete site acquisition needs, perform site preparation, secure the perimeter, and construct the first phase of the consolidated security training center permanent structure and training venues according to a master plan projected to be completed in FY 2009.

Visa and Passport Security Strategy (Second Year): \$52,268,000, including 115 Positions

This request is the second year of a multi-year implementation plan designed to meet the strategic goals identified in the Visa and Passport Security Strategic Plan, a plan required by the Intelligence Reform and Terrorist Protection Act of 2004.

The FY 2010 request continues to address the development of the Overseas Criminal Investigator (OCI) program and criminal analysis capability. However, it also devotes substantial resources to enhance our domestic investigative capacity to support the Secure Border Initiative, expands our presence at key domestic sites, such as the Human Smuggling and Trafficking Center (HSTC), and addresses priority needs in the Department's training directorate and executive offices to meet the plan's capacity to build and sustain increased personnel, funding, and other activities associated with the bureau's infrastructure and staffing.

Worldwide Security Infrastructure: \$21,925,000, including 31 Positions

The Department requests additional positions to support Mission requirements worldwide. Staffing is required as a result of changes to the security environment, mission growth, and the increased demands placed on Regional Security Offices (RSOs) to protect U.S. government personnel and facilities. The positions have been requested in posts' Mission Strategic Plans and validated by the Department using the RSO staffing analysis matrix.

WORLDWIDE SECURITY PROTECTION

Computer Security: \$6,349,000

The Department has established a security service program to meet mounting requirements for cyber incident information, continuous monitoring capabilities and sophisticated threat analysis. The organizational matrix is designed to leverage its cyber security expertise in conjunction with other operational security elements to support and satisfy mission essential requirements such as network and critical infrastructure protection, intelligence and information analysis, emergency response, and law enforcement support. The request will significantly increase the Department's capabilities to prevent, monitor, detect, and respond to data loss, high risk users, and theft in compliance with the Comprehensive National Cyber Initiative.

Counterintelligence (CI) Infrastructure: \$1,627,000, including 1 Position

The request includes \$1,627,000 to enhance the Department's counterintelligence infrastructure in support of National Security objectives, including CI Pass-Through Support, Advanced Technology for the Protection of Domestic and Visiting Foreign Dignitaries and Major Events Coordination Support.

Threat Investigations and Analysis: \$9,344,000, including 26 Positions

The request includes \$9,344,000 for the Department's Directorate of Threat Investigations and Analysis, which collects, analyzes, and disseminates terrorism and law enforcement information critical to the Department's successful participation in the Information Sharing Environment. Section 1016 of the Intelligence Reform and Terrorism Prevention Act (IRTPA) addresses the most critical weaknesses identified in the September 11 Commission Report: the ability to share and synthesize terrorism-related information across the government. Compliance is mandated with Executive Order (EO) 13388, which requires all U.S. Departments and agencies to give high priority to detecting, preventing, disrupting, preempting, and mitigating the effects of terrorist activities targeting the United States.

Security Information Technology: \$16,786,000, including 2 Positions

The request includes \$16,786,000 to meet increasing requirements for advanced technology to ensure secure communications and protect classified information. The request includes staffing, Security Incident Management and Analysis System technology refresh and enhancement, replacement of OpenNet and ClassNet computers, and licensing for business process management, data warehouse toolset, database tuning, and cryptor/server replacement.

Information Security: \$5,164,000, including 7 Positions

As technology continues to change and accelerate, the Department faces new challenges and demands in carrying out its mission. Cyberspace provides both an expeditious means of communication and a ready target through which enemies can attack and cripple critical information infrastructure. The Department will protect this infrastructure through participation in the National Cyber Security Initiative and by promotion of the highest levels of cyber security awareness across the Department. Department efforts towards transformational diplomacy necessitate an increased reliance on private industry contractors in support of Missions abroad. Stemming from this increased dependence on private industry support is a greater risk for security breaches. The Department will meet these challenges through its commitment to improvement and implementation of security policies that strike the appropriate balance between safeguarding and sharing critical information. The Department's participation in the Information Sharing Environment will ensure that information related to terrorism is provided to the officials who need it in a timely manner, without compromise of security. The Department will ensure that security vulnerabilities are diminished and national requirements are surpassed by supporting international outreach and cooperation with foreign partners on strategic goals and assisting in adopting systematic approaches to concluding security agreements with allied governments and international organizations. The request includes the Life Safety Program, Information Security Compliance and Oversight, Expanded Intelligence Dissemination Requirements (War on Terror), contract support for e-Intel initiatives, and Industrial Security.

WORLDWIDE SECURITY PROTECTION

Mobile Security Deployments (MSD) Regularization: \$2,200,000

The request includes \$2,200,000 to expand the capabilities of the Department's MSD teams. MSD is the Department's on-call force to respond to threats against official U.S. interests and personnel overseas. MSD missions include augmenting high threat protective details with Tactical Support Teams. The funds will support training, equipping, and deployment of Special Agents.

Overseas Protective Operations: \$60,982,000

The request includes \$60,982,000 to support expanded overseas security operations in support of National Security objectives, including Local Guard Program/Worldwide Personal Protective Services Centralization, Worldwide Personal Protective Services Contract Renewal, economic price adjustments for direct services labor resulting directly from laws enacted and effective during the term of the contracts, severance payments for local guard contracts, MOUs with various police and/or military units worldwide, Defense Base Act insurance increases, Defense Planning and Support Staff, Residential Security Program, and Surveillance

Security Contract Oversight: \$6,049,000, including 15 Positions

Additional staffing is requested to perform inherently governmental duties and increase oversight and management of local guard, residential security, surveillance detection, and worldwide personnel protective services. The 2005 Office of the Inspector General report recommended that a review of staffing levels for management of these programs be conducted. That review recommended significant changes in the mix and number of personnel required to accomplish the mission effectively. The findings emphasized that some duties and functions being performed by contractor personnel, such as invoice review and discussion of invoice irregularities with contractor personnel, are inherently governmental and should be performed by direct-hire employees. The new staff will perform duties and functions specified as inherently governmental by both the OIG and the Department's Procurement Executive. They will monitor the work being performed by the support contractor staff to ensure they provide only guidance, not direction.

Domestic Operations Contractual Services: \$3,637,000

The request includes \$3,637,000 to support domestic security operations. The Department's domestic security missions are to provide a safe and secure work environment by providing an adequate level of protection to employees, official guests, visitors, sensitive and classified information, and property at all facilities located within the United States; provide a superior level of security at all domestic facilities through employment of sound Access Control Database management, the issuance of security identification media to authorized personnel, and the conduct of professional security incident investigation and comprehensive building security inspections by the Domestic Security Officer program; and manage and implement stringent security measures at all domestic facilities through the employment of the Uniformed Protective Officer (UPO) force, the technology and capabilities of the Security Control Center, and the expertise and established security procedures of the Special Events security staff.

Information Sharing Environment: \$1,733,000

The Information Sharing Environment (ISE) was established by Congress and the President to facilitate information sharing, access, and collaboration among users to combat terrorism more effectively. The ISE addresses the most critical weakness identified in the September 11 Commission report: the ability to share and synthesize terrorist-related data across the U.S. government. The policies, procedures, and technologies that comprise the ISE will provide Federal, state, local, tribal entities, the private sector, and foreign partners with critical information to identify threats early. The request includes ISE Infrastructure requirements and Security Incident Management and Analysis System modifications for ISE compliance.

Physical Security Programs: \$4,692,000, including 5 Positions

The Department must certify to Congress that all new building construction and major renovations comply with established security standards, provide oversight for physical security upgrades of all domestic facilities in accordance with domestic security requirements in GSA guidelines and DHS standards. To meet these

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requirements, the Department requests an additional \$3,817,000 for Physical Security Programs for armored vehicles; Special Protective Equipment; Certification, accreditation and transit security; research and development; and infrastructure support.

Security Technology: \$34,580,000, including 15 positions

With the benefit of surplus Emergency Security Supplemental and Worldwide Security Upgrade funding since FY 2001, the Department funded many new initiatives in support of the expanding security mission. The request includes \$34,580,000 to sustain and upgrade the Security Technology Integration Branch, Security Technical Specialists, Security Management System enterprise (SMSe), Vehicle Barrier Program, HSPD-12/PIV Program, AlarmNet Enterprise Architecture, Right Mix/Regional Security Technician Initiative, Explosive Detection Program, and HST Perimeter Security Upgrade Projects.

Domestic Security Infrastructure: \$8,735,000, including 7 Positions

The increase in Foreign Service and Civil Service security staffing over the past several years requires a proportionate increase in administrative staffing for personnel management, information technology, financial management, and general services support. Funding for such items as office space, vehicles, special equipment, leases, telephones, and other security and infrastructure equipment is also required.

The request is focused on bringing the infrastructure up to a level sufficient to meet critical program support needs based on increased staffing over the past three years. A variety of personnel-related functions and positions will be needed to support the previous growth. Positions requirements include human resources, logistics, and general support. The largest element of the infrastructure request will be for facility lease and office renovation funding. As a result of increased requirements to implement the Visa and Passport Security Strategic Plan and the Western Hemisphere Travel Initiative and to manage operations in Iraq and Afghanistan effectively, the Department Bureau of Diplomatic Security has outgrown its facilities. To meet the expanded responsibilities, the request includes the \$8,735,000 and seven positions for human resources, logistics management, and policy and planning support and infrastructure upgrades.

Domestic Emergency Preparedness: \$6,729,000, including 5 Positions

The request includes \$6,729,000 for domestic preparedness. In the FY 2009 President's Budget, the Department initiated implementation of its five-year strategic plan to meet Federal mandates for Homeland Security. This request would provide the second-year requirements of the implementation for the expansion of emergency communications for the Department's Alert Management System and Central Emergency Notification System; establishment of a centralized Exercise, Evaluation, and Policy Program Office; rehabilitation of the physical facilities at the Department's alternate site for Continuity of Operations/Continuity of Government (COOP/COG); and renovation of billeting at the alternate site.

Worldwide Information Security: \$18,043,000

To protect classified information and ensure that emergency communications systems are in place, the Department requests \$18,043,000 to implement updates in systems and technology. The request would provide additional security for multiple information and communications systems.

Position Support Costs - Local Guard Program: \$8,034,000

This request is for \$8,034,000 for Local Guard Program and residential security costs for new overseas positions anticipated for deployment in FY 2010.

Position Support Costs - Security Clearances: \$1,460,000

This request is for \$1,460,000 for background investigations for new overseas positions anticipated for deployment in FY 2010.

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FY 2010 Request Resource Summary

	Positions			Funds (\$ in thousands)			
	American		FSN	Pos Total	Bureau Managed	American Salaries	Funds Total
	Domestic	Overseas					
FY 2008 Actual	842	616	0	1,458	1,042,522	136,416	1,178,938
FY 2009 Estimate	875	683	0	1,558	1,151,402	161,981	1,313,383
FY 2010 Base (1)	875	683	0	1,558	1,000,815	161,981	1,162,796
FY 2010 Built-in Changes							
Afghanistan Operations - Supplemental	0	6	0	6	33,627	734	34,361
Annualization of FY 2009 American COLA	0	0	0	0	0	462	462
Annualization of FY 2009 Cost of Living Adjustment	0	0	0	0	0	1,928	1,928
Backfill 100 Iraq Positions - Supplemental	50	50	0	100	29,250	17,500	46,750
Domestic Guard Inflation	0	0	0	0	1,803	0	1,803
Domestic Inflation	0	0	0	0	8,470	0	8,470
FY 2009 Foreign Service Modernization	0	0	0	0	613	530	1,143
FY 2010 American Cost of Living Adjustment	0	0	0	0	1,963	4,091	6,054
FY 2010 Foreign Service Modernization	0	0	0	0	845	1,994	2,839
GSA Rents	0	0	0	0	1,103	0	1,103
Local Guard Program & Other Global Inflation	0	0	0	0	44,917	0	44,917
Locally Engaged Staff Wage Increases	0	0	0	0	6,396	0	6,396
Overseas Inflation	0	0	0	0	18,069	0	18,069
Pakistan Diplomatic Surge - Supplemental	0	0	0	0	404	593	997
Sudan and Somalia - Supplemental	0	0	0	0	26,200	0	26,200
Total Built-in Changes	50	56	0	106	173,660	27,832	201,492
FY 2010 Current Services	925	739	0	1,664	1,174,475	189,813	1,364,288
FY 2010 Program Changes							
Information Security	0	0	0	0	18,043	0	18,043
Position Support Costs	0	0	0	0	1,460	0	1,460
Position Support Costs - LGP	0	0	0	0	8,034	0	8,034
Consolidated DS Training Center - Second Year	5	0	0	5	12,500	875	13,375
Domestic Emergency Preparedness	5	0	0	5	6,120	609	6,729
Visa Passport Strategic Plan - Phase Two	67	48	0	115	32,143	20,125	52,268
Computer Security	0	0	0	0	6,349	0	6,349
DO Counterintelligence Infrastructure Improvements	1	0	0	1	1,452	175	1,627

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	Positions			Funds (\$ in thousands)			
	American		FSN	Pos Total	Bureau Managed	American Salaries	Funds Total
	Domestic	Overseas					
DS Information Technology	2	0	0	2	16,436	350	16,786
Directorate of Threat							
Investigations and Analysis	26	0	0	26	4,794	4,550	9,344
Information Security	7	0	0	7	3,939	1,225	5,164
MSD Program Regularization	0	0	0	0	2,200	0	2,200
Overseas Protective Operations	0	0	0	0	60,982	0	60,982
Worldwide Security							
Infrastructure	0	31	0	31	17,200	4,725	21,925
Contract Oversight	15	0	0	15	3,424	2,625	6,049
DS/DO - Contractual Services	0	0	0	0	3,637	0	3,637
Information Sharing							
Environment	0	0	0	0	1,733	0	1,733
Physical Security Programs	5	0	0	5	3,817	875	4,692
Security Technology	4	11	0	15	31,955	2,625	34,580
Strengthen DS Infrastructure	7	0	0	7	7,510	1,225	8,735
Total Program Changes	144	90	0	234	243,728	39,984	283,712
FY 2010 Request	1,069	829	0	1,898	1,418,203	229,797	1,648,000

(1) FY2010 Base excludes non-recurred FY2009 supplemental funds

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Staff by Program Activity

(positions)

	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
Beijing Olympics Security	0	0	(27)	(27)
Conduct of Diplomatic Relations	4	4	4	0
Intelligence and Research	4	4	4	0
Diplomatic Security	100	73	0	(73)
Overseas Protection of Life	0	73	0	(73)
Other Overseas Security Support	0	73	0	(73)
Domestic Administrative Support	2	0	0	0
Information Assurance Program	0	0	16	16
Infrastructure Systems	0	0	16	16
Information Management Security Implementation	0	0	16	16
Maintaining Ongoing Security Activities	393	1,481	1,905	424
Domestic Administrative Management	0	0	1	1
Domestic Operations and Maintenance	0	13	18	5
Domestic Personnel Services	0	1	0	(1)
Infrastructure Systems	0	16	0	(16)
Mission Security Operations	59	369	1,073	704
Other Overseas Security Support	0	310	0	(310)
Overseas Protection of Life	0	1,027	726	(301)
Other Overseas Security Support	0	849	0	(849)
Security Training	0	1	1	0
Policy Formulation	959	0	0	0
Bureau Direction	959	0	0	0
Local Guard Services	959	0	0	0
Total	1,458	1,558	1,898	340

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FY 2010 Request Program Activities

(\$ in thousands)

Department Of State	Positions				Funds (\$ in thousands)		
	American Domestic	Overseas	FSN	Pos Total	Bureau Managed	American Salaries	Funds Total
Beijing Olympics Security	0	0	(27)	(27)	0	0	0
Conduct of Consular Relations	0	0	0	0	0	(529)	(529)
Conduct of Diplomatic Relations	4	0	0	4	0	318	318
Intelligence and Research	4	0	0	4	0	318	318
Diplomatic Security	0	0	0	0	0	0	0
Information Assurance Program	7	9	0	16	0	0	0
Infrastructure Systems	7	9	0	16	0	0	0
Information Management Security Implementation	7	9	0	16	0	0	0
Information Resource Management	0	0	0	0	33,330	1,291	34,621
Infrastructure Systems	0	0	0	0	33,330	1,291	34,621
Information Management Security Implementation	0	0	0	0	33,330	1,291	34,621
Maintaining Ongoing Security Activities	1,058	820	27	1,905	1,382,626	227,717	1,610,343
Bureau Direction	0	0	0	0	0	189,133	189,133
Local Guard Services	0	0	0	0	0	189,133	189,133
Domestic Administrative Management	1	0	0	1	0	84	84
Domestic Operations and Maintenance	18	0	0	18	0	1,648	1,648
Environmental Health	0	0	0	0	11,293	0	11,293
Mission Security Operations	1,038	35	0	1,073	1,288,827	10,689	1,299,516
Local Guard Services	0	0	0	0	1,277,813	0	1,277,813
Other Overseas Security Support	0	0	0	0	5,272	0	5,272
Overseas Protection of Life	0	726	0	726	20,348	26,114	46,462
Security Training	1	0	0	1	4,725	49	4,774
Security Initiatives	0	0	0	0	2,247	1,000	3,247
Overseas General Services	0	0	0	0	0	1,000	1,000
Overseas Protection of Life	0	0	0	0	2,247	0	2,247
Total	1,069	829	0	1,898	1,418,203	229,797	1,648,000

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Funds by Object Class

(\$ in thousands)

	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
1100 Personnel Compensation	117,254	90,811	230,157	139,346
1200 Personnel Benefits	8,760	83,204	19,640	(63,564)
1300 Benefits Former Personnel	0	0	2,177	2,177
2100 Travel & Trans of Persons	1,281	10,002	51,157	41,155
2200 Transportation of Things	581	1,099	10,830	9,731
2300 Rents, Comm & Utilities	36,865	26,426	43,328	16,902
2400 Printing & Reproduction	34	35	843	808
2500 Other Services	266,206	1,034,631	857,638	(176,993)
2600 Supplies and Materials	3,926	15,730	35,632	19,902
3100 Personal Property	2,633	3,758	84,605	80,847
3200 Real Property	0	105	35,499	35,394
4100 Grants, Subsidies & Contrb	0	35	285	250
5946 Unknown	0	14,943	4,991	(9,952)
9000 Other	741,398	32,604	271,218	238,614
Total	1,178,938	1,313,383	1,648,000	334,617

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Resource Summary

(\$ in thousands)

Appropriations	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
Positions	0	0	2	2
Funds	1,725,000	668,500	1,715,000	1,046,500

Overview

The Department of State plays a vital role in the Administration's policy of transition to Iraqi responsibility. The U.S. mission to Iraq serves as an honest broker on political issues, builds Iraqi capacity to deliver services, and supports the resettlement of Iraqi refugees. The U.S. Embassy in Baghdad must retain the required personnel and other resources to meet the challenges that support this approach. The resources identified in the FY 2010 request are essential to this effort.

The U.S. Mission in Iraq's important and complex relationship with the Iraqi government is led by more than 1,200 direct-hire Americans, representing 12 agencies, under Chief of Mission (COM) authority. Foreign Service Nationals (FSNs) and U.S. and third-country national (TCN) contractors provide security and logistics support to U.S. Mission operations. The Department of State operates the U.S. Embassy in Baghdad, as well as two Regional Embassy Offices (REOs) in Hillah and Basrah. There are also 26 Provincial Reconstruction Teams (PRTs) located throughout Iraq. The PRTs and the military brigades work jointly to improve the capacity of local institutions to govern effectively. As the U.S. military transitions, the Department will provide robust civilian engagement through multiple diplomatic avenues at its disposal. The Embassy seeks to empower Iraqis to take the necessary steps politically, economically, and in the fields of security and the rule of law to fulfill commitments made to the Iraq people and to the international community. The Iraq Transition Assistance Office, a temporary office established within the Department, supports executive departments and agencies in expeditiously concluding the remaining large infrastructure projects in Iraq, facilitating Iraq's transition to self-sufficiency, and maintaining an effective diplomatic presence in Iraq.

The Embassy conducts business on a broad range of bilateral and multilateral issues directly with the Iraqi government. The Embassy furthers U.S. economic and commercial interests and provides opportunities for political reporting, public diplomacy outreach, and interagency coordination on matters of interest in Iraq. The Embassy also supports many other endeavors, such as support to the Iraqi High Tribunal which prosecutes former regime members, reconstruction and economic transition efforts, and rule of law programs supporting capacity development in the legal and judicial arena. The Embassy administers a number of programs to train police, to assist the various ministries, and to oversee funds provided by the U.S. government for assisting Iraq.

In FY 2010, U.S. Embassy operations will continue to be impacted by major considerations from previous years: the new base for diplomatic activities centered at the New Embassy Compound (NEC) in Baghdad, programs to facilitate Iraq's transition to self-sufficiency, and the security environment. FY 2010 requirements include the costs of the continuing operations based on the new security arrangement with the Government of Iraq and operating out of the New Embassy Compound (NEC). PRT requirements also assume a level of resources consistent with operations projected for FY 2009. Security in Iraq and its impact on Mission operations remain a critical consideration. Though the security situation is currently stable, the potential for attacks on U.S. personnel, facilities, and operations remains high. The request for security funding reflects this environment for Baghdad, the REOs, and PRT operations.

Program Description

The Department of State assumed from the Coalition Provisional Authority (CPA) those authorities and responsibilities that continued after CPA termination. The Department of State funded U.S. Mission costs from FY 2004 to FY 2009 through supplemental appropriations. The FY 2009 Spring Supplemental request for Iraq operations awaits Congressional action.

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The Department currently estimates FY 2009 funding requirements to support operations of the U.S. Mission in Iraq at \$1.78 billion, drawn from FY 2008 supplemental carryover, FY 2009 bridge funds, and the FY 2009 supplemental request.

Justification of Request

(\$ in thousands)

Funding Request by Program Category	Human Resources	Overseas Programs	Security Program	Diplomatic Policy and Support
Iraq Operations	350	672,300	1,042,350	0

The Department's FY 2010 request to support the operations of the U.S. Mission in Iraq is \$1.715 billion. This estimate assumes that no funding is carried forward from prior years. This estimate does not include cost sharing among USG agencies and programs supported by the U.S. Mission during FY 2010. During FY 2009 and FY 2010, the Department, the Office of Management and Budget, and affected agencies will develop a plan to allocate logistics, support, security, and information technology costs to the other USG agencies and programs. The Department expects to implement the plan on a virtual basis in FY 2010 and to fully implement it in FY 2011.

(\$ in thousands)

Funding/Program Activities	FY 2008 Estimate	FY 2009 Estimate	FY 2010 Request	Increase/Decrease
Beginning Balance – Operations	439,802	1,142,842	0	-1,142,842
D&CP – Ongoing Operations	0	0	0	0
Appropriations/Request – Iraq Operations	1,725,000	518,500	1,715,000	1,196,090
Proposed Supplemental	0	150,000	0	-150,000
Rewards/Transfers/Reprogramming	-46,000	-27,459		27,459
Total Funding Availability	2,118,802	1,783,883	1,715,000	-69,293
Program Activities				
U.S. Mission Basic Operations	133,867	202,977	135,910	-67,067
REO/ITAO/Other Support Activities	41,283	77,700	74,380	-3,320
Logistics Support	256,667	405,000	232,410	-172,590
Security	305,671	498,695	594,965	96,270
Overhead Cover	49,000	62,470	49,700	-12,770
Information Technology	17,456	21,041	15,300	-5,741
PRT Operations	182,627	516,000	612,335	96,335
Total Program Activities	986,570	1,783,883	1,715,000	-68,883

Mission Basic Operations and Other Support Activities

The Mission continues to normalize its support operations. Due to the current security environment, TCN employees, contractors, and offshore support are still needed for activities normally performed by local staff in other Embassies. The major items and assumptions for the FY 2010 budget request of \$210.3 million for operational and other support activities as well as the \$232.4 million for logistics support are:

- Support and infrastructure for this large mission will be continued at current levels, including support for the REOs. In addition to normal activities, the Mission must provide its own utilities, fire protection, and other support in the absence of a government able to provide such basic services. Because of the dangerous conditions in Iraq, the Department also has greater than normal responsibilities for the support of its own personnel, as well as support of other agency and contractor personnel. For example, many of the Embassy positions that normally would be filled with FSNs will need to be staffed by TCNs, because the FSNs working for the USG in Iraq continue to have their lives threatened. In a number of cases, they have been murdered. The Embassy will provide housing and support for the TCNs, as well as for employees of support contractors.
- Funds are requested for Department of State direct hire allowances in Iraq, recruitment and retention incentives, travel costs, salaries and allowances for locally engaged staff and TCNs, ITAO operations,

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onsite information technology systems support, onsite translator/linguist services, training, operating supplies, new or replacement equipment or furnishings, and Embassy-directed public diplomacy programs, as well as for offshore support. Offshore support will be provided from Washington D.C., the Charleston Financial Service Center, and the Iraq Support Units located in Jordan and Kuwait. Additionally, funds are requested for support associated with monitoring Department assistance programs in Iraq that pursue our clear and achievable strategic goals of making Iraq a stable and self-reliant sovereign nation.

- Operations and maintenance at the Baghdad NEC will be provided by a U.S. company with extensive overseas experience. Additional contracted support is required for maintenance oversight. The day-to-day life support provided by the large Logistics Civil Augmentation Program (LogCAP) under a contract with the Department of the Army will continue. Until the security environment allows for normal commercial activities in Iraq, LogCAP is expected to continue to provide vital fuel delivery, food services, and other logistical support to the Embassy and REOs. Normal utilities/power generation costs, including the fuel procurement for the NEC and for the REOs, are included in the logistics support requirements. This request assumes that as the military decreases its presence, LogCAP will be discontinued and new life support contracts will be put into place. During the transition, life support may require double coverage under both LogCAP and the new life support contracts for a period of time to ensure that LogCAP can be safely and successfully discontinued.

Security (including Overhead Cover)

The FY 2010 budget request includes resources for the continuance of the local guard program and COM security support in Baghdad and at regional sites. As of April 2009, there are 12 U.S. government agencies conducting missions under COM authority. The FY 2010 budget request of \$1,042.7 million addresses the support requirements necessary to protect life and to ensure continued security protection. The main components of the security budget request include:

- \$12.496 million for the physical and technical security measures to protect the Baghdad Embassy and the REOs. These measures are the first line of defense and include weapons, ammunition, body armor, x-ray machines, and bomb detection devices. Costs for maintenance and repair of security equipment are also included.
- \$100.0 million for the local guard protection of the Baghdad NEC, which requires more guard positions than the Embassy's prior location. Local guard protection is also required at other sites within Baghdad, and in Erbil. Local guards are the second line of defense, providing ongoing security support and concerted protection against attacks. The request also includes resources for additional compound access controls that will be required.
- \$356.488 million for protective security services to support the personnel traveling outside the Embassy. This amount includes costs for personal security contractors and operations support. Operations support includes helicopters, armory support, intelligence analysts, explosive detection dogs and dog handlers, translators, firearms instructors, and medical support. Also included is operational support for the high-threat mancamp.
- \$25.0 million for the protection of the REOs. This includes the local guard force protecting the compounds, special protective details for travel outside the compounds, and the related equipment necessary for conducting operations.
- \$10.086 million for other security-related issues including personal protection equipment such as masks and bulletproof vests; ammunition; background investigations and polygraph support for personnel going to Iraq, including contractors; training; diplomatic courier support; and offshore support.
- \$49.7 million is requested to support the continued construction and installation of overhead cover and other physical security measures for Mission facilities.

Because the security situation in Iraq is so fluid, the withdrawal of U.S. or Coalition military forces could affect the security costs incurred by the Department. While U.S. forces will continue to provide force protection for civilian

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personnel, if the Department is required to assume greater protection of American citizens or supply routes, security costs will increase. These estimates are subject to revision based upon extraordinary events, revised security arrangements, or if the Advisory Assistance Brigades (AAB) cannot provide the necessary force protection required by the Department.

Information Technology

The FY 2010 budget request of \$15.3 million supports the maintenance, repair, and replacement of all secure and non-secure voice and data communications equipment and associated infrastructure for all agencies under COM authority.

- The funds requested will provide bandwidth for classified and unclassified voice and data transmittal for all COM facilities. This funding also provides necessary maintenance for the satellite sites installed at each COM facility, as there are no local utilities available. Additionally, these funds support 2,400 workstations within the Baghdad area and the onsite technicians who support this infrastructure.
- Funds are requested to support the operations, maintenance, service, repair, replacement, administration, and contractor support for Embassy Baghdad's Countrywide Emergency Radio Program, augmented by a variety of satellite phones issued for use in extreme danger situations. This emergency program is one of the largest of its kind and is considered a critical life-safety program.

Provincial Reconstruction Teams

The FY 2010 estimate for PRT costs is \$612.335 million. Funds are being used to support the 26 PRTs spread throughout the country of Iraq. Specifically, the funds requested will be used to provide staff salaries, life and other operational support, offices and housing (and furnishings for both), vehicles, and communications equipment. Funding will also cover movement security support costs for the PRTs that are not collocated with a military brigade or on a forward operating base (FOB).

PRTs include both civilian and military personnel working side-by-side on a priority joint mission. The PRTs harness civilian and military resources against a common strategic plan. Although State has the lead in recruiting and hiring staff for all of the PRTs, expanding the PRTs has been an intensive interagency effort.

25 of the 26 PRTs in Iraq, covering 15 provinces and the Kurdistan Regional Government, are led by State Department personnel. Italy leads an additional team covering Dhi Qar province. The departure of a Brigade Combat Team (BCT) or the transition to an AAB could result in either the relocation of the PRT to another FOB, a REO, a Coalition-supported location, or in the closure of the PRT, but ultimately is dependent on the USG policy priorities. The transition of the military footprint may cause the overall number of PRTs to change, in addition to some of their locations. In order to remain fully engaged and committed to helping the Iraqis successfully increase governmental capacity, the composition and personnel skill sets may vary, but overall manning numbers are anticipated to remain stable in FY 2010.

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Cost-Sharing

Any equitable and feasible cost-sharing plan for USG agencies operating in Iraq must take into account the present dependence on the DoD LogCAP contractor for the provision of goods and services. The Department ultimately seeks to establish an ICASS cost-sharing program for the U.S. Mission in Iraq.

This budget request represents the total projection for FY 2010 without any cost-sharing mechanism. The Department continues to develop a cost-sharing plan, which will include a combination of direct-charging other agencies for goods and services as well as a simple head count cost-sharing system. Under current timelines, the Department could have the capability to calculate agency support costs for the U.S. Mission in FY 2011. During FY 2010, the Department will develop estimates of the value of goods and services provided. As the Mission sustains NEC operations and ends support from military contractors, more cost-sharing mechanisms can be explored.

Beginning in FY 2011, the Department plans to have the capacity to institute a simple cost-sharing program. However, there may be changes in the agency-specific mix of Iraq staffing and costs between the submission of this budget and the beginning of FY 2011 as operating conditions continue to evolve.

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IT CENTRAL FUND

Resources Summary

(\$ in thousands)

Appropriations	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request	Increase / Decrease
Capital Investment Fund	59,575	71,000	160,000	89,000
IT Investment /Expedited Passport Fees (1)	288,848	116,000	120,000	4,000
Total, IT Central Fund (2)	348,423	187,000	280,000	93,000

(1) Expedited Passport Fees used in FY 2008 include \$161,929,000 prior year unobligated balances and \$126,919,000 of FY 2008 collections.

(2) The Department is reviewing the current year financial plan to ensure consistency with Expedited Passport Fee receipts. The FY 2010 request seeks to balance IT capital investment between appropriated and fee resources. The Department will submit a revised FY 2009 IT Central Fund spending plan separately.

Overview

The Department's Information Technology Strategic Plan (ITSP) covers FY 2006 – FY 2010 and is aligned with the *FY 2007–2012 Department of State and USAID Strategic Plan*. The budget request for FY 2010 develops the IT vision and five strategic goals in accordance with the FY 2006-2010 ITSP. The vision is empowering diplomacy with information and tools available anytime, anywhere. The five goals supporting this vision are:

- Goal 1: The Right Information: Knowledge Leadership For Diplomacy
- Goal 2: Anytime/Anywhere Computing: Diplomats On The Move
- Goal 3: External Partnerships: Diplomacy Through Collaboration
- Goal 4: Risk Management: Mission Effectiveness and Security
- Goal 5: Work Practices and Workforce: Leading Change

The FY 2010 IT investments support: greater integration and collaboration among more than 40 civilian agencies with overseas operations; improvements in efficiency and customer service through the consolidation and centralization of IT services; modernized critical administrative and financial management systems to prepare for the migration to electronic government (E-Gov) solutions and to improve key services; increased access to critical information resources for Department personnel both overseas and in Washington, D.C.; and strengthened IT security.

FY 2010 marks the final year of the current ITSP, and the Department has begun planning for its next generation technology needs. The work to be accomplished in FY 2010 will strengthen the Department's global infrastructure and provide a springboard for the next plan. One area of focus for FY 2010 involves centrally driven business continuity, disaster recovery, and consolidated data centers to protect the entire worldwide IT infrastructure. The Department is modernizing administrative applications along the same lines, migrating to web-based to provide global access and near 100 percent reliability and availability. A second area of focus is strengthening knowledge management, using the global infrastructure to promote video and other forms of collaboration and social networking as applied to diplomacy.

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Program Description and Justification of Request

Goal 1: The Right Information: Knowledge Leadership For Diplomacy

The Department will continue to leverage its investment in a robust and reliable global IT infrastructure by expanding direct support for the mission-driven processes of diplomacy, interagency foreign affairs, and foreign assistance. This entails deploying effective, user-oriented tools for discovering and analyzing useful information and establishing a Department-wide solution for content management and information publishing and dissemination. Specific initiatives to be pursued in FY 2010 include:

State Messaging and Archive Retrieval Toolset (SMART): \$29,587,000

The SMART vision is to deliver a simple, secure, and user-driven system to support the conduct of diplomacy through modern messaging, dynamic archiving, and information sharing. SMART is consolidating legacy cables, memoranda, and email onto a single platform. Documents will be accessible through interest profiling and a sophisticated search engine, and the system will provide cross-enclave access to archival documents. In FY 2010, the Department will complete the deployment of SMART and begin the systematic process of shutting down the legacy messaging systems. The legacy systems cannot be terminated until the Disaster Recovery and Contingency Operations (COOP) capabilities (second SMART processing facility) have been brought online. The Department has deferred funding the second site to FY 2010 based on the ITCF shortfall for FY 2009.

Messaging and Collaboration Services: \$15,176,000

This program supports the operations and maintenance of the Department's post-SMART messaging and collaboration environment. This includes the Department's Official World-wide Formal Communication System. It also includes the operations and maintenance of new capabilities added by SMART such as knowledge sharing and web-based collaborative capabilities to Department employees and Foreign Affairs agencies at posts worldwide. In FY 2010 the Department will operate SMART and the legacy systems in parallel until SMART has been fully deployed and tested and SMART COOP capabilities are online. After SMART is fully deployed, operations and maintenance costs will be normalized within the D&CP account.

Goal 2: Anytime/Anywhere Computing: Diplomats On The Move

Under Goal 2, the Department will provide an IT infrastructure that supports reliable access to needed information and systems from anywhere in the world via standard end-user devices such as laptop and desktop computers, personal digital assistants, and cell phones. Users will have access while working at home (telecommuting and telework), while on travel, and while out of the office. To accomplish this goal, the Department will continue to support its global IT infrastructure, consolidating services and operations to promote efficiency and excellent customer service. Goal 2 will build on the success of Open Net Everywhere and Blackberry programs that have begun to deliver the promise of mobile computing to diplomats. The Department is working with USAID and other agencies to capitalize on technologies that have proven successful in meeting similar functional and security requirements. Specific initiatives that support Goal 2 are summarized below.

Global IT Modernization (GITM): \$79,652,000

In FY 2010, the Department will continue the Global IT Modernization (GITM) program to ensure that its global IT infrastructure remains current. Through this program, the Department centrally manages the periodic replacement and modernization of classified and unclassified desktop computers, core servers, local networking equipment, and domain controllers at the Department's domestic facilities and at all overseas posts on a planned four-year life cycle. As equipment is replaced and infrastructure is re-

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engineered as appropriate, taking into account newer technology, security, and best practices. GITM allows the Department to sustain a true global infrastructure, ensuring compliance with standards, full interoperability, and cost-effective administration. To enable the Department to take the next step and provide anytime/anywhere access, the infrastructure must be sufficiently robust and reliable.

IT Consolidation: \$4,000,000

An important step in the Department's evolving global infrastructure is consolidating domestic service delivery and customer support operations. Consolidation of IT services includes help desk, desktop support, and related server operations. The IT Consolidation Program is improving security, standardizing customer service, improving efficiency, and implementing industry best practices such as the IT Infrastructure Library and IT Service Management standards. Consolidation should be completed early in FY 2010.

Enterprise Software-Licensing and Maintenance: \$19,200,000

The Department has entered into centralized software licensing arrangements for critical software. The goals of enterprise licensing are cost savings, standardization, and internal efficiencies in negotiating with vendors. Centralizing the licensing process ensures that all software is kept current and secure; this in turn enables delivery of effective customer service through help desk and desktop support operations that can rely on consistent, current software versions.

Enterprise Server Operations Centers (ESOCs): \$9,864,000

Data Center Acquisition: \$11,700,000

Over the last six years the Department has established the ESOCs to consolidate server locations and management, yielding efficiency gains in real property, IT server costs, and IT staffing. This is one step in realizing the best value proposition for IT server support. FY 2010 funding will allow the Department to continue with the site and server consolidation to improve Disaster Recovery support by continuing the build out and provisioning of a new consolidated data center which was leased as a service contract in late FY 2008. The request will support the ongoing costs as servers are transitioned into the facility.

Post Telephones: \$6,304,000

The Post Telephones initiative provides global telephonic services and support to the Department's missions abroad. This initiative serves over 60,000 customers worldwide by planning, implementing, and coordinating projects required for maintaining and upgrading mission telephone systems. The goal of the investment is to replace obsolete telephone systems with modern, reliable digital systems capable of delivering a full range of services. In an effort to homogenize equipment and optimize business processes, Post Telephones was tasked to provide a standardized ten-year life cycle replacement program. Post Telephones provides a wide variety of support to the Department including operations and maintenance of existing telephone systems at missions abroad and mobile communications support for the Secretary of State, visiting dignitaries, and VIPs.

Mobile Computing: \$3,080,000

Mobile computing is essential for U.S. diplomats to work outside the office with host country staff and institutions and respond rapidly to crises, disasters, and other events. In FY 2010 the Department will continue its mobile computing program capitalizing on security and technology advances.

Other Goal 2 Initiatives: \$8,790,000

Other initiatives that focus directly on achieving the goals of anytime, anywhere access include the Department's management of the network encryption device crypto-keys and the costs for support of the mainframe that serves the Department's American payroll system.

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Goal 3: External Partnerships: Diplomacy Through Collaboration

The Department is committed to E-Gov with resulting improvements in citizen services, mission effectiveness, and efficiency. The focus is on three areas: participating in government-wide initiatives; streamlining administrative operations to ensure diplomatic readiness; and enhancing interagency and external collaboration, especially overseas and across the foreign affairs community. An important ongoing priority is to provide a suite of effective and efficient application systems that enable streamlined, consolidated, and cost-effective business services. These efforts will facilitate the ongoing migration to web-enabled applications, create more useful reporting tools, and improve the Department's ability to share data, both internally and with external partners. It will also position the Department for greater participation in government-wide administrative solutions as they emerge and become available.

Integrated Logistics Management System (ILMS): \$19,519,000

ILMS is a major reengineering and development effort to create a modern, user-oriented system for all logistics functions including purchasing, supply, transportation, warehouse, inventory/asset management, and diplomatic pouch and mail. ILMS benefits the Department by eliminating duplicative systems, streamlining operations, providing supply chain visibility to customers, and achieving enterprise-wide integration. The FY 2010 request will fund operations and maintenance for over 6,000 users worldwide, continued deployment to overseas posts, and integration and regression testing as the Department consolidates its financial systems. Key initiatives for FY 2010 include deployment of ILMS to overseas posts, establishment of a COOP capability, and continued integration with Post Administrative Support System (PASS), ILMS/Joint Financial Management System (JFMS) integration and implementation of IT Asset Management.

Enterprise Data Warehouse: \$2,500,000

The Enterprise Data Warehouse (EDW) will combine information from different transactional systems into a central point from which information can be quickly extracted and analyzed to facilitate business decisions. The Department produces a great deal of historical data in support of varying missions. This data will be used for trend analysis and/or future forecasting efforts, thereby allowing future decisions to be based on real, factual information. Today, the use of this data is impeded by accessibility, data quality, and timeliness. The initial pilot deployment of the EDW is scheduled for FY 2009. The requested FY 2010 funding will be used to improve management reporting, help improve data quality, and to expand the EDW by incorporating more central, administrative systems data into the warehouse.

Joint Financial Management Systems (JFMS): \$2,009,000

The request supports the Department and USAID's common financial management platform that provides overseas and domestic worldwide financial management and reporting.

Integrated Personnel Management System (IPMS): \$5,299,000

The IPMS is a multi-year program that provides the Department with human capital management in support of its diplomatic mission. During FY 2010, the Department will expand its operations and maintenance of IPMS and focus on key upgrades to meet availability, scalability, and security requirements. These include: complete the remaining OMB Gold Standard functionality in the Post Personnel System to include Foreign Service National Payroll integration; complete the HR Portal upgrade to expand employee and manager self-service; complete implementation of IPMS services-based architecture to standardize external and internal data distribution; modernize the underlying HR Online system framework and upgrade primary applications; evaluate and select the next generation Knowledge Center technical platform to include enhanced reporting, business intelligence, and decision support capabilities; and modernize the Post Personnel System platform to include the re-architecture of its major components.

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Consolidated American Payroll System (CAPPS) and ePayroll Migration: \$12,500,000

The request will provide funding to replace the Department's legacy American employee payroll system. The current payroll system was written in what is now a very old software language. Skilled programmers who can make necessary adjustments for benefits, taxes, garnishments, etc. are becoming more and more difficult to find, which could jeopardize the ability of the Department to properly pay government employees.

Worldwide Agency-wide Locally Engaged Staff Payroll (WALES): \$11,550,000

The request will fund commercial off-the-shelf (COTS) software acquisition and related implementation services for WALES. WALES will eventually replace four versions of the more than 20 year old Foreign Service National (FSN) Pay system and FARADS (the legacy system used to generate annuity payments to retired Foreign Service officers covered by the Foreign Service Act) with a single system using modern technology. The migration to WALES addresses the major operational risk faced not only by the Department, but also more than 40 other agencies that rely on the Department for e-payroll services for FSN employees at posts and missions outside the United States.

Travel Manager Program: \$2,640,000

The Department will continue to migrate to the new government-wide eTravel service provider (eTS), while continuing to provide a modern, standard, and streamlined travel program during the transition. The Department has selected one of GSA's approved eTravel service providers as its eTS vendor.

Central Resource Management System (CRMS): \$1,742,000

CRMS has been in use since FY 2001 for the budget formulation of the Department's operating accounts and the execution of the Department's budget to the allotment level, e.g., apportionments, reimbursements, foreign currency exchange. CRMS interfaces with the Department's accounting system, permitting the simultaneous creation of accounting system budgets and allotment documentation delivered electronically to the allottee. This legacy system does not meet the standards required by today's financial management business requirements. The Department has taken full advantage of the Budget Formulation and Execution Line of Business (BFELoB) to pilot Treasury's budget formulation system. The FY 2010 request will enable State to modernize the execution portion of CRMS in concert with the BFELoB.

Post Administrative Software Suite (PASS): \$3,105,000

The Department has standardized its overseas posts' management services systems onto a common, but decentralized, platform called Post Administrative Services Suite (PASS). The Department intends to integrate these overseas systems with their comparable Headquarters counterparts. To do so it will be necessary to rewrite the current decentralized PASS platform to the centralized data base system in Washington in order to create a true enterprise system. PASS 3 will be a centrally hosted application which makes integration with the Department's system more practical. PASS 3 will be a complete rewrite to include improvements such as a single database, leniency tolerant, web services enabled, "plug and play" platform. This approach is critical to the cost effective integration of all the field and headquarters management systems.

Other Goal 3 Initiatives: \$17,874,000

Other initiatives in this area will aid in business process streamlining, interagency communication, and E-Gov/Line of Business (LOB) initiatives. They include the Joint Department/USAID Enterprise Architecture and State's Assistance Management System (SAMS).

E-Gov Initiatives serve citizens, businesses, and federal employees by delivering high quality services more efficiently at a lower price. Instead of expensive stove-piped operations, agencies work together to develop common solutions which achieve mission requirements at reduced cost, thereby making resources available for higher priority needs. The Department participates in eleven programs and six Lines of

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Business (LOB) Initiatives. The Department is providing funding from the IT Central Fund and other sources in FY 2009 and FY 2010 to the initiatives shown below (dollars in thousands):

(\$ in thousands)

<i>INITIATIVE</i>	<i>FY 2009</i>	<i>FY 2010</i>
Business Gateway	\$0	\$62
E-Authentication	\$440	\$0
Grants.gov	\$129	\$213
E-Rulemaking	\$44	\$0
E-Travel	\$48	\$0
Gov-Benefits	\$139	\$143
Integrated Acquisition Environment	\$516	\$801
Recruitment One Stop	\$54	\$0
IAE – Loans and Grants	\$22	\$0
Disaster Assistance Improvement Plan–Capacity Surge	\$0	\$159
Disaster Assistance Improvement Plan	\$204	\$30
Financial Management LoB	\$75	\$75
Grants Management LoB	\$28	\$32
Human Resources LoB	\$65	\$66
Geospatial LoB	\$35	\$35
Budget Formulation & Execution LoB	\$95	\$95
IT Infrastructure LoB	\$0	\$160
TOTALS	\$1,894	\$1,871

Goal 4: Risk Management: Mission Effectiveness and Security

The Department will continue to streamline its approach to IT security, ensuring effective control while allowing rapid adoption and broad use of new technology. Such an IT security program is essential for accomplishing the other goals in preparation for the new IT Strategic Plan. The intent is to enable broad access to information and systems; secure internal and external collaboration; rapid and secure introduction of new technologies; and comprehensive business continuity plans that can be relied upon to work in the event of terrorist attacks, natural disaster and/or catastrophic failure. The Department will apply industry and government best practices and innovations in IT security. Security risk management will be based on a partnership model, through which system sponsors, IT professionals, and security experts will collaborate to make risk management decisions and ensure that IT assets are protected commensurate with risk. System and data owners/sponsors will assume ultimate responsibility for making risk management decisions. Innovative security approaches, such as biometrics, will be in place to monitor and control user access to IT systems and information, thus enabling anytime, anywhere access, including mobile access to classified systems, and securing extranets for internal and external collaboration.

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PKI and Biometrics: \$7,200,000

PKI supports user authentication and biometrics to control access to the Department's information resources on ClassNet and OpenNet Plus, meeting the requirements of HSPD-12. PKI protects and validates the electronic information stored on E-Passports; improves IT security against external and internal threats; and supports digital signature requirements enumerated in the Electronic Signatures in National and Global Commerce Act (E-SIGN). PKI will also help satisfy provisions set forth by the Government Paperwork Elimination Act (GPEA) by reducing the amount of paper produced for physical signature. Other uses include: exchange of contractor information between overseas secure embassy construction sites and the Department; investigative reports by Diplomatic Security; and protection of sensitive and personal medical records to serve those stationed overseas.

Goal 5: Work Practices and Workforce: Leading Change

Goal 5 focuses on ensuring that end-user staff have the skills necessary to use the new IT tools, systems, and information made available under the other four goals by continuously enhancing the skill base of the Department's IT staff and creating and sustaining an efficient and effective IT support organization. The latter will focus on ensuring that the Department promotes continuous innovation in the use of IT to support the diplomatic mission. Goal 5 also focuses on evolving the skills and responsibilities of IT staff to enable them to play a higher-level consultative role in helping end-users exploit modern technology. This request will be used for innovative training management, distance learning, and technology. The Foreign Service Institute (FSI), which manages the National Foreign Affairs Training Center, is responsible for a large share of Goal 5 activities and resources, notably those associated with training and skill development. FY 2010 resources will be directed as follows for FSI programs.

FSI Instructional Support: \$3,694,000

FSI provides Department-focused IT training for professional IT staff and for end users, and provides through COTS and FSI-developed courses a full range of 24x7 distance learning content options to employees of the Department (and to other federal agencies on a reimbursable basis) including training on major Department programs and systems, e.g., WebPASS and SMART. FSI also provides instructors with tools that speed the development of effective distance learning courses.

FSI Learning Infrastructure: \$3,015,000

A four-year life-cycle refreshment program for the IT infrastructure at the Foreign Service Institute supports classroom and distance learning 24x7. The FSI infrastructure includes classroom technology for instructors and students; the platform for FSI Corporate Systems and for the design, development, and delivery of distance learning worldwide; multimedia/language technical labs and simulation components; and digital videoconferencing for classes, language testing, and course development.

Performance

The following indicator is among the performance measures used by the Bureau of Information Resource Management to monitor and manage the Department's IT projects. This performance indicator tracks a priority IT project, for which funding is requested in FY 2010.

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STRATEGIC GOAL: STRENGTHENING CONSULAR AND MANAGEMENT CAPABILITIES	
Strategic Priority: Information Technology	
Indicator: Progress Towards Implementing State Messaging and Archive Retrieval Toolset (SMART) Messaging System	
Target FY 2010	The program will address system enhancements immediately following the conclusion of worldwide deployment. These enhancements will be driven by user requested changes, technical architecture refinement, and evolving information sharing requirements.
Target FY 2009	Pilot full CLASS and UNCLASS SMART System at 10 total posts, and domestic bureaus and offices. Initiate and complete worldwide deployment of SMART solution to all posts. Continue to provide effective operations and maintenance for all domestic sites and overseas posts, and begin decommission of legacy systems following a phased retirement plan. Transition SMART to IRM divisions for O&M support.
Target FY 2008	Complete SMART development of core Messaging functionality for CLASS and begin testing of UNCLASS system; re-deploy upgraded releases of Pilot 1 functionality to two pilot posts; plan for initiation of Pilot 2 deployment of SMART solution to additional 3 Pilot posts and 5 selected Domestic Bureaus. Deliver effective O&M for operational SMART components and initiate transition of the operational components to O&M support organization; complete training for systems support, plan for the second site, design contingency plans, and deploy the SharePoint & Groove collaboration tools internally and in the DMZ per the Department's FY 2007-2012 Strategic Plan.
Results FY 2008	<p>Rating: On Target</p> <p>SMART successfully met its target criteria:</p> <ul style="list-style-type: none"> -Successfully transitioned SharePoint and Groove collaboration products to IRM/OPS/SIO. -Completed development of core Messaging functionality for CLASS for Pilot 2 posts. -Installed the HW and SW environments for the development and testing of UNCLASS functionality; began UNCLASS development; and initiated testing. -Deployed upgraded SMART to two Pilot 1 posts (one Pilot 1 post dropped out of the pilot program). -Pilot 2 deployment commenced at two new pilot posts. -Completed planning for additional Pilot 2 post deployments to five additional posts and to 5 selected domestic Bureaus/offices (WHA; A/ISS; CIO/DCIO; IRM/CST, eDIP, MSMC). -Initiated second site planning, and an options paper produced for the DCIO. Alternate site planning, decisions, and procurement were placed on hold per IRM direction.
Impact	The Department's Consular Management and Communication capabilities continue to be strengthened by developing and deploying SMART. The SMART program will continue to support all Pilot 2 activities, and expand and complete the development of UNCLASS functionality in parallel. Matrixed development teams have been created within SMART Messaging Division to account for dual support activities.
Results FY 2007	Successfully deployed SMART quick-win functionality to Department users, and tested deployment processes. Deployed functionality includes Instant Messaging on both UNCLASS and CLASS networks. Groove and SharePoint piloted during FY 2007, and scheduled for transfer to operations and maintenance (O&M) partners and worldwide deployment in FY 2008. Successfully developed core messaging application; developed and piloted Archive and Records Management (ARM) functionality; began piloting messaging solution to three pilot posts.
Results FY 2006	Detailed Planning and re-baselining completed resulting in SMART Plan B. Design work conducted for all SMART components. Development laboratory established. Development work for SMART quick-win functionality.
Results FY 2005	System requirements decomposition effort results in validated list of derived requirements. 50 users participated in a series of system usability demonstrations and provided feedback, driving defect corrections.
VERIFICATION AND VALIDATION	
Data Source and Quality	Bureau of Information Resource Management reports, Steering Committee meetings, CIO briefings, and Gartner Group independent verification and validation reports.

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Funds by Program Activity (\$ in thousands)

IT Central Fund	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request
Information Resource Management	348,423		280,000
Infrastructure Systems	245,318		148,633
Central Management Functions	106,296		48,502
Leased Lines	40,000		0
Other Telecommunications Abroad	11,000		3,960
Infrastructure Maintenance	73,852		82,667
Information Management Security Implementation	9,042		7,200
Voice Communications Programs	5,128		6,304
Corporate Information Systems and Services	95,265		127,195
Core Foreign Affairs Systems	33,009		44,763
Financial Systems	30,160		35,600
Administrative Systems	32,096		46,832
Office Automation	7,840		4,172
Other Office Automation	7,840		4,172
Total	348,423		280,000

Funds by Object Class (\$ in thousands)

IT Central Fund	FY 2008 Actual	FY 2009 Estimate	FY 2010 Request
2100 Travel & Trans of Persons	15,805		16,212
2200 Transportation of Things	12,632		5,106
2400 Printing & Reproduction	1,782		2,357
2500 Other Services	175,644		151,988
2600 Supplies and Materials	20,211		1,901
3100 Personal Property	122,349		102,436
Total	348,423		280,000

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BORDER SECURITY PROGRAM

Resources Summary

(\$ in thousands)

	FY 2008 Estimate	FY 2009 Estimate	FY 2010 Request
Machine Readable Visa (MRV) Fees	920,528	974,817	858,000
Western Hemisphere Travel Surcharge	294,884	300,000	374,415
Enhanced Border Security Program Fees	297,696	297,664	385,100
Passport Security Surcharge	253,596	253,564	340,000
Immigrant Visa Security Surcharge	29,000	29,000	30,000
Diversity Fee	15,144	15,100	15,100
Fraud Prevention Fee	31,244	40,000	40,000
Affidavit of Support Fee	17,400	0	0
Total Border Security Program Expenses	1,561,752	1,612,481	1,657,515

Overview

The Department's Border Security Program is a critical element in the Department of State's fundamental mission of protecting American citizens and safeguarding the nation's borders. The Bureau of Consular Affairs (CA) is responsible for: issuing visas to legitimate foreign visitors and immigrants; denying visas to individuals who pose a threat to this country; protecting American citizens abroad; researching and developing automated systems; and implementing policies, procedures, and processes in coordination with other federal agencies in support of homeland security goals. The Border Security Program strategy focuses on five major objectives: information technology, connectivity, infrastructure, integrity, and human resources.

Information Technology

Enhance data sharing and data analysis initiatives with other agencies and increase the effectiveness and efficiency of the applicant screening process through name checks and biometric technologies (fingerprint scanning, facial recognition). Give consular officials access to information on individuals applying for passports or visas.

Connectivity

Provide worldwide and redundant connectivity in support of passport and visa adjudication, including sufficient bandwidth to support the Consular Consolidated Database (CCD) and other data sharing and remote management initiatives.

Infrastructure

Provide the most modern equipment and software to support consular operations. Ensure an effective replacement and refresh schedule and development of software programs to meet workload and legislative requirements.

Integrity

Strengthen the integrity of passport and visa issuance processes and products through improvements to the documents themselves, enhancements in information storage and retrieval, expanded training in anti-fraud techniques and procedures, and a comprehensive anti-fraud program.

Human Resources

Supply a sufficient number of qualified staff to fill new and vacant consular positions. Work with the Department to hire, train, and assign personnel to meet increasing workload demands and program growth

BORDER SECURITY PROGRAM

domestically and overseas.

The following chart summarizes the costs of the major activities of the Border Security Program:
(\$ in thousands)

Activities	FY 2008 Estimate	FY 2009 Estimate	FY 2010 Request	Increase/ Decrease
Consular Project Initiatives	666,764	789,177	815,001	25,824
Consular Systems Install and Operations	35,000	69,500	77,040	7,540
Consular Systems Modernization and Support	113,150	144,405	159,399	14,994
Automated Name check Systems: CLASS	15,000	14,000	13,000	(1,000)
MRV Administrative Support	74,114	96,787	96,974	187
Public Information Support	37,315	28,300	33,300	5,000
Document Integrity/Fraud Programs	21,310	26,172	22,460	(3,712)
Consular Training (FSI)	9,581	6,300	6,170	(130)
Passport Operations	213,722	279,829	273,714	(6,115)
Passport Facilities	17,159	13,455	21,788	8,333
Passport Systems	69,500	38,025	33,912	(4,113)
Visa Processing	40,710	43,450	46,050	2,600
American Citizen Services	2,938	3,133	3,714	581
Intelligence Support Unit (INR)	779	662	651	(11)
Facilities Management (A)	16,486	25,159	26,829	1,670
Diplomatic Security	20,536	21,269	23,403	2,134
Investigative Support/Guards	20,536	21,269	23,403	2,134
Technology Backbone – IRM	18,000	18,000	14,000	(4,000)
IRM Computer Systems and Operations/	8,000	8,000	4,000	(4,000)
Diplomatic Telecommunications Support	10,000	10,000	10,000	-
Border Security Staff (American Salaries)	317,760	363,989	375,466	11,477
Consular Affairs	150,019	188,488	193,977	5,489
Bureau of Administration	92	92	92	-
Information Resource Management	3,125	3,219	3,219	-
Diplomatic Security	24,000	30,320	35,241	4,921
Overseas Staff	140,524	141,870	142,937	1,067
Border Security Support	538,692	420,046	429,645	9,599
Consular Affairs	367,098	226,715	234,104	7,389
Diplomatic Security	7,994	15,343	15,343	(40)
Information Resource Management	4,090	4,090	4,090	-
Western Hemisphere Affairs (BCC)	2,000	2,000	2,000	-
Overseas Support	157,510	171,858	174,108	2,250
Total, Border Security Program	1,561,752	1,612,481	1,657,515	45,034
<i>DHS Reimbursement</i>	10,000	0	0	-
<i>FBI Fingerprint Checks Reimbursement</i>	90,788	150,000	150,000	-

BORDER SECURITY PROGRAM

The Department will pursue the following objectives in FY 2010 as part of its continued efforts to protect and assist Americans abroad and to improve U.S. Border Security by preventing the entry of terrorists or others intending to engage in criminal activity in the United States.

Consular Systems Installation and Operations: \$77,040,000

The Department will continue systematic equipment replacement cycles and regular refresh training visits at over 240 Foreign Service posts and appropriate domestic offices including passport agencies, the National Visa Center and the Kentucky Consular Center in FY 2010. This includes enhanced training in consular systems operations and maintenance so consular personnel can serve as an interface between the field and domestic support elements. The Department will also rollout applications to begin the migration to a new generation of visa software, including the Consular Electronic Application Center (CEAC) and Global Visa Systems (GVS), which replaces four current systems for nonimmigrant visas and immigrant visas (NIV, IVO, IVIS, and DVIS). Deployment will require both hardware procurement and sustained installation/training and technical support.

Consular Systems Modernization and Support: \$159,399,000

The Department established a Program Management Office (CA/CST/PMO) to enhance operational efficiency and management control, standardize policies and processes, and to oversee all information technology (IT) programs and associated projects within CA/CST's six Divisions. The PMO oversees more than thirty major Consular systems initiatives that encompass a broad range of complex IT projects and schedules. It will ensure IT initiatives employ good project management principles, are founded on strong business cases, meet expected cost, schedule, and performance goals, and comply with Federal, results-oriented legislation pertaining to the acquisition and use of IT.

Enhancing consular systems is an on-going priority targeted toward improving the overall efficiency and effectiveness of the border security processes, implementing new technologies such as biometrics and rules based processing, and enhancing the value and security of travel documents produced by consular systems. This activity will improve, expand and refine the Consular Consolidated Database's (CCD's) web interfaces for faster and easier information delivery with data mining tools that will enable users to utilize and manipulate the data in the database. The Department will provide IT support and training to domestic offices in Washington, DC, email to the passport agencies and centers across the country, and server support to Customer Systems' production software accessed by the public. These servers must be available to visa applicants across the world 24 hours a day. All systems research and development, consular database development and management as well as data sharing initiatives with other agencies are included in this program activity.

This activity also funds Independent Verification and Validation support services which include operations and maintenance of an independent integration and test Facility. This function consists of validating requirements and testing and validating their implementation in new and/or updated software releases for over 150 applications currently deployed by CA.

Automated Name Check Systems CLASS: \$13,000,000

The Consular Lookout and Support System (CLASS), a centerpiece of the Border Security Program, allows consular officers worldwide to perform automated name check which is required for all visa and passport applicants. CLASS directly supports the Congressional federal government information sharing mandate; CLASS receives data from approximately 12 USG Agencies and provides lookout entries to four agencies. Presidential Directive 63 (PDD-63) specifically listed CLASS as a mission critical system for which contingency resources must be developed and the Department currently manages two mirrored sites to provide a complete contingency capability. CLASS also uses a load sharing technology to ensure that both sites function during normal operations: if one site goes down, the other site assumes 100% of the processing load until the faulted site again becomes operational. Currently, the CLASS name-checking logic operates only against the CA watch list database. The interface CLASS (iCLASS) system operates within the Diversity

BORDER SECURITY PROGRAM

Visa (DV) program to detect individuals who illegally enter multiple applications under variants of their name and will eventually be brought online to detect and report previous applications processed for a current applicant under variants of the name given in the current application.

MRV Support Costs: \$96,974,000

The Machine Readable Visa (MRV) fee, collected from most applicants seeking a nonimmigrant visa, continues to support overseas consular operations, including a substantial portion of overseas consular staffing, expenses incurred by consular agents, and all expenses paid to banks to collect the MRV fee. In FY 2010, MRV fee revenue will fund peak-season staffing, TDY assistance to overseas posts, and the cost of Consular Management Assistance Teams (CMAT) participating in overseas management reviews. It will also fund support costs associated with increased workload in Mexico for Border Crossing Card (BCC) renewals.

Public Information Support: \$33,300,000

CA will continue to rely on internet websites and Web 2.0 tools, traditional on-site outreach, and two contractor-operated contact centers to provide the public with the most responsive information available in the most cost-effective manner. The Overseas Citizen Services (OCS) Call Center provides non-Privacy Act-protected information regarding the safety and welfare of American citizens abroad, and answers general information calls for OCS while forwarding case-specific calls and those requiring action directly to the appropriate OCS officer. The center can be reached via toll-free or toll numbers from 8am to 8pm Monday through Friday; after-hours calls are handled by the OCS Duty Program. For crisis response, the center can escalate to 24 hours/7 days a week operation within three hours of notification. The National Passport Information Center (NPIC) provides information services to the general public via a toll-free telephone number and e-mail correspondence. NPIC also provides an extensive automated passport information service that is available to the public, 24 hours a day. Through this service, customers can obtain answers to general passport questions and may make appointments to be seen at one of the passport agencies.

Document Integrity/Anti-Fraud Programs (FPP): \$22,460,000

The FY 2010 initiatives for this program include passport and visa fraud prevention and expanded H-1B and L visa fraud detection efforts. CA will continue to maintain its emphasis on enhanced U.S. border protection and security through its efforts to uncover and combat fraud in consular documents. The Office of Fraud Prevention Programs (FPP) plans to continue and expand ongoing fraud prevention activities such as enhancing information sharing by organizing and participating in five regional overseas and domestic Fraud Prevention Conferences/Seminars; conducting site visits to high fraud posts; providing consular officers and passport specialists with state of the art data mining capabilities of public records to use in fraud prevention/detection; providing training materials and supplies; and producing intelligence alerts on counterfeit and forged travel documents. The Bureau of Diplomatic Security will substantially and comprehensively strengthen the DS criminal investigations program as it relates to H-1b, H-2b and L visas using revenues retained under the H-1B Visa Reform Act of 2004.

FSI Consular Training: \$6,170,000

Consular training continues to be supported by the Foreign Service Institute (FSI). In FY 2010, FSI will continue to provide training in the form of classes, conferences, workshops, and on-line courses to consular officers, Information Management Specialists (IMS), Foreign Service Nationals (FSN) and consular agents. Courses cover anti-fraud and counter-terrorism techniques, name checking and identity recognition, consular systems applications, and immigrant and non-immigrant visa adjudication policies and procedures. FSI also provides courses on crisis planning, assistance to victims of crime, the Hague Convention on Intercountry Adoption, and the Hague Convention on the Civil Aspects of International Child Abduction.

Passport Operations: \$273,714,000

The passport remains the fundamental internationally accepted document that identifies the nationality of Americans as they travel overseas and enter and exit the United States. The integrity of the passport is essential, as is timely delivery of services to Americans. In 2007, the Department was overwhelmed by an

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unexpectedly large surge in demand for passports caused by new re-entry requirements for Americans traveling abroad as required under the Western Hemisphere Travel Initiative (WHTI). Demand for issued passports jumped from 12.1 million in FY 2006 to 18.4 million in FY 2007. In FY 2008, CA adjudicated 16.2 million travel documents (15.7 million passport books and 500,000 passport cards). The Department estimates passport workload to be near the FY 2008 level in FY 2009 and FY 2010.

In order to further improve the security of U.S. borders, the land border requirement phase of WHTI will be effective no earlier than June 1, 2009, requiring all U.S. citizens to present a passport or similar document when traveling outside of the U.S. In coordination with the Department of Homeland Security (DHS), the Department developed a new, less expensive identity document, the passport card, which was first issued in mid-2008. While the passport card is not expected to generate as much demand as the passport book, as it cannot be used for air travel, it is nevertheless produced with the same high security standards.

With changing demands, the Department requires resources to assess current and new vulnerabilities associated with passport issuance and records management, strengthen internal controls, and bolster our Fraud Program. The Department needs more robust tools to enhance its ability to more readily identify passport fraud through electronic evaluation of applicant data. These tools would be used for items such as the performance of validation studies (record review of previously issued passports), and field agency analyses and reporting. Internal Controls need tools to provide acceptance agent and commercial courier oversight and to maintain continued support and upgrades to the Incident Tracking System and Internal Controls Program Assessment and Compliance Reporting system. Resource requirements would include vulnerability assessment and analysis, recommendations, and implementation assistance with new programs.

Passport Facilities: \$21,788,000

The Department has established two Book Personalization Facilities - in Hot Springs, Arkansas and Tucson, Arizona. Their operations are an integral component of the Department's strategy to meet the increasing demand for U.S. Passports, US Passport Cards, and Border Crossing Cards. As a result of the Western Hemisphere Travel Initiative, these facilities are prepared to meet the demand for travel documents issued by the Department.

To meet the difficult to predict estimated demand levels, the Department has implemented a scalable and flexible production system that allows for the effective distribution of work across Passport Agencies and Centers in the United States. The Book Personalization Centers allow the printing of passport books and cards to occur remotely from the adjudication of the passport applications. The facilities will additionally produce Border Crossing Cards. Funds will also be used to maintain the infrastructure of existing passport agencies located nationwide and headquarters space located in Washington, DC.

Passport Systems: \$33,912,000

During FY 2010, CA will continue operational support, equipment replacement plans, and a Help Desk for 25 regional passport agencies and centers nationwide. New passport facilities and book personalization centers will have new software solutions to accommodate the increased demand. The system will be designed, hardware will be procured and operational and maintenance support will be established to support both passport books and passport cards at the new locations.

Two critical systems, the Passport Records Imaging System Management (PRISM) and the Passport Lookout Tracking System (PLOTS) are high capacity imaging systems that capture vital passport records. Department of State and authorized users worldwide will have access to critical information in PLOTS and PRISM. As access to this information increases, the importance of the availability of this data becomes more vital. It must be of a high level with a low margin of down time. Greater redundancy, improvements to design, and more robust infrastructure will help provide improvements to increase access and availability to this growing system. The PLOTS user interface will be improved to support users from overseas posts and embassies worldwide.

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Visa Processing: \$46,050,000

This program activity covers the visa office operation in Washington, DC and non-immigrant visa (NIV) and immigrant visa (IV) operations at the National Visa Center (NVC) and at the Kentucky Consular Center (KCC). The NVC supports immigrant visa processing through the centralization and management of several consular projects, including a portion of petition processing and the Affidavit of Support review program, which requires sponsors of visa applicants to provide evidence that they can economically support the visa applicant. The NVC also processes all security advisory opinions of immigrant visa applicants and conducts anti-fraud activities related to immigrant visa petitions. The NVC's goal is to provide additional support to overseas posts, including assuming as many non-adjudicatory duties as possible, to allow overseas consular staff to focus on pre-screening, adjudication and fraud prevention, while at the same time improving efficiency and expanding NVC's Fraud Prevention Unit.

The KCC manages the casework of the Diversity Lottery Program, receives and prepares all visa records for storage at NARA and manages the photo examination component of the Facial Recognition Project, which involves screening visa applicant photos against a lookout database of known and suspected terrorists and also against previous visa records. It also scans all visa records that have been refused and shares the information with other federal agencies. The KCC also serves as the Alternate Processing Center for the Consular Lookout and Support System (CLASS), a critical element in the Program's Critical Infrastructure Protection plan. The KCC's goal is to expand support for petition-based NIV operations, provide secure storage and imaging of visa records, and manage other consular programs as required in the most efficient and cost-effective manner possible while improving both border security and customer service.

American Citizen Services: \$3,714,000

The safety and welfare of American citizens abroad, especially in times of crisis, is one of the Department of State's core objectives. The funding requested allows CA to meet its protection responsibilities that exceed Public Information Support by providing internet-based registration and travel information products via email for American citizens residing or traveling overseas, and enhancing crime victim assistance programs. In FY 2009 and FY 2010, the bureau will continue to engage in bilateral and multilateral meetings to strengthen crisis assistance to citizens; monitor international treaty compliance; implement the Hague Convention on Intercountry Adoption; train American and international judges in the Hague Convention on Civil Aspects of International Child Abduction procedures; and train state and local officials on consular notification issues.

INR – Intelligences Support Unit: \$651,000

The Bureau of Intelligence and Research (INR) works to identify individuals who pose potential threats to the United States in order to prevent their entry into the U.S. Funding will be used to operate the CA Watch which is staffed during non-duty hours by individuals with consular training and experience who are able to access consular databases. The CA Watch also act as a point of contact for the Terrorist Screening Center (TSC) and the National Targeting Center (NTC) and recovered intelligence files for security advisory opinion (SAO) reviews. In FY 2009, the Department is shifting the NTC and port of entry support to the Operations Center S Bureau staff and the CA Watch will retain the intelligence interface and VO support. This operational change is reflected in the decrease of this line item and increase in American Salaries.

Bureau of Administration – Facilities Management: \$26,829,000

The Bureau of Administration will continue to provide facilities maintenance, custodial services, and utilities at the National Visa and National Passport Centers in New Hampshire, the Kentucky Consular Center (KCC), and the Charleston Passport Center in South Carolina. These funds also include GSA rent for CA office space in Washington, D.C. Funding requirements in fiscal years 2009 and 2010 include consular rent, operations and maintenance costs for existing consular domestic facilities, and new consular domestic facilities planned to be brought on-line in fiscal years 2009 and 2010.

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Diplomatic Security: \$23,403,000

The Bureau of Diplomatic Security (DS) plays an important role in protecting the nation's borders and preventing passport and visa fraud. The DS bureau plans on providing adequate resources to effectively conduct criminal investigations concerning passport and visa fraud, as well as fraud related with identity documents. This includes activity linked to organized crime or terrorism that attempts to obtain US visas or passports to further criminal activity. In addition, DS will continue to hire and assign guards to protect all of CA's facilities.

IRM – Technology Support: \$14,000,000

Funds for the Bureau of Information Resources Management (IRM) will be used to provide around-the-clock systems monitoring, operational, technical support, and systems software programming as required for the CLASS name check system at BIMC.

Border Security Staff – American Salaries: \$375,466,000

For FY 2010, the Department plans to increase staff by 45 new positions (20 overseas and 25 domestic). This includes 20 overseas positions to support consular workload and another 25 positions added to the Bureau of Diplomatic Security to prevent passport fraud. As a result of these increases, Border Security Program positions will total 4,825 by the end of FY 2010.

Border Security Support: \$429,645,000

Funds requested for this program activity cover basic expenses of the Border Security Program. This activity pays for consumables and supplies for the visa and passport programs, including visa foils, passport cards, and passport books with the embedded contactless chip. A major portion of this line item goes to produce the e-passport, a significantly more expensive book than those produced in past years. The new secure passports, which are printed and bound by the GPO, incorporate a chip to permit officers at ports of entry to use an improved and faster non-touch reader. The Department's cost continue to rise for critical protected supplies used in personalizing passports and passport cards such as foils, ribbons, security devices, and the technology to use them.

These funds also cover the start-up and recurring expenses associated with overseas consular positions, including funding for the regional bureaus to pay the salaries and benefits of locally engaged consular employees as well as the post specific benefits (e.g., cost of living allowances, educational allowances and danger pay) of American consular staff. Funding includes consular operating expenses related to the Border Crossing Card renewals in Mexico including the new Border Crossing Card (BCC) being produced at the new Tucson Passport Center. The responsibility for production of BCCs on October 1, 2008 switched from DHS to State.

FBI Fingerprint Checks Reimbursement: \$150,000,000

The Department will continue to reimburse the Federal Bureau of Investigation (FBI) for checking ten-print scans of visa applicants against the IAFIS and for FBI name checks.

Justification of Program Change

Consular Project Initiatives: \$25,824,000

The Department's goal is to develop and implement increasingly sophisticated mechanisms to allow Consular officers to effectively screen travelers and pinpoint more efficiently those individuals who may pose a threat to national security. The Department is working with other USG agencies to strengthen border initiatives to secure the homeland. Over the past few years, the Department has invested significant resources into modernizing and improving consular systems and using technology to support Homeland Security goals. The Bureau of Consular Affairs is a fee-funded organization. Retained and non-retained revenues generated by

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consular services topped \$2.5 billion in FY 2008. The Bureau of Consular Affairs will continue to provide consular services, enhance security and technology, and strengthen our visa and passport processes while depending on a revenue stream that is completely reliant on an unpredictable travel demand. We rely solely on revenue collected from visa and passport applicants. Increased costs in FY 2010 represent providing support to rising consular workloads, increased data collection requirements, mandatory interviews, maintenance costs for our modernized systems, and new domestic facility expansion and support.

Diplomatic Security: \$2,134,000

The Bureau of Diplomatic Security provides sustenance to the Border Security Program through passport and visa fraud investigative support and providing physical security for consular domestic agencies. The contractual support expenses provided for this initiative will increase to cover Diplomatic Security's costs at the new passport facilities opening in FY 2010.

Technology Backbone – IRM: (\$4,000,000)

The Bureau of Information Resources Management provides around the clock support for the CLASS name check system used at all visa issuing posts worldwide to determine the security risk level of all visa and passport applicants. The transition from a mainframe to servers has produced the noted savings.

Border Security Staff (American Salaries): \$ 11,477,000

Border Security staff costs fund salaries and benefits of close to 4,825 direct hire positions. These positions include consular overseas and domestic positions, Diplomatic Security, and Information Resources Management employees. Costs for American Salaries in FY 2010 will reflect full year costs for positions established in FY 2008 and FY 2009 to support the Western Hemisphere Travel Initiative (WHTI).

Border Security Support: \$9,599,000

Border Security support expenses include program support items for passport and visa programs such as the secure passport books and cards, visa foils and consumables. This also funds support costs related to overseas consular positions. The anticipated passport and visa workload for FY 2010 call for more supplies than FY 2009 and the increase in consular officer positions at overseas posts will contribute to the necessary increase in costs.